

Announcements

- Updated Rainbow grades
 - Quiz 1-4
 - HW 1-2

- We will be couple of weeks late grading HW3

- HW4 out



Functional Programming with Scheme

Read: Scott, Chapter 11.1-11.3

Lecture Outline

- Functional programming languages
- Scheme
 - S-expressions and lists
 - `cons`, `car`, `cdr`
 - Defining functions
 - Examples of recursive functions
 - Shallow vs. deep recursion
 - Equality testing

Racket/PLT Scheme/DrScheme

- Download Racket
(was PLT Scheme (was **DrScheme**))
 - <http://racket-lang.org/>
 - Run DrRacket
 - Languages => Choose Language => Other
Languages => Legacy Languages: **R5RS**
- One additional textbook/tutorial:
 - Teach Yourself Scheme in Fixnum Days by Dorai Sitaram:
<https://ds26gte.github.io/tyscheme/index.html>

First, Imperative Languages

- The concept of **assignment** is central
 - $X := 5; Y := 10; Z := X + Y; W := f(Z);$
 - Side effects on memory
- Program semantics (i.e., how the program works): **state-transition semantics**
 - A program is a sequence of assignment statements with effect on memory (i.e., state)

ASSIGN \longrightarrow $C := 0;$

ITERATION \longrightarrow $\text{for } I := 1 \text{ step } 1 \text{ until } N \text{ do}$
 $t := a[I] * b[I];$
 $C := C + t;$

Imperative Languages

- **Functions** (also called **procedures**, **subroutines**, or **routines**) have **side effects**:

Roughly:

- A function call affects visible state; i.e., a function call may change state in a way that affects execution of other functions

$\langle \sigma, l_1: x := f(s) \rangle \rightarrow \langle \sigma', l_2: \dots \rangle$ *σ, σ' is memory, a mapping from variables to values.*

- Also, result of a function call depends on visible state; i.e., function call is **not independent** of the context of the call

$\langle \sigma, l_1: x := f(s) \rangle \rightarrow \dots$ $X \neq Y$ (X may be different than Y.)
 $\langle \sigma', l_1: y := f(s) \rangle \rightarrow \dots$

Imperative Languages

- Functions are, traditionally, not **first-class values**
 - A first-class value is one that can be passed as argument to functions, and returned as result from functions
 - In a language with assignments, it can be assigned into a variable or structure
 - Are functions in C first-class values?
 - As languages become more multi-paradigm, imperative languages increasingly support functions as first-class values (JS, R, Python, Java 8, C++11)

Functional Languages

Lambda Calculus

- Program semantics: **reduction semantics**
 - A program is a set of function definitions and their application to arguments
 - Variables appear as parameters
 - Bound to values at calls

Def **IP = (Insert +) ° (ApplyToAll *) ° Transpose**

IP <<1,2,3>, <6,5,4>> is

(Insert +) ((ApplyToAll *)

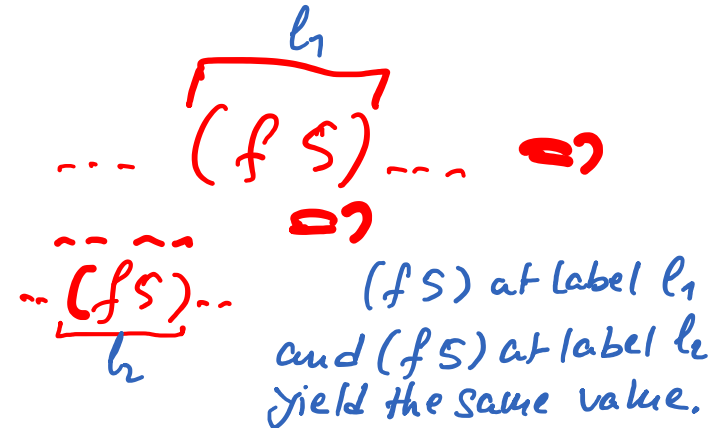
(Transpose <<1,2,3>, <6,5,4>>)) \Rightarrow

