CSCI.6962/4962 Software Verification— Fundamental Proof Methods in Computer Science (Arkoudas and Musser)—Chapter 2.1-2.7

Instructor: Carlos Varela
Rensselaer Polytechnic Institute
Spring 2020

Introduction to Athena

Goal: to become familiar with Athena language

- interacting with Athena
- domains and function symbols
- terms
- sentences
- definitions
- assumption bases
- datatypes
- *polymorphism*
- *meta-identifiers*
- *expressions and deductions*

Athena

Athena is a language for expressing proofs and computations.

- it is *higher-order*, i.e., procedures are first-class values (can be passed or returned).
- it is *dynamically typed*, i.e., type checking at run-time.
- it has *cells* and *vectors* for state update.
- it uses *lists*, which are *heterogeneous* (arbitrary types for values).
- similarly to the λ-calculus, uses *procedure calls* for flow control.

Athena's Fundamental Data Values

Athena's fundamental data values are *terms* and *sentences*.

- A *term* is a symbolic structure, essentially a tree whose every node contains either a *function symbol* or a *variable*. For example:
  - $3 + 5$, $x/2$, 78, etc.
  - *Joe*, *Joe's father*,...

  Terms denote individual objects in some domain of interest.
- A *sentence* is essentially a formula of first-order logic: either an atomic formula, or a Boolean combination of formulas, or a quantification.
  Sentences express propositions about domains of interest. They serve as the conclusion of proofs.

Athena's Fundamental Syntactic Categories

Athena's fundamental syntactic categories are *deductions* and *expressions*.

A *phrase* F is either an expression or a deduction.

$$
\begin{array}{lcll}
E & := & \cdots & \text{(Expressions, for computing)} \\
D & := & \cdots & \text{(Deductions, for proving)} \\
F & := & E \mid D & \text{(Phrases)}
\end{array}
\tag{1}
$$

Deductions, if successful, can only produce one type of value: a *sentence*, e.g., all prime numbers greater than 2 are odd.

Guaranteed to be logical consequence of assumptions at evaluation time.

Athena's Phrase Evaluation

Athena evaluates *phrases* to produce *values*.

A *phrase* F is evaluated as follows:

$$\text{Input: Phrase } F \xrightarrow[\text{(w.r.t. given } \rho, \beta, \sigma, \gamma)]{\textit{Evaluation}} \text{Output: Value } V$$

- ρ a lexical environment
- β an assumption base
- σ a store
- γ a symbol set

Interacting with Athena

Athena can be used either in batch mode or interactively.

Typing

```
load "file.ath"
```

at the input prompt will process `file.ath` sequentially.

The `.ath` extension can be omitted.

The file can also be given as a command line argument to Athena.

`;;` will signal end of input in multiple-line entry in interactive mode.

Domains and function symbols

A domain is simply a set of objects that we want to talk about. We can introduce one with the `domain` keyword. For example,

```
> domain Person

New domain Person introduced.
```

Multiple domains can be introduced with the `domains` keyword:

```
domains Element, Set
```

Domains are *sorts*. *Function symbols* denote operations on sorts, e.g.:

```
> declare father: [Person] -> Person

New symbol father declared.
```

`[Person] -> Person` is the *signature* of `father`.

Function symbols

Multiple function symbols with same signature can be declared separated by commas:

```
declare union, intersection: [Set Set] -> Set

declare father, mother: [Person] -> Person
```

A function symbol of arity zero is called a *constant symbol*, or simply a constant.

```
> declare joe: Person

New symbol joe declared.

> declare null: Set

New symbol null declared.
```

Function symbols are first-class data values. They are not procedures.

Function symbols

Multiple constant symbols of the same sort can be introduced by separating them with commas:

```
declare peter, tom, ann, mary: Person
declare e, e1,e2: Element
declare S, S1, S2: Set
```

`true` and `false` are constants of the built-in sort `Boolean`.

The two numeric domains `Int` (integers) and `Real` (reals) are also built-in.

