

# Programming Languages (CSCI 4430/6430)

## Part 1: Functional Programming: Summary

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# Other programming languages

## Imperative

Algol (Naur 1958)  
Cobol (Hopper 1959)  
BASIC (Kennedy and Kurtz 1964)  
Pascal (Wirth 1970)  
C (Kernighan and Ritchie 1971)  
Ada (Whitaker 1979)

## Functional

ML (Milner 1973)  
Scheme (Sussman and Steele 1975)  
Haskell (Hughes et al 1987)

## Object-Oriented

Smalltalk (Kay 1980)  
C++ (Stroustrup 1980)  
Eiffel (Meyer 1985)  
Java (Gosling 1994)  
C# (Hejlsberg 2000)

## Actor-Oriented

Act (Lieberman 1981)  
ABCL (Yonezawa 1988)  
Actalk (Briot 1989)  
Erlang (Armstrong 1990)  
E (Miller et al 1998)  
SALSA (Varela and Agha 1999)

## Scripting

Python (van Rossum 1985)  
Perl (Wall 1987)  
Tcl (Ousterhout 1988)  
Lua (Ierusalimschy et al 1994)  
JavaScript (Eich 1995)  
PHP (Lerdorf 1995)  
Ruby (Matsumoto 1995)

# Language syntax

- Defines what are the legal programs, i.e. programs that can be executed by a machine (interpreter)
- Syntax is defined by grammar rules
- A grammar defines how to make ‘sentences’ out of ‘words’
- For programming languages: sentences are called statements (commands, expressions)
- For programming languages: words are called tokens
- Grammar rules are used to describe both tokens and statements

# Language Semantics

- Semantics defines what a program does when it executes
- Semantics should be simple and yet allows reasoning about programs (correctness, execution time, and memory use)

# Lambda Calculus Syntax and Semantics

The syntax of a  $\lambda$ -calculus expression is as follows:

<b>e</b>	<b>::=</b>	<b>v</b>	variable
		<b><math>\lambda v.e</math></b>	functional abstraction
		<b>(e e)</b>	function application

The semantics of a  $\lambda$ -calculus expression is called beta-reduction:

$$(\lambda x.E M) \Rightarrow E\{M/x\}$$

where we alpha-rename the lambda abstraction **E** if necessary to avoid capturing free variables in **M**.

# $\alpha$ -renaming

Alpha renaming is used to prevent capturing free occurrences of variables when beta-reducing a lambda calculus expression.

In the following, we rename  $x$  to  $z$ , (or any other *fresh* variable):

$$\begin{array}{l} (\lambda x. (y x) x) \\ \xrightarrow{\alpha} (\lambda z. (y z) x) \end{array}$$

Only *bound* variables can be renamed. No *free* variables can be captured (become bound) in the process. For example, we *cannot* alpha-rename  $x$  to  $y$ .

# $\beta$ -reduction

$$(\lambda x. E M) \xrightarrow{\beta} E\{M/x\}$$

Beta-reduction may require alpha renaming to prevent capturing free variable occurrences. For example:

$$\begin{aligned} & (\lambda x. \lambda y. (x y) (y w)) \\ & \xrightarrow{\alpha} (\lambda x. \lambda z. (x z) (y w)) \\ & \xrightarrow{\beta} \lambda z. ((y w) z) \end{aligned}$$

Where the *free*  $y$  remains free.

# $\eta$ -conversion

$$\lambda x. (E x) \xrightarrow{\eta} E$$

if  $x$  is *not* free in  $E$ .

For example:

$$\begin{aligned} & (\lambda x. \lambda y. (x y) (y w)) \\ \xrightarrow{\alpha} & (\lambda x. \lambda z. (x z) (y w)) \\ \xrightarrow{\beta} & \lambda z. ((y w) z) \\ \xrightarrow{\eta} & (y w) \end{aligned}$$

# Currying

The lambda calculus can only represent functions of *one* variable. It turns out that one-variable functions are sufficient to represent multiple-variable functions, using a strategy called *currying*.

E.g., given the mathematical function:  $h(x,y) = x+y$   
of type  $h: Z \times Z \rightarrow Z$

We can represent  $h$  as  $h'$  of type:  $h': Z \rightarrow Z \rightarrow Z$   
Such that

$$h(x,y) = h'(x)(y) = x+y$$

For example,

$$h'(2) = g, \text{ where } g(y) = 2+y$$

We say that  $h'$  is the *curried* version of  $h$ .

# Function Composition in Lambda Calculus

S:	$\lambda x.(s\ x)$	(Square)
I:	$\lambda x.(i\ x)$	(Increment)
C:	$\lambda f.\lambda g.\lambda x.(f\ (g\ x))$	(Function Composition)

((C S) I)

*Recall semantics rule:*

$(\lambda x.E\ M) \Rightarrow E\{M/x\}$

$$\begin{aligned} & ((\lambda f.\lambda g.\lambda x.(f\ (g\ x))\ \lambda x.(s\ x))\ \lambda x.(i\ x)) \\ & \Rightarrow (\lambda g.\lambda x.(\lambda x.(s\ x)\ (g\ x))\ \lambda x.(i\ x)) \\ & \Rightarrow \lambda x.(\lambda x.(s\ x)\ (\lambda x.(i\ x)\ x)) \\ & \Rightarrow \lambda x.(\lambda x.(s\ x)\ (i\ x)) \\ & \Rightarrow \lambda x.(s\ (i\ x)) \end{aligned}$$

# Order of Evaluation in the Lambda Calculus

Does the order of evaluation change the final result?

Consider:

$$\lambda x. (\lambda x. (s x) (\lambda x. (i x) x))$$

*Recall semantics rule:*

$$(\lambda x. E M) \Rightarrow E\{M/x\}$$

There are two possible evaluation orders:

$$\begin{aligned} &\lambda x. (\lambda x. (s x) (\lambda x. (i x) x)) \\ &\Rightarrow \lambda x. (\lambda x. (s x) (i x)) \\ &\Rightarrow \lambda x. (s (i x)) \end{aligned}$$

Applicative  
Order

and:

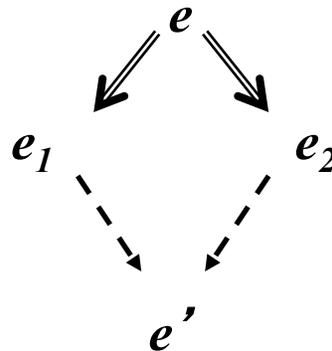
$$\begin{aligned} &\lambda x. (\lambda x. (s x) (\lambda x. (i x) x)) \\ &\Rightarrow \lambda x. (s (\lambda x. (i x) x)) \\ &\Rightarrow \lambda x. (s (i x)) \end{aligned}$$

Normal Order

Is the final result always the same?

# Church-Rosser Theorem

If a lambda calculus expression can be evaluated in two different ways and both ways terminate, both ways will yield the same result.



Also called the *diamond* or *confluence* property.

Furthermore, if there is a way for an expression evaluation to terminate, using normal order will cause termination.

# Order of Evaluation and Termination

Consider:

$$(\lambda x. y (\lambda x. (x x) \lambda x. (x x)))$$

*Recall semantics rule:*

$$(\lambda x. E M) \Rightarrow E\{M/x\}$$

There are two possible evaluation orders:

$$\begin{aligned} &(\lambda x. y (\lambda x. (x x) \lambda x. (x x))) \\ \Rightarrow &(\lambda x. y (\lambda x. (x x) \lambda x. (x x))) \end{aligned}$$

Applicative  
Order

and:

$$\begin{aligned} &(\lambda x. y (\lambda x. (x x) \lambda x. (x x))) \\ \Rightarrow &y \end{aligned}$$

Normal Order

In this example, normal order terminates whereas applicative order does not.

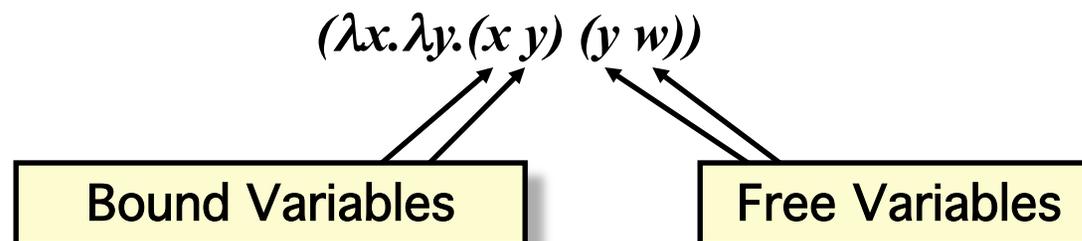
# Free and Bound Variables

The lambda functional abstraction is the only syntactic construct that *binds* variables. That is, in an expression of the form:

$$\lambda v.e$$

we say that free occurrences of variable  $v$  in expression  $e$  are *bound*. All other variable occurrences are said to be *free*.

E.g.,



# Combinators

A lambda calculus expression with *no free variables* is called a *combinator*. For example:

I:	$\lambda x.x$	(Identity)
App:	$\lambda f.\lambda x.(f\ x)$	(Application)
C:	$\lambda f.\lambda g.\lambda x.(f\ (g\ x))$	(Composition)
L:	$(\lambda x.(x\ x)\ \lambda x.(x\ x))$	(Loop)
Cur:	$\lambda f.\lambda x.\lambda y.((f\ x)\ y)$	(Currying)
Seq:	$\lambda x.\lambda y.(\lambda z.y\ x)$	(Sequencing--normal order)
ASeq:	$\lambda x.\lambda y.(y\ x)$	(Sequencing--applicative order)

where  $y$  denotes a *thunk*, *i.e.*, a lambda abstraction wrapping the second expression to evaluate.

The meaning of a combinator is always the same independently of its context.

# Currying Combinator in Oz

The currying combinator can be written in Oz as follows:

```
fun {$ F}
  fun {$ X}
    fun {$ Y}
      {F X Y}
    end
  end
end
end
```

It takes a function of two arguments, F, and returns its curried version, e.g.,

$$\{\{\{\text{Curry Plus}\} 2\} 3\} \Rightarrow 5$$

# Recursion Combinator ( $Y$ or *rec*)

$X$  can be defined as  $(Y f)$ , where  $Y$  is the *recursion combinator*.

$Y$ :  $\lambda f. (\lambda x. (f \lambda y. ((x x) y)))$   
 $\lambda x. (f \lambda y. ((x x) y)))$

Applicative  
Order

$Y$ :  $\lambda f. (\lambda x. (f (x x)))$   
 $\lambda x. (f (x x))$

Normal Order

You get from the normal order to the applicative order recursion combinator by  $\eta$ -expansion ( $\eta$ -conversion from right to left).

# Natural Numbers in Lambda Calculus

$ 0 :$	$\lambda x.x$	(Zero)
$ 1 :$	$\lambda x.\lambda x.x$	(One)
...		
$ n+1 :$	$\lambda x. n $	(N+1)
$s:$	$\lambda n.\lambda x.n$	(Successor)

$$\begin{aligned} & (s\ 0) \\ & (\lambda n.\lambda x.n\ \lambda x.x) \\ & \Rightarrow \lambda x.\lambda x.x \end{aligned}$$

***Recall semantics rule:***

$$(\lambda x.E\ M) \Rightarrow E\{M/x\}$$

# Booleans and Branching (*if*) in $\lambda$ Calculus

$|true|:$   $\lambda x.\lambda y.x$  (True)

$|false|:$   $\lambda x.\lambda y.y$  (False)

$|if|:$   $\lambda b.\lambda t.\lambda e.((b\ t)\ e)$  (If)