(Insert +) ((ApplyToAll *) <<1,6>, <2,5>, <3,4>>) \Rightarrow

(Insert +) <6,10,12> \Rightarrow

Functional Languages

- In pure functional languages, there is no notion of assignment, no notion of state
 - Variables are bound to values only through parameter associations
 - No side effects!
- Referential transparency
 - Roughly:
 - Result of function application is independent of context where the function application occurs; function application (on same argument of course) can be replaced by result



Functional Languages

- Functions are **first-class values**
 - Can be returned as value of a function application
 - Can be passed as an argument
 - In a language with assignment, can be assigned into variables and structures
- Unnamed functions exist as values

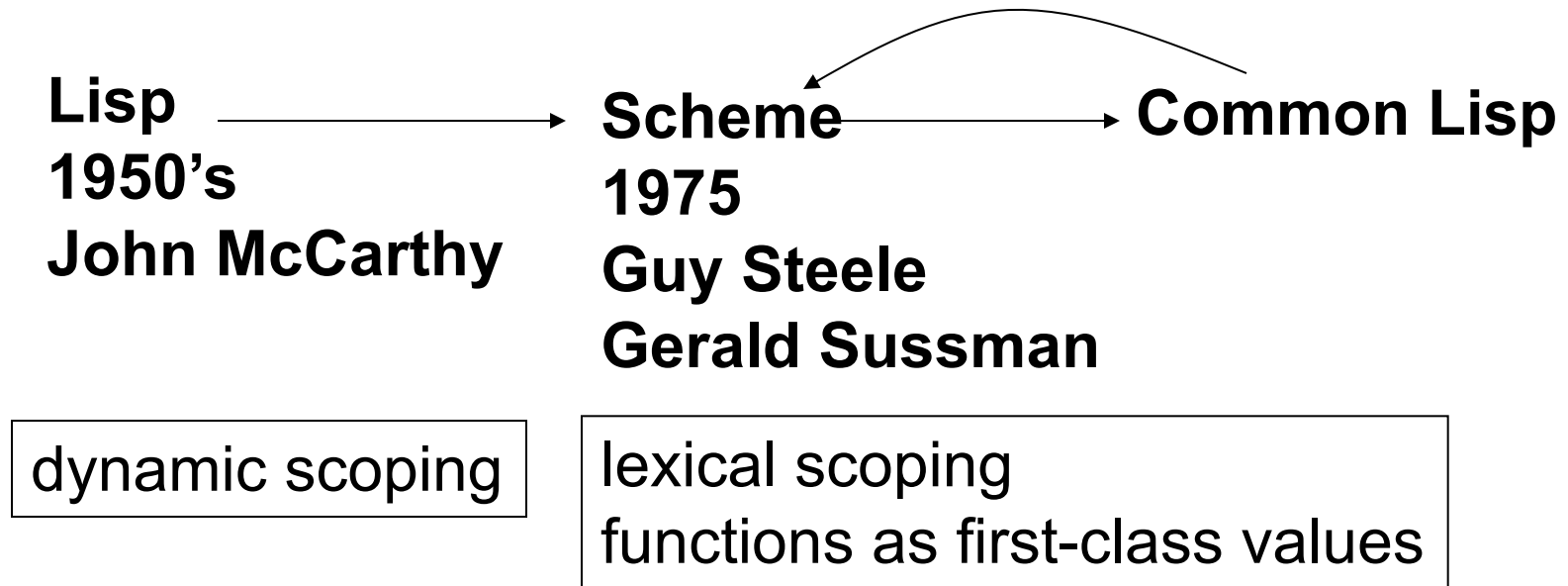
Lecture Outline

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- **Scheme**
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Lisp and Scheme

- **Lisp** is the second oldest high-level programming language!
 - Simple syntax
 - Program code and data have same syntactic form
 - The S-expression
 - Function application written in prefix form
 - (**e1 e2 e3 ... ek**) means
 - Evaluate **e1** to a function value
 - Evaluate each of **e2,...,ek** to values
 - Apply the function to these values
 - (+ 1 3) evaluates to 4

History



Why Scheme?

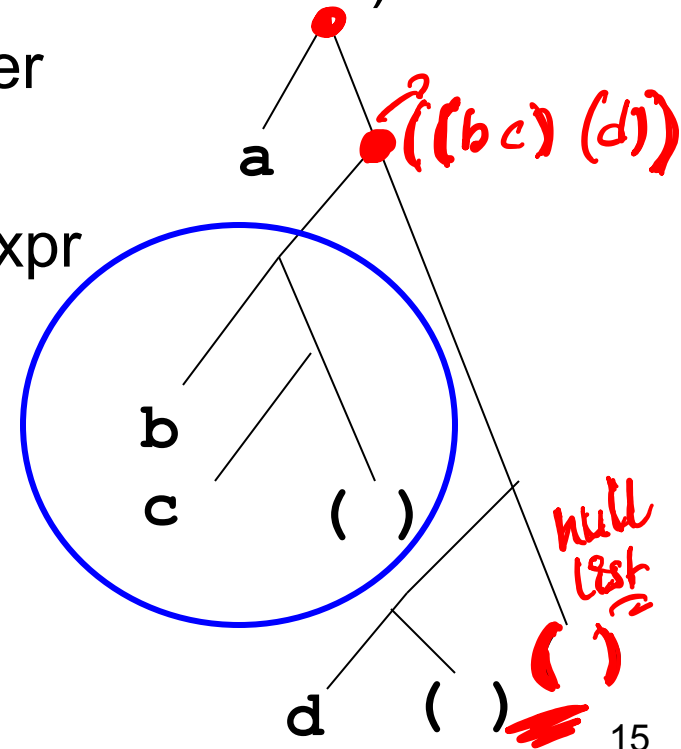
- Simple syntax! Great to introduce core functional programming concepts
 - Reduction semantics
 - Lists and recursion
 - Higher order functions
 - Evaluation order
 - Parametric polymorphism
- Later we'll see Haskell and new concepts
 - Algebraic data types and pattern matching
 - Lazy evaluation
 - Type inference

S-expressions

EBNF

S-expr ::= Name | Number | ({ S-expr })

- Name is a symbolic constant (a string of chars which starts off with anything that can't start a Number)
- Number is an integer or real number
- List of zero or more S-expr's
- E.g., (a (b c) (d)) is a **list** S-expr



List Functions

H

T

■ **car** and **cdr**

- Given a list, they decompose it into first element, rest-of-list portions
- E.g., **car** of **(a (b c) (d))** is **a**
- E.g., **cdr** of **(a (b c) (d))** is **((b c) (d))**

■ **cons**



- Given an element and a list, **cons** builds a new list with the element as its **car** and the list as its **cdr**
- **cons** of **a** and **(b)** is **(a b)** (*cons 'a '(b)*) (*cons 'a 'b*) →
(*a . b*)