A function symbol whose range is `Boolean` is also called a *relation* (or *predicate*) symbol, or just "predicate" for short. Some examples:

```
declare in: [Element Set] -> Boolean
declare male, female: [Person] -> Boolean
declare siblings: [Person Person] -> Boolean
declare subset: [Set Set] -> Boolean
```

Procedures

An Athena procedure is a lambda abstraction written by users to compute, e.g.:

```
define (fact n) :=
  check {
    (less? n 1) => 1
  | else => (times n (fact (minus n 1)))
  }
```

where `less?`, `times`, and `minus` are primitive procedures:

```
> (less? 7 8)
Term: true

> (times 2 3)
Term: 6

> (minus 5 1)
Term: 4
```

Terms

A term is a syntactic object that represents an element of some sort.

The simplest term is a constant symbol:

```
> joe

Term: joe
```

We can ask Athena to print the sort of this (or any other) term:

```
> (println (sort-of joe))

Person

Unit: ()
```

Athena knows that `joe` denotes an individual in the domain `Person`.

Terms

A *variable* is also a term.

The following are all legal variables:

```
?x:Person

?S25:Set

?foo-bar:Int

?b_1:Boolean

?@sd%&:Real
```

Constant symbols and variables are primitive or *simple* terms, with no internal structure.

Terms

More complex terms can be formed by applying a function symbol f to n given terms $t_1 \cdots t_n$, where n is the arity of f.

Some examples of complex terms:

```
(father joe)

(father (father joe))

(in e S)

(union null S2)

(male (father joe))

(subset null (union ?X null))
```

Terms

`root` and `children` primitive procedures return the root symbol of an application and its children (as a list of terms, ordered from left to right). For example:

```
define t := (father (mother joe))

> (root t)

Symbol: father

> (children t)

List: [(mother joe)]
```

Lists

A list of $n \geq 0$ values $V_1 \cdots V_n$ can be formed simply by enclosing the values inside square brackets: `[`$V_1 \cdots V_n$`]`. For instance:

```
> [tom ann]

List: [tom ann]

> []

List: []

> [tom [peter mary] ann]

List: [tom [peter mary] ann]
```

Lists are *heteregeneous*, i.e., they may contain elements of different types.

Some operations on lists

Some operations on lists are `add`, `head`, `tail`, `length`, `rev`, and `join`.

```
> (add 1 [2 3])
List: [1 2 3]

> (head [1 2 3])
Term: 1

> (tail [1 2 3])
List: [2 3]

> (rev [1 2 3])
List: [3 2 1]

> (length [1 2 3])
Term: 3

> (join [1 2] ['a 'b] [3])
List: [1 2 'a 'b 3]
```

Sort checking and inference

Athena checks for correctness of sorts in complex terms:

```
> (father true)

standard input:1:2: Error: Unable to infer a sort for the term:(father true)

(Failed to unify the sorts Boolean and Person.)
```

It also performs Hindley-Milner-style sort inference. For instance:

```
> (in ?x ?S)

Term: (in ?x:Element ?S:Set)
```

Notice that only *terms* have *sorts*. But terms are only one *type* of Athena value.

Other types include: sentences, lists, procedures, methods, the unit value, and more.

Infix form and precedence

Infix form is allowed in Athena, for example:

```
(e in S)

(null union S2)

(male father joe)

(null subset ?x union null)
```

Athena always prints output terms in full prefix form, as so-called "s-expressions":

```
> (null union ?s)

Term: (union null ?s:Set)
```

By default, every predicate is given a precedence of 100, while other binary or unary function symbols are given a precedence of 110.

Sentences

- "the bread and butter of Athena"—every successful proof derives a sentence.
- There are three kinds:
  - Atomic sentences
  - Boolean combinations
  - Quantified sentences

Atomic Sentences

Atomic sentences, or just *atoms*. These are simply terms of sort `Boolean`.

Examples are:

```
(siblings peter (father joe))

(subset ?s1 (union ?s1 ?s2))
```

Boolean Combinations

Obtained from other sentences through one of the five *sentential constructors* `not`, `and`, `or`, `if`, and `iff`, or their synonyms, as shown in the following table.

Constructor	Synonym	Prefix mode	Infix mode	Interpretation
`not`	`~`	`(not` p`)`	`(~` p`)`	Negation
`and`	`&`	`(and` p q`)`	`(`p `&` q`)`	Conjunction
`or`	`|`	`(or` p q`)`	`(`p `|` q`)`	Disjunction
`if`	`==>`	`(if` p q`)`	`(`p `==>` q`)`	Conditional
`iff`	`<==>`	`(iff` p q`)`	`(`p `<==>` q`)`	Biconditