# CSCI-1200 Data Structures — Spring 2019 Lecture 19 – Trees, Part III

## Review from Lecture 17 & 18

- Overview of the ds\_set implementation
- begin, find, destroy\_tree, insert
- In-order, pre-order, and post-order traversal; Breadth-first and depth-first tree search
- Iterator implementation. Finding the in order successor to a node: add parent pointers *or* add a list/vector/stack of pointers to the iterator.
- Ropes overview

### Announcements: Test 3 Information

- Test 3 will be held Thursday, April 4th, 2019 from 6-7:50pm
  - Students will be randomly assigned to a test room and seating zone. If on Tuesday evening you still don't have a seating assignment when you log onto Submitty, let us know via the ds\_instructors list.
  - No make-ups will be given except for pre-approved absence or illness, and a written excuse from the Dean
    of Students or the Student Experience office or the RPI Health Center will be required.
  - If you have a letter from Disability Services for Students and you have not already emailed it to ds\_instructors@cs.rpi.edu, please do so by Tuesday 4:30pm. Erica Eberwein will be in contact with you about your accommodations for the test.
- Coverage: Lectures 1-19, Labs 1-10, HW 1-8.
- OPTIONAL: Prepare a 2 page, black & white, 8.5x11", portrait orientation .pdf of notes you would like to have during the exam. This may be digitally prepared or handwritten and scanned or photographed. The file may be no bigger than 2MB. You will upload this file to Submitty ("Test 3 Notes Upload") before Wednesday night @11:59pm. We will print this and attach it to your test.
- All students must bring their Rensselaer photo ID card.
- Practice problems from previous tests are available on the course website (as of Friday 11am). Solutions to the problems will be posted Monday at 10am.

### **Test Taking Skills**

- Look at the point values for each problem, allocate time proportional to the problem points. (Don't spend all of your time on one problem and neglect other big point problems).
- Look at the size of the answer box & the sample solution code line estimate for each problem. If your solution is going to take a lot more space than the box allows, we are probably looking for the solution to a simpler problem or a simpler solution to the problem.
- Going in to the test, you should know what big topics will be covered on the test. As you skim through the problems, see if you can match up those big topics to each question. Even if you are stumped about how to solve the whole problem, or some of the details of the problem, make sure you demonstrate your understanding of the big topic that is covered in that question.
- Re-read the problem statement carefully. Make sure you didn't miss anything.
- Ask questions during the test if something is unclear.

#### **Today's Lecture**

- Last piece of ds\_set: removing an item, erase
- Breadth-first and depth-first tree search
- Tree height, longest-shortest paths, breadth-first search
- Erase with parent pointers, increment operation on iterators
- Limitations of our ds\_set implementation

#### 19.1 ds\_set Warmup/Review Exercises

• Draw a diagram of a *possible* memory layout for a ds\_set containing the numbers 16, 2, 8, 11, and 5. Is there only one valid memory layout for this data as a ds\_set? Why?

• In what order should a forward iterator visit the data? Draw an *abstract* table representation of this data (omits details of TreeNode memory layout).

#### 19.2 Erase

First we need to find the node to remove. Once it is found, the actual removal is easy if the node has no children or only one child. *Draw picture of each case!* 

It is harder if there are two children:

- Find the node with the greatest value in the left subtree or the node with the smallest value in the right subtree.
- The value in this node may be safely moved into the current node because of the tree ordering.
- Then we recursively apply erase to remove that node which is guaranteed to have at most one child.

**Exercise:** Write a recursive version of erase.





**Exercise:** How does the order that nodes are deleted affect the tree structure? Starting with a mostly balanced tree, give an erase ordering that yields an unbalanced tree.

#### 19.3 Depth-first vs. Breadth-first Search

- We should also discuss two other important tree traversal terms related to problem solving and searching.
  - In a *depth-first* search, we greedily follow links down into the tree, and don't backtrack until we have hit a leaf.

When we hit a leaf we step back out, but only to the last decision point and then proceed to the next leaf. This search method will quickly investigate leaf nodes, but if it has made an "incorrect" branch decision early in the search, it will take a long time to work back to that point and go down the "right" branch.

 In a *breadth-first* search, the nodes are visited with priority based on their distance from the root, with nodes closer to the root visited first.

In other words, we visit the nodes by level, first the root (level 0), then all children of the root (level 1), then all nodes 2 links from the root (level 2), etc.

If there are multiple solution nodes, this search method will find the solution node with the shortest path to the root node.

However, the breadth-first search method is memory-intensive, because the implementation must store all nodes at the current level – and the worst case number of nodes on each level doubles as we progress down the tree!

- Both depth-first and breadth-first will eventually visit all elements in the tree.
- Note: The ordering of elements visited by depth-first and breadth-first is not fully specified.
  - In-order, pre-order, and post-order are all examples of depth-first tree traversals.
     Note: A simple recursive tree function is usually a depth-first traversal.
  - What is a breadth-first traversal of the elements in our sample binary search trees above?

#### 19.4 General-Purpose Breadth-First Search/Tree Traversal

• Write an algorithm to print the nodes in the tree one tier at a time, that is, in a *breadth-first* manner.

• What is the best/average/worst-case running time of this algorithm? What is the best/average/worst-case memory usage of this algorithm? Give a specific example tree that illustrates each case.

# 19.5 Height and Height Calculation Algorithm

- The *height* of a node in a tree is the length of the longest path down the tree from that node to a leaf node. The height of a leaf is 1. We will think of the height of a null pointer as 0.
- The height of the tree is the height of the root node, and therefore if the tree is empty the height will be 0. **Exercise:** Write a simple recursive algorithm to calculate the height of a tree.

• What is the best/average/worst-case running time of this algorithm? What is the best/average/worst-case memory usage of this algorithm? Give a specific example tree that illustrates each case.

#### 19.6 Shortest Paths to Leaf Node

• Now let's write a function to instead calculate the *shortest* path to a NULL child pointer.

• What is the running time of this algorithm? Can we do better? *Hint: How does a breadth-first vs. depth-first algorithm for this problem compare?* 

#### **19.7** Erase (now with parent pointers)

- If we choose to use parent pointers, we need to add to the Node representation, and re-implement several ds\_set member functions.
- Exercise: Study the new version of insert, with parent pointers.
- Exercise: Rewrite erase, now with parent pointers.

# 19.8 Limitations of Our BST Implementation

- The efficiency of the main insert, find and erase algorithms depends on the height of the tree.
- The best-case and average-case heights of a binary search tree storing n nodes are both  $O(\log n)$ . The worst-case, which often can happen in practice, is O(n).
- Developing more sophisticated algorithms to avoid the worst-case behavior will be covered in Introduction to Algorithms.

```
// ------
// TREE NODE CLASS
template <class T> class TreeNode {
public:
 TreeNode() : left(NULL), right(NULL), parent(NULL) {}
 TreeNode(const T& init) : value(init), left(NULL), right(NULL), parent(NULL) {}
 T value:
 TreeNode* left;
 TreeNode* right;
 TreeNode* parent; // to allow implementation of iterator increment & decrement
};
template <class T> class ds_set;
// -----
// TREE NODE ITERATOR CLASS
template <class T> class tree_iterator {
public:
 tree_iterator() : ptr_(NULL), set_(NULL) {}
 tree_iterator(TreeNode<T>* p, const ds_set<T> * s) : ptr_(p), set_(s) {}
 // operator* gives constant access to the value at the pointer
 const T& operator*() const { return ptr_->value; }
 // comparions operators are straightforward
 bool operator== (const tree_iterator& rgt) { return ptr_ == rgt.ptr_; }
 bool operator!= (const tree_iterator& rgt) { return ptr_ != rgt.ptr_; }
 // increment & decrement operators
 tree_iterator<T> & operator++() {
   if (ptr_->right != NULL) { // find the leftmost child of the right node
     ptr_ = ptr_->right;
     while (ptr_->left != NULL) { ptr_ = ptr_->left; }
   } else { // go upwards along right branches... stop after the first left
     while (ptr_->parent != NULL && ptr_->parent->right == ptr_) { ptr_ = ptr_->parent; }
     ptr_ = ptr_->parent;
   }
   return *this;
 }
 tree_iterator<T> operator++(int) { tree_iterator<T> temp(*this); ++(*this); return temp; }
 tree_iterator<T> & operator--() {
   if (ptr_ == NULL) { // so that it works for end()
     assert (set_ != NULL);
     ptr_ = set_->root_;
     while (ptr_->right != NULL) { ptr_ = ptr_->right; }
   } else if (ptr_->left != NULL) { // find the rightmost child of the left node
     ptr_ = ptr_->left;
     while (ptr_->right != NULL) { ptr_ = ptr_->right; }
   } else { // go upwards along left brances... stop after the first right
     while (ptr_->parent != NULL && ptr_->parent->left == ptr_) { ptr_ = ptr_->parent; }
     ptr_ = ptr_->parent;
   }
   return *this;
 }
 tree_iterator<T> operator--(int) { tree_iterator<T> temp(*this); --(*this); return temp; }
private:
 // representation
 TreeNode<T>* ptr_;
 const ds_set<T>* set_;
};
// ------
// DS_ SET CLASS
template <class T> class ds_set {
public:
 ds_set() : root_(NULL), size_(0) {}
 ds_set(const ds_set<T>& old) : size_(old.size_) { root_ = this->copy_tree(old.root_,NULL); }
 ~ds_set() { this->destroy_tree(root_); root_ = NULL; }
 ds_set& operator=(const ds_set<T>& old) {
   if (&old != this) {
     this->destroy_tree(root_);
```

```
root_ = this->copy_tree(old.root_,NULL);
     size_ = old.size_;
   }
   return *this;
 }
  typedef tree_iterator<T> iterator;
  friend class tree_iterator<T>;
  int size() const { return size_; }
  bool operator==(const ds_set<T>& old) const { return (old.root_ == this->root_); }
  // FIND, INSERT & ERASE
 iterator find(const T& key_value) { return find(key_value, root_); }
  std::pair< iterator, bool > insert(T const& key_value) { return insert(key_value, root_, NULL); }
  int erase(T const& key_value) { return erase(key_value, root_); }
  // ITERATORS
 iterator begin() const {
    if (!root_) return iterator(NULL,this);
   TreeNode<T>* p = root_;
   while (p->left) p = p->left;
   return iterator(p,this);
 }
 iterator end() const { return iterator(NULL,this); }
private:
 // REPRESENTATION
 TreeNode<T>* root_;
 int size_;
 // PRIVATE HELPER FUNCTIONS
 TreeNode<T>* copy_tree(TreeNode<T>* old_root, TreeNode<T>* the_parent) {
    if (old_root == NULL) return NULL;
   TreeNode<T> *answer = new TreeNode<T>();
    answer->value = old_root->value;
    answer->left = copy_tree(old_root->left,answer);
    answer->right = copy_tree(old_root->right,answer);
   answer->parent = the_parent;
   return answer;
 }
  void destroy_tree(TreeNode<T>* p) {
    if (!p) return;
   destroy_tree(p->right);
   destroy_tree(p->left);
   delete p;
 }
  iterator find(const T& key_value, TreeNode<T>* p) {
    if (!p) return end();
    if
            (p->value > key_value) return find(key_value, p->left);
    else if (p->value < key_value) return find(key_value, p->right);
   else
                                   return iterator(p,this);
 }
  std::pair<iterator,bool> insert(const T& key_value, TreeNode<T>*& p, TreeNode<T>* the_parent) {
    if (!p) {
     p = new TreeNode<T>(key_value);
     p->parent = the_parent;
     this->size_++;
     return std::pair<iterator,bool>(iterator(p,this), true);
    }
    else if (key_value < p->value)
     return insert(key_value, p->left, p);
    else if (key_value > p->value)
     return insert(key_value, p->right, p);
    else
      return std::pair<iterator,bool>(iterator(p,this), false);
 }
  int erase(T const& key_value, TreeNode<T>* &p) {
    /* Implemented in Lecture 20 */
 }
};
```