■ **()** is the empty list

null list

Quoting

- ``` or **quote** prevents the Scheme interpreter from evaluating the argument

`(quote (+ 3 4))` yields `(+ 3 4)`

``(+ 3 4)` yields `(+ 3 4)`

In interpreter:

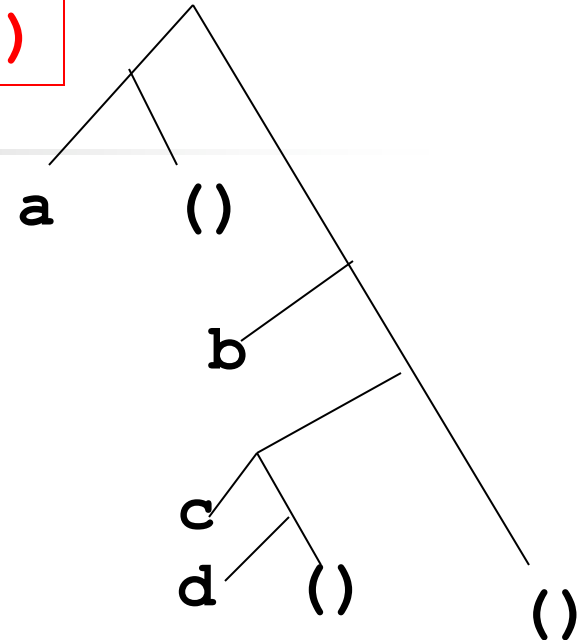
>(cons 'a '(b))

Whereas `(+ 3 4)` yields 7

- Why do we need quote?

Questions

`((a) b (c d))`



`(car '(a b c))` yields ?

`(car '((a) b (c d)))` yields ?

`(cdr '(a b c))` yields ?

`(cdr '((a) b (c d)))` yields ?

Can compose these operators in a short-hand manner. Can reach arbitrary list element by composition of `car`'s and `cdr`'s.

`(car (cdr (cdr '((a) b (c d)))))`

can also be written

`(caddr '(a) b (c d))`

`(car (cdr (cdr '((a) b (c d)))))` =

`(car (cdr '(b (c d))))` = `(car '((c d)))` = `(c d)`

Questions

■ Recall cons

- E.g., `(cons 'a '(b c))` yields `(a b c)`

`(cons 'd '(e))` yields ? *(d e)*

`(cons '(a b) '(c d))` yields ? *((a b) c d)*

`(cons '(a b c) '((a) b (c d)))` yields ?

Type Predicates

- Note the **quote**: it prevents evaluation of the argument

(symbol? `sam) yields #t **(symbol? 1)** yields #f

(number? `sam) yields #f **(number? 1)** yields #t

(list? `(a b)) yields #t **(list? `a)** yields #f

(null? `()) yields #t **(null? `(a b))** yields #f

(zero? 0) yields #t **(zero? 1)** yields #f

Can compose these.

(zero? (- 3 3)) yields #t Note that since this

language is fully parenthesized, there are no precedence problems in expressions!

Question

- What is the typing discipline in Scheme?
 - Static or dynamic?
 - Answer: Dynamic typing. Variables are bound to values of different types at runtime. All type checking done at runtime.

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Scheme: Defining Functions

Fcn-def ::= `(define (Fcn-name {Param}) S-expr)`

Fcn-name should be a new name for a function.

Param should be variable(s) that appear in the S-expr which is the function body.

Fcn-def ::= `(define Fcn-name Fcn-value)`

Fcn-value ::= `(lambda ({Param}) S-expr)`

where Param variables are expected to appear in the S-expr; called a **lambda expression**.

Examples

```
(define (zerocheck? x)  
  (if (= x 0) #t #f) )
```

If-expr ::= (**if** S-expr0 S-expr1 S-expr2)

where S-expr0 must evaluate to a boolean value; if that value is **#t**, then the If-expr yields the result of S-expr1, otherwise it yields the result of S-expr2.

(zerocheck? 1) yields #f,

(zerocheck? (* 1 0)) yields #t

Examples

```
(define (atom? object)
  (not (pair? object)))
```

Here `pair?` is a built-in type predicate. It yields `#t` if the argument is a non-trivial S-expr (i.e., something one can take the `cdr` of). It yields `#f` otherwise.

`not` is the built-in logical operator.

What does `atom?` do?

Examples

```
(define square (lambda (n) (* n n)))
```

- Associates the Fcn-name **square** with the function value **(lambda (n) (* n n))**
- **Lambda calculus** is a formal theory of functions
 - Set of functions definable using lambda calculus (Church 1941) is same as set of functions computable as Turing Machines (Turing 1930's)

Trace of Evaluation

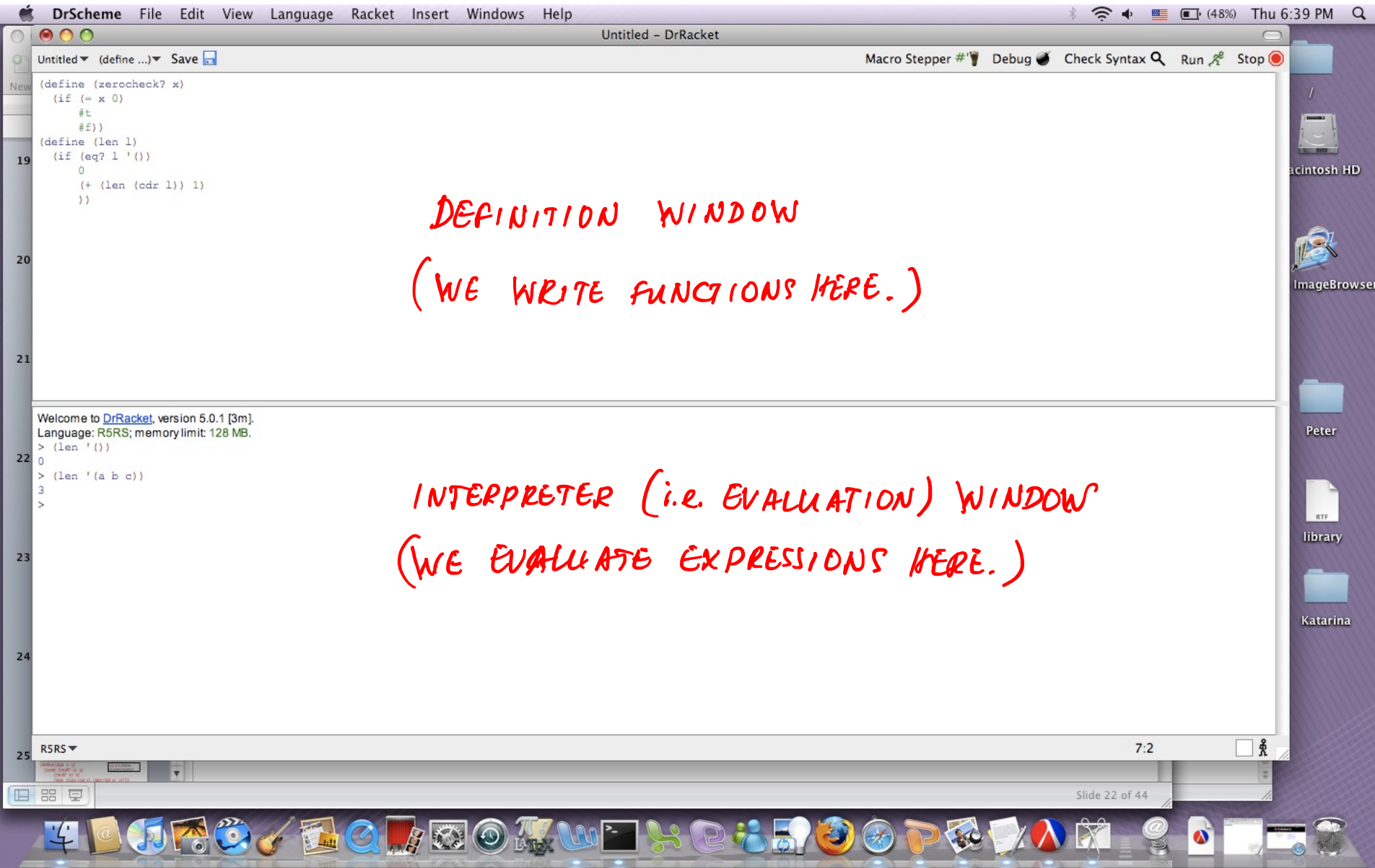
```
(define (atom? object)
  (not (pair? object)) )
```

```
(atom? ` (a))
```

- obtain function value corresponding to **atom?**
- evaluate `` (a)` obtaining **(a)**
- evaluate `(not (pair? ` (a)))`
 - obtain function value corresponding to **not**
 - evaluate `(pair? ` (a))`
 - obtain function value corresponding to **pair?**
 - evaluate `` (a)` obtaining **(a)**
 - return value **#t**
 - return **#f**
- return **#f**

Read-Eval-Print Loop (REPL)

- Scheme interpreter runs **read-eval-print loop**
 - **Read** input from user
 - A function application
 - **Evaluate** input
 - $(e_1 e_2 e_3 \dots e_k)$
 - Evaluate e_1 to obtain a function
 - Evaluate e_2, \dots, e_k to values
 - Execute function body using values from previous step as parameter values
 - Return value
 - **Print** return value



DEFINITION WINDOW
(WE WRITE FUNCTIONS HERE.)

INTERPRETER (i.e. EVALUATION) WINDOW
(WE EVALUATE EXPRESSIONS HERE.)

Conditional Execution