**Recall semantics rule:**

$(\lambda x.E\ M) \Rightarrow E\{M/x\}$

$((if\ true)\ a)\ b$

$((\lambda b.\lambda t.\lambda e.((b\ t)\ e)\ \lambda x.\lambda y.x)\ a)\ b$   
 $\Rightarrow ((\lambda t.\lambda e.((\lambda x.\lambda y.x\ t)\ e)\ a)\ b)$   
 $\Rightarrow (\lambda e.((\lambda x.\lambda y.x\ a)\ e)\ b)$   
 $\Rightarrow ((\lambda x.\lambda y.x\ a)\ b)$   
 $\Rightarrow (\lambda y.a\ b)$   
 $\Rightarrow a$

# Church Numerals

$ 0 :$	$\lambda f. \lambda x. x$	(Zero)
$ 1 :$	$\lambda f. \lambda x. (f x)$	(One)
...		
$ n :$	$\lambda f. \lambda x. (f \dots (f x) \dots)$	(N applications of f to x)
$s:$	$\lambda n. \lambda f. \lambda x. (f ((n f) x))$	(Successor)

$(s\ 0)$

*Recall semantics rule:*

$(\lambda x. E\ M) \Rightarrow E\{M/x\}$

$$\begin{aligned}
 & (\lambda n. \lambda f. \lambda x. (f ((n f) x))\ \lambda f. \lambda x. x) \\
 & \Rightarrow \lambda f. \lambda x. (f ((\lambda f. \lambda x. x\ f)\ x)) \\
 & \Rightarrow \lambda f. \lambda x. (f (\lambda x. x\ x)) \\
 & \Rightarrow \lambda f. \lambda x. (f\ x)
 \end{aligned}$$

# Church Numerals: isZero?

*Recall semantics rule:*

$(\lambda x.E M) \Rightarrow E\{M/x\}$

*isZero?:*                     $\lambda n.((n \lambda x.false) true)$                     (Is n=0?)

*(isZero? 0)*  
 $(\lambda n.((n \lambda x.false) true) \lambda f.\lambda x.x)$   
 $\Rightarrow ((\lambda f.\lambda x.x \lambda x.false) true)$   
 $\Rightarrow (\lambda x.x true)$   
 $\Rightarrow true$

*(isZero? 1)*  
 $(\lambda n.((n \lambda x.false) true) \lambda f.\lambda x.(f x))$   
 $\Rightarrow ((\lambda f.\lambda x.(f x) \lambda x.false) true)$   
 $\Rightarrow (\lambda x.(\lambda x.false x) true)$   
 $\Rightarrow (\lambda x.false true)$   
 $\Rightarrow false$

# Functions

- Compute the factorial function:
- Start with the mathematical definition

$$n! = 1 \times 2 \times \dots \times (n-1) \times n$$

declare

fun {Fact N}

  if N==0 then 1 else N\*{Fact N-1} end

end

$$0! = 1$$

$$n! = n \times (n-1)! \text{ if } n > 0$$

- Fact is declared in the environment
- Try large factorial {Browse {Fact 100}}

# Factorial in Haskell

`factorial :: Integer -> Integer`

`factorial 0 = 1`

`factorial n | n > 0 = n * factorial (n-1)`

# Structured data (lists)

- Calculate Pascal triangle
- Write a function that calculates the nth row as one structured value
- A list is a sequence of elements:  
[1 4 6 4 1]
- The empty list is written nil
- Lists are created by means of "[]" (cons)

```
      1
     1 1
    1 2 1
   1 3 3 1
  1 4 6 4 1
```

`declare`

`H=1`

`T = [2 3 4 5]`

`{Browse H|T} % This will show [1 2 3 4 5]`

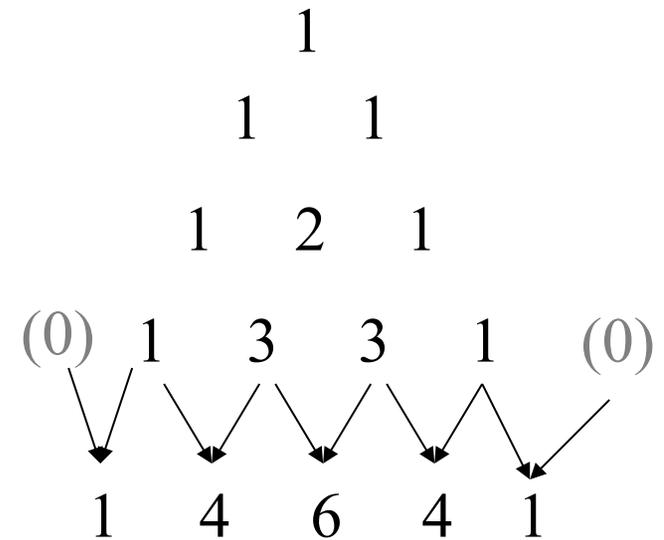
# Pattern matching

- Another way to take a list apart is by use of pattern matching with a case instruction

```
case L of H|T then {Browse H} {Browse T}
           else {Browse 'empty list' }
end
```

# Functions over lists

- Compute the function {Pascal N}
  - Takes an integer N, and returns the Nth row of a Pascal triangle as a list
1. For row 1, the result is [1]
  2. For row N, shift to left row N-1 and shift to the right row N-1
  3. Align and add the shifted rows element-wise to get row N



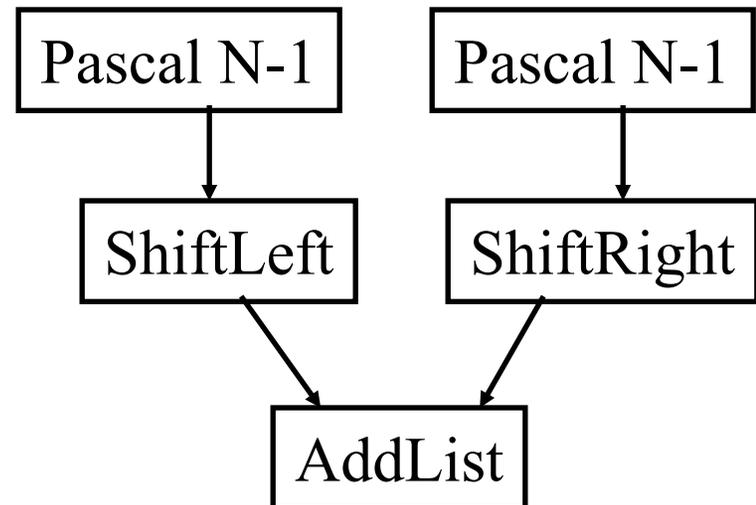
Shift right [0 1 3 3 1]

Shift left [1 3 3 1 0]

# Functions over lists

```
declare
fun {Pascal N}
  if N==1 then [1]
  else
    {AddList
     {ShiftLeft {Pascal N-1}}
     {ShiftRight {Pascal N-1}}}}
  end
end
```

Pascal N



# Functions over lists (2)

```
fun {ShiftLeft L}
  case L of H|T then
    H{|ShiftLeft T}
  else [0]
  end
end

fun {ShiftRight L} 0|L end
```

```
fun {AddList L1 L2}
  case L1 of H1|T1 then
    case L2 of H2|T2 then
      H1+H2{|AddList T1 T2}
    end
  else nil end
end
```

# Pattern matching in Haskell

- Another way to take a list apart is by use of pattern matching with a case instruction:

```
case l of (h:t) -> h:t  
          []   -> []  
end
```

- Or more typically as part of a function definition:

```
id (h:t) -> h:t  
id []   -> []
```

# Functions over lists in Haskell

```
--- Pascal triangle row
pascal :: Integer -> [Integer]
pascal 1 = [1]
pascal n = addList (shiftLeft (pascal (n-1)))
                 (shiftRight (pascal (n-1)))

where
  shiftLeft []    = [0]
  shiftLeft (h:t) = h:shiftLeft t
  shiftRight l   = 0:l
  addList [] []  = []
  addList (h1:t1) (h2:t2) = (h1+h2):addList t1 t2
```

# Mathematical induction

- Select one or more inputs to the function
- Show the program is correct for the *simple cases* (base cases)
- Show that if the program is correct for a *given case*, it is then correct for the *next case*.
- For natural numbers, the base case is either 0 or 1, and for any number  $n$  the next case is  $n+1$
- For lists, the base case is `nil`, or a list with one or a few elements, and for any list  $T$  the next case is  $H|T$

# Correctness of factorial

```
fun {Fact N}  
  if N==0 then 1 else N*{Fact N-1} end  
end
```

$$\underbrace{1 \times 2 \times \cdots \times (n-1)}_{\text{Fact}(n-1)} \times n$$

- Base Case  $N=0$ : {Fact 0} returns 1
- Inductive Case  $N>0$ : {Fact N} returns  $N*\{\text{Fact } N-1\}$  assume {Fact  $N-1$ } is correct, from the spec we see that {Fact N} is  $N*\{\text{Fact } N-1\}$

# Iterative computation

- An iterative computation is one whose execution stack is bounded by a constant, independent of the length of the computation
- Iterative computation starts with an initial state  $S_0$ , and transforms the state in a number of steps until a final state  $S_{\text{final}}$  is reached:

$$S_0 \longrightarrow S_1 \longrightarrow \dots \longrightarrow S_{\text{final}}$$

# The general scheme

```
fun {Iterate  $S_i$ }  
  if {IsDone  $S_i$ } then  $S_i$   
  else  $S_{i+1}$  in  
     $S_{i+1} = \{Transform\ S_i\}$   
    {Iterate  $S_{i+1}$ }  
  end  
end
```

- *IsDone* and *Transform* are problem dependent

## From a general scheme to a control abstraction (2)

```
fun {Iterate S IsDone Transform}
  if {IsDone S} then S
  else S1 in
    S1 = {Transform S}
    {Iterate S1 IsDone Transform}
  end
end
```

```
fun {Iterate  $S_i$ }
  if {IsDone  $S_i$ } then  $S_i$ 
  else  $S_{i+1}$  in
     $S_{i+1} = \{Transform S_i\}$ 
    {Iterate  $S_{i+1}$ }
  end
end
```

# Sqrt using the control abstraction

```
fun {Sqrt X}  
  {Iterate  
    1.0  
    fun {$ G} {Abs X - G*G}/X < 0.000001 end  
    fun {$ G} (G + X/G)/2.0 end  
  }  
end
```

Iterate could become a linguistic abstraction

# Sqrt in Haskell

```
let sqrt x = head (dropWhile (not . goodEnough) sqrtGuesses)
```

```
  where
```

```
    goodEnough guess = (abs (x - guess*guess))/x < 0.00001
```

```
    improve guess = (guess + x/guess)/2.0
```

```
    sqrtGuesses = 1:(map improve sqrtGuesses)
```

This sqrt example uses infinite lists enabled by lazy evaluation, and the map control abstraction.

# Higher-order programming

- **Higher-order programming** = the set of programming techniques that are possible with procedure values (lexically-scoped closures)
- Basic operations
  - **Procedural abstraction**: creating procedure values with lexical scoping
  - **Genericity**: procedure values as arguments
  - **Instantiation**: procedure values as return values
  - **Embedding**: procedure values in data structures
- Higher-order programming is the foundation of component-based programming and object-oriented programming

# Procedural abstraction

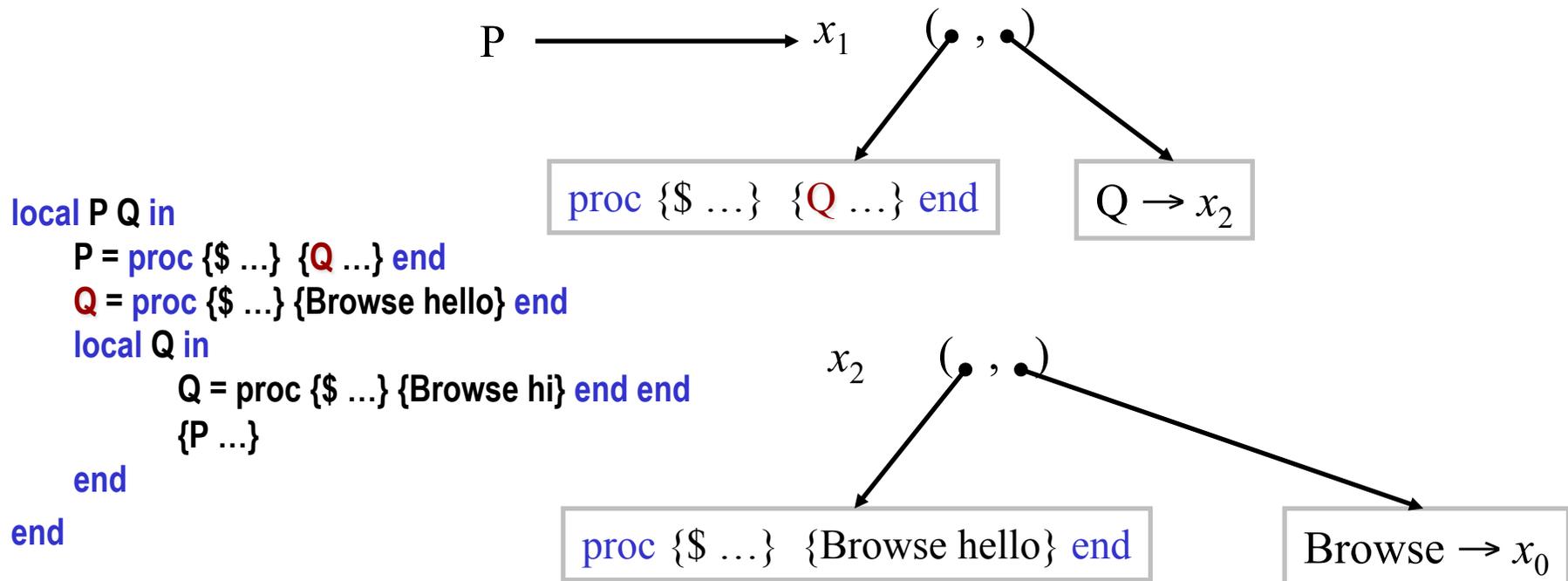
- Procedural abstraction is the ability to convert any statement into a procedure value
  - A procedure value is usually called a **closure**, or more precisely, a **lexically-scoped closure**
  - A procedure value is a pair: it combines the procedure code with the environment where the procedure was created (the contextual environment)
- Basic scheme:
  - Consider any statement  $\langle s \rangle$
  - Convert it into a procedure value:  $P = \text{proc } \{\$ \} \langle s \rangle \text{ end}$
  - Executing  $\{P\}$  has **exactly the same effect** as executing  $\langle s \rangle$

# Procedure values

- Constructing a procedure value in the store is not simple because a procedure may have external references

```
local P Q in
  P = proc {$ ...} {Q ...} end
  Q = proc {$ ...} {Browse hello} end
  local Q in
    Q = proc {$ ...} {Browse hi} end
    {P ...}
  end
end
```

# Procedure values (2)



# Genericity

- Replace specific entities (zero 0 and addition +) by function arguments
- The same routine can do the sum, the product, the logical or, etc.

```
fun {SumList L}
  case L
  of nil then 0
  [] X|L2 then X+{SumList L2}
  end
end
```



```
fun {FoldR L F U}
  case L
  of nil then U
  [] X|L2 then {F X {FoldR L2 F U}}
  end
end
```

# Genericity in Haskell

- Replace specific entities (zero 0 and addition +) by function arguments
- The same routine can do the sum, the product, the logical or, etc.

```
sumlist :: (Num a) => [a] -> a
sumlist [] = 0
sumlist (h:t) = h+sumlist t
```



```
foldr' :: (a->b->b) -> b -> [a] -> b
foldr' _ u [] = u
foldr' f u (h:t) = f h (foldr' f u t)
```

# Instantiation

```
fun {FoldFactory F U}
  fun {FoldR L}
    case L
    of nil then U
    [] X|L2 then {F X {FoldR L2}}
    end
  end
in
  FoldR
end
```

- Instantiation is when a procedure returns a procedure value as its result
- Calling {FoldFactory fun {\$ A B} A+B end 0} returns a function that behaves identically to SumList, which is an « **instance** » of a folding function

# Embedding

- Embedding is when procedure values are put in data structures
- Embedding has many uses:
  - **Modules**: a module is a record that groups together a set of related operations
  - **Software components**: a software component is a generic function that takes a set of modules as its arguments and returns a new module. It can be seen as **specifying** a module in terms of the modules it needs.
  - **Delayed evaluation** (also called **explicit lazy evaluation**): build just a small part of a data structure, with functions at the extremities that can be called to build more. The consumer can control explicitly how much of the data structure is built.

# Control Abstractions

```
fun {FoldL Xs F U}  
  case Xs  
  of nil then U  
  [] X|Xr then {FoldL Xr F {F X U}}  
  end  
end
```

What does this program do ?

```
{Browse {FoldL [1 2 3]  
  fun {$ X Y} X|Y end nil}}
```

# FoldL in Haskell

`foldl' :: (b->a->b) -> b -> [a] -> b`

`foldl' _ u [] = u`

`foldl' f u (h:t) = foldl' f (f u h) t`

Notice the unit `u` is of type `b`, and the function `f` is of type `b->a->b`.

# List-based techniques

```
fun {Map Xs F}  
  case Xs  
  of nil then nil  
  [] X|Xr then  
    {F X}|{Map Xr F}  
  end  
end
```

```
fun {Filter Xs P}  
  case Xs  
  of nil then nil  
  [] X|Xr andthen {P X} then  
    X|{Filter Xr P}  
  [] X|Xr then {Filter Xr P}  
  end  
end
```

# Map in Haskell

`map' :: (a -> b) -> [a] -> [b]`

`map' _ [] = []`

`map' f (h:t) = f h:map' f t`

`_` means that the argument is not used (read “don’t care”).  
`map'` is to distinguish it from the Prelude `map` function.

# Filter in Haskell

`filter' :: (a -> Bool) -> [a] -> [a]`

`filter' _ [] = []`

`filter' p (h:t) = if p h then h:filter' p t  
                  else filter' p t`

# Filter as FoldR application

```
fun {Filter L P}  
  {FoldR L fun {$ H T}  
    if {P H} then  
      H|T  
    else T end  
  end nil}  
end
```

```
filter" :: (a-> Bool) -> [a] -> [a]  
filter" p l = foldr  
  (\h t -> if p h  
    then h:t  
    else t) [] l
```

# Lazy evaluation

- The functions written so far are evaluated eagerly (as soon as they are called)
- Another way is lazy evaluation where a computation is done only when the results is needed

- Calculates the infinite list:  
0 | 1 | 2 | 3 | ...

```
declare  
fun lazy {Ints N}  
  N|{Ints N+1}  
end
```

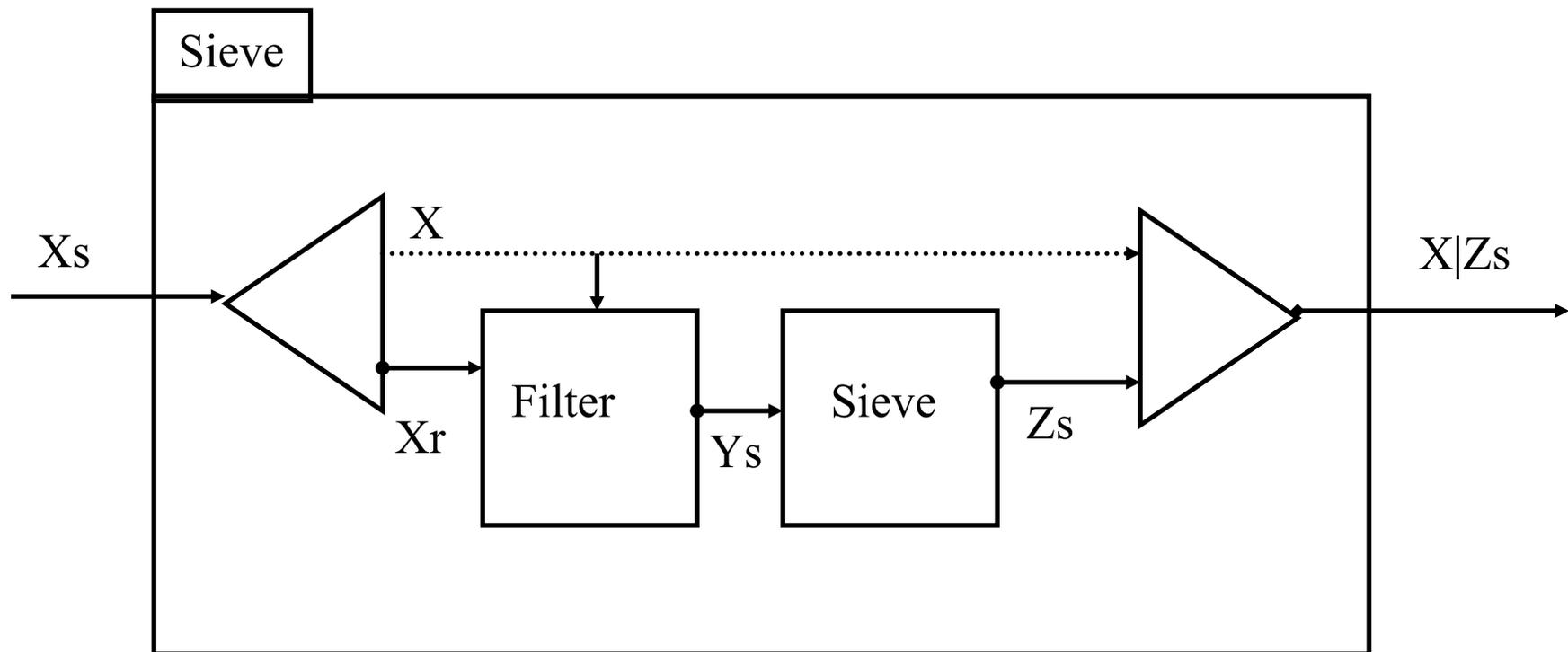
# Lazy evaluation (2)

- Write a function that computes as many rows of Pascal's triangle as needed
- We do not know how many beforehand
- A function is *lazy* if it is evaluated only when its result is needed
- The function `PascalList` is evaluated when needed

```
fun lazy {PascalList Row}
  Row | {PascalList
        {AddList
         {ShiftLeft Row}
         {ShiftRight Row}}}}
end
```

# Larger Example: The Sieve of Eratosthenes

- Produces prime numbers
- It takes a stream  $2\dots N$ , peels off 2 from the rest of the stream
- Delivers the rest to the next sieve



# Lazy Sieve

```
fun lazy {Sieve Xs}
  X|Xr = Xs in
  X | {Sieve {LFilter
    Xr
    fun {$ Y} Y mod X \= 0 end
  }}
end

fun {Primes} {Sieve {Ints 2}} end
```

# Lazy Filter

For the Sieve program we need a lazy filter

```
fun lazy {LFilter Xs F}
  case Xs
  of nil then nil
  [] X|Xr then
    if {F X} then X|{LFilter Xr F} else {LFilter Xr F} end
  end
end
```

# Primes in Haskell

```
ints :: (Num a) => a -> [a]
```

```
ints n = n : ints (n+1)
```

```
sieve :: (Integral a) => [a] -> [a]
```

```
sieve (x:xr) = x:sieve (filter (\y -> (y `mod` x /= 0)) xr)
```

```
primes :: (Integral a) => [a]
```

```
primes = sieve (ints 2)
```

Functions in Haskell are lazy by default. You can use `take 20 primes` to get the first 20 elements of the list.

# List Comprehensions

- Abstraction provided in lazy functional languages that allows writing higher level set-like expressions
- In our context we produce lazy lists instead of sets
- The mathematical set expression
  - $\{x*y \mid 1 \leq x \leq 10, 1 \leq y \leq x\}$
- Equivalent List comprehension expression is
  - $[X*Y \mid X = 1..10 ; Y = 1..X]$
- Example:
  - $[1*1 \ 2*1 \ 2*2 \ 3*1 \ 3*2 \ 3*3 \ \dots \ 10*10]$

# List Comprehensions

- The general form is
- $[ f(x,y, \dots,z) \mid x \leftarrow \text{gen}(a_1, \dots, a_n) ; \text{guard}(x, \dots)$   
     $y \leftarrow \text{gen}(x, a_1, \dots, a_n) ; \text{guard}(y, x, \dots)$   
    ....  
    ]
- No linguistic support in Mozart/Oz, but can be easily expressed

# Example 1

- $z = [x\#x \mid x \leftarrow \text{from}(1,10)]$
- $Z = \{\text{LMap} \{\text{LFrom } 1 \ 10\} \text{ fun}\{\$ X\} X\#X \text{ end}\}$
- $z = [x\#y \mid x \leftarrow \text{from}(1,10), y \leftarrow \text{from}(1,x)]$
- $Z = \{\text{LFlatten}$   
     $\{\text{LMap} \{\text{LFrom } 1 \ 10\}$   
     $\text{fun}\{\$ X\} \{\text{LMap} \{\text{LFrom } 1 \ X\}$   
         $\text{fun} \{\$ Y\} X\#Y \text{ end}$   
     $\}$   
     $\text{end}$   
     $\}$   
     $\}$

## Example 2

- $z = [x\#y \mid x \leftarrow \text{from}(1,10), y \leftarrow \text{from}(1,x), x+y \leq 10]$
- $Z = \{\text{LFilter}$   
     $\{\text{LFlatten}$   
         $\{\text{LMap } \{\text{LFrom } 1 \ 10\}$   
           $\text{fun } \{\$ X\} \{\text{LMap } \{\text{LFrom } 1 \ X\}$   
             $\text{fun } \{\$ Y\} X\#Y \text{ end}$   
           $\}$   
         $\text{end}$   
     $\}$   
   $\}$   
   $\text{fun } \{\$ X\#Y\} X+Y \leq 10 \text{ end}\} \}$

# List Comprehensions in Haskell

```
lc1 = [(x,y) | x <- [1..10], y <- [1..x]]
```

```
lc2 = filter (\(x,y)->(x+y<=10)) lc1
```

```
lc3 = [(x,y) | x <- [1..10], y <- [1..x], x+y<= 10]
```

Haskell provides syntactic support for list comprehensions. List comprehensions are implemented using a built-in list monad.

# Quicksort using list comprehensions

```
quicksort :: (Ord a) => [a] -> [a]
```

```
quicksort [] = []
```

```
quicksort (h:t) = quicksort [x | x <- t, x < h] ++  
                    [h] ++  
                    quicksort [x | x <- t, x >= h]
```

# Types of typing

- Languages can be *weakly typed*
  - Internal representation of types can be manipulated by a program
    - e.g., a string in C is an array of characters ending in ‘\0’.
- *Strongly typed* programming languages can be further subdivided into:
  - *Dynamically typed* languages
    - Variables can be bound to entities of any type, so in general the type is only known at **run-time**, e.g., Oz, SALSA.
  - *Statically typed* languages
    - Variable types are known at **compile-time**, e.g., C++, Java.

# Type Checking and Inference

- *Type checking* is the process of ensuring a program is well-typed.
  - One strategy often used is *abstract interpretation*:
    - The principle of getting partial information about the answers from partial information about the inputs
    - Programmer supplies types of variables and type-checker deduces types of other expressions for consistency
- *Type inference* frees programmers from annotating variable types: types are inferred from variable usage, e.g. ML, Haskell.

# Abstract data types

- A datatype is a set of values and an associated set of operations
- A datatype is abstract only if it is completely described by its set of operations regardless of its implementation
- This means that it is possible to change the implementation of the datatype without changing its use
- The datatype is thus described by a set of procedures
- These operations are the only thing that a user of the abstraction can assume

# Example: A Stack

- Assume we want to define a new datatype  $\langle \text{stack } T \rangle$  whose elements are of any type  $T$

fun {NewStack}:  $\langle \text{Stack } T \rangle$

fun {Push  $\langle \text{Stack } T \rangle \langle T \rangle$  }:  $\langle \text{Stack } T \rangle$

fun {Pop  $\langle \text{Stack } T \rangle \langle T \rangle$  }:  $\langle \text{Stack } T \rangle$

fun {IsEmpty  $\langle \text{Stack } T \rangle$  }:  $\langle \text{Bool} \rangle$

- These operations normally satisfy certain laws:

$\{\text{IsEmpty } \{\text{NewStack}\}\} = \text{true}$

for any  $E$  and  $S0$ ,  $S1 = \{\text{Push } S0 E\}$  and  $S0 = \{\text{Pop } S1 E\}$  hold

$\{\text{Pop } \{\text{NewStack}\} E\}$  raises error

# Stack (another implementation)

```
fun {NewStack} nil end
```

```
fun {Push S E} E|S end
```

```
fun {Pop S E} case S of X|S1 then E = X S1 end end
```

```
fun {IsEmpty S} S==nil end
```

---

```
fun {NewStack} emptyStack end
```

```
fun {Push S E} stack(E S) end
```

```
fun {Pop S E} case S of stack(X S1) then E = X S1 end end
```

```
fun {IsEmpty S} S==emptyStack end
```

# Stack data type in Haskell

```
data Stack a = Empty | Stack a (Stack a)
```

```
newStack :: Stack a
```

```
newStack = Empty
```

```
push :: Stack a -> a -> Stack a
```

```
push s e = Stack e s
```

```
pop :: Stack a -> (Stack a, a)
```

```
pop (Stack e s) = (s, e)
```

```
isempty :: Stack a -> Bool
```

```
isempty Empty = True
```

```
isempty (Stack _ _) = False
```