```
(if e1 e2 e3)
```

```
(cond (e1 h1) (e2 h2) ... (en-1 hn-1)  
      (else hn))
```

- Cond is like **if – then – else if** construct

```
(define (zerocheck? x)  
  (cond ((= x 0) #t) (else #f)))
```

OR

```
(define (zchk? x)  
  (cond ((number? x) (zero? x))  
        (else #f)))
```

Recursive Functions

```
(define (len x)
  (cond ((null? x) 0) (else (+ 1 (len (cdr x))))))
```

`(len '(1 2))` should yield 2.

`len` is a shallow recursive function

Trace: `(len '(1 2))` -- top level call

`x = (1 2)`

`(len '(2))` -- recursive call 1

`x = (2)`

`(len '())` -- recursive call 2

`x = ()`

returns 0 -- return for call 2

returns (+ 1 0) = 1 --return for call 1

returns (+ 1 1) = 2 -- return for top level call

`(len '((a) b (c d)))` yields what?

Recursive Functions

```
(define (app x y)
  (cond ((null? x) y)
        ((null? y) x)
        (else
         (cons (car x)
                (app (cdr x) y))))))
```

app is a shallow recursive function

REMEMBER PROLOG?

append(C, A, A).

*append([A|B], C, [A|D]) :-
append(B, C, D).*

- What does **app** do?

(app '() '()) yields ?

(app '() '(1 4 5)) yields ?

(app '(5 9) '(a (4) 6)) yields ?

Exercise

```
(define (len x)
  (cond ((null? x) 0) (else (+ 1 (len (cdr x))))))
```

Write a version of `len` that uses `if` instead of `cond`

Write a function `countlists` that counts the number of list elements in a list. E.g.,

```
(countlists '(a)) yields 0
```

```
(countlists '(a (b c (d)) (e))) yields 2
```

Recall `(list? 1)` returns true if `1` is a list, false otherwise

Recursive Functions

```
(define (fun x)
  (cond ((null? x) 0)
        ((atom? x) 1)
        (else (+ (fun (car x))
                  (fun (cdr x))))))
```

fun is a deep recursive function

What does **fun** do?

fun counts atoms in a list

```
(define (atomcount x)
  (cond ((null? x) 0)
        ((atom? x) 1)
        (else (+ (atomcount (car x)) (atomcount (cdr x))))))
```

`atomcount` is a deep recursive function

(atomcount '(a)) **yields 1**

(atomcount '(1 (2 (3)) (5))) **yields 4**

Trace: (atomcount '(1 (2 (3))))

1> (+ (atomcount 1) (atomcount '((2 (3)))))

2> (+ (atomcount '(2 (3))) (atomcount '(())))

3> (+ (atomcount 2) (atomcount '((3))))

4> (+ (atomcount '(3)) (atomcount '(())))

5> (+ (atomcount 3) (atomcount '(())))

1

0

Exercise

- Write a function **flatten** that flattens a list
(flatten '(1 (2 (3)))) yields (1 2 3)

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Equality Testing

eq?

- Built-in predicate that can check atoms for equal values
- Does not work on lists in the way you might expect!

eql?

- Our predicate that works on lists

```
(define (eql? x y)
  (or (and (atom? x) (atom? y) (eq? x y))
      (and (not (atom? x)) (not (atom? y))
           (eql? (car x) (car y))
           (eql? (cdr x) (cdr y)))))
```

equal?

- Built-in predicate that works on lists

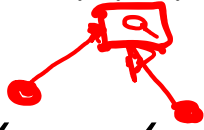
Examples

(**eq1?** ' (a) ' (a)) yields what?

(**eq1?** 'a 'b) yields what?

(**eq1?** 'b 'b) yields what?

(**eq1?** ' ((a)) ' (a)) yields what?



(**eq?** 'a 'a) yields what?

~~==?~~

(**eq?** ' (a) ' (a)) yields what?



(**equal?** ' (a) ' (a)) yields what?

• equal()

More on Equality Testing next time!

The End