# Secure abstract data types: A secure stack

With the wrapper & unwrapper we can build  
a secure stack

```
local Wrap Unwrap in
  {NewWrapper Wrap Unwrap}
  fun {NewStack} {Wrap nil} end
  fun {Push S E} {Wrap E|{Unwrap S}} end
  fun {Pop S E}
    case {Unwrap S} of X|S1 then
      E=X {Wrap S1} end
    end
  fun {IsEmpty S} {Unwrap S}==nil end
end
```

```
proc {NewWrapper
      ?Wrap ?Unwrap}
  Key={NewName}
in
  fun {Wrap X}
    fun {$ K}
      if K==Key then X end
    end
  end
  fun {Unwrap C}
    {C Key}
  end
end
```

# Stack abstract data type as a module in Haskell

```
module StackADT (Stack,newStack,push,pop,isEmpty) where
```

```
data Stack a = Empty | Stack a (Stack a)
```

```
newStack = Empty
```

```
...
```

- Modules can then be imported by other modules, e.g.:

```
module Main (main) where
```

```
import StackADT ( Stack, newStack,push,pop,isEmpty )
```

```
main = do print (push (push newStack 1) 2)
```

# Declarative operations (1)

- An operation is *declarative* if whenever it is called with the same arguments, it returns the same results independent of any other computation state
- A declarative operation is:
  - *Independent* (depends only on its arguments, nothing else)
  - *Stateless* (no internal state is remembered between calls)
  - *Deterministic* (call with same operations always give same results)
- Declarative operations can be composed together to yield other declarative components
  - All basic operations of the declarative model are declarative and combining them always gives declarative components

# Why declarative components (1)

- There are two reasons why they are important:
- *(Programming in the large)* A declarative component can be written, tested, and proved correct independent of other components and of its own past history.
  - The complexity (reasoning complexity) of a program composed of declarative components is the *sum* of the complexity of the components
  - In general the reasoning complexity of programs that are composed of nondeclarative components explodes because of the intimate interaction between components
- *(Programming in the small)* Programs written in the declarative model are much easier to reason about than programs written in more expressive models (e.g., an object-oriented model).
  - Simple algebraic and logical reasoning techniques can be used

# Monads

- Purely functional programming is **declarative** in nature: whenever a function is called with the same arguments, it returns the same results independent of any other computation state.
- How to model the real world (that may have context dependences, state, nondeterminism) in a purely functional programming language?
  - Context dependences: e.g., does file exist in expected directory?
  - State: e.g., is there money in the bank account?
  - Nondeterminism: e.g., does bank account deposit happen before or after interest accrual?
- Monads to the rescue!

# Monad class

- The Monad class defines two basic operations:

```
class Monad m where
```

```
    (>>=)      :: m a -> (a -> m b) -> m b  -- bind
```

```
    return    :: a -> m a
```

```
    fail      :: String -> m a
```

```
    m >> k    = m >>= \_ -> k
```

- The `>>=` infix operation binds two monadic values, while the `return` operation injects a value into the monad (container).
- Example monadic classes are `IO`, lists (`[]`) and `Maybe`.

# do syntactic sugar

- In the IO class,  $x \gg= y$ , performs two actions sequentially (like the Seq combinator in the lambda-calculus) passing the result of the first into the second.
- Chains of monadic operations can use do:  
$$\begin{aligned} \text{do } e1 ; e2 &= e1 \gg e2 \\ \text{do } p \leftarrow e1 ; e2 &= e1 \gg= \backslash p \rightarrow e2 \end{aligned}$$
- Pattern match can fail, so the full translation is:  
$$\begin{aligned} \text{do } p \leftarrow e1 ; e2 &= e1 \gg= (\backslash v \rightarrow \text{case of } p \rightarrow e2 \\ &\quad \_ \rightarrow \text{fail "s"}) \end{aligned}$$
- Failure in IO monad produces an error, whereas failure in the List monad produces the empty list.

# Monad class laws

- All instances of the Monad class should respect the following laws:

<code>return a &gt;&gt;= k</code>	<code>= k a</code>
<code>m &gt;&gt;= return</code>	<code>= m</code>
<code>xs &gt;&gt;= return . f</code>	<code>= fmap f xs</code>
<code>m &gt;&gt;= (\x -&gt; k x &gt;&gt;= h)</code>	<code>= (m &gt;&gt;= k) &gt;&gt;= h</code>

- These laws ensure that we can bind together monadic values with `>>=` and inject values into the monad (container) using `return` in consistent ways.
- The MonadPlus class includes an `mzero` element and an `mplus` operation. For lists, `mzero` is the empty list (`[]`), and the `mplus` operation is list concatenation (`++`).

# List comprehensions with monads

```
lc1 = [(x,y) | x <- [1..10], y <- [1..x]]
```

```
lc1' = do x <- [1..10]
        y <- [1..x]
        return (x,y)
```

```
lc1'' = [1..10] >>= (\x ->
                    [1..x] >>= (\y ->
                                return (x,y)))
```

List comprehensions are implemented using a built-in list monad. Binding (`l >>= f`) applies the function `f` to all the elements of the list `l` and concatenates the results. The `return` function creates a singleton list.

# List comprehensions with monads (2)

```
lc3 = [(x,y) | x <- [1..10], y <- [1..x], x+y<= 10]
```

```
lc3' = do x <- [1..10]
```

```
  y <- [1..x]
```

```
  True <- return (x+y<=10)
```

```
  return (x,y)
```

Guards in list comprehensions assume that fail in the List monad returns an empty list.

```
lc3'' = [1..10] >>= (\x ->
```

```
  [1..x] >>= (\y ->
```

```
    return (x+y<=10) >>=
```

```
      (\b -> case b of True -> return (x,y); _ -> fail ""))
```

# Monads summary

- Monads enable keeping track of imperative features (state) in a way that is modular with purely functional components.
  - For example, fib remains functional, yet the R monad enables us to keep a count of instructions separately.
- Input/output, list comprehensions, and optional values (Maybe class) are built-in monads in Haskell.
- Monads are useful to modularly define semantics of domain-specific languages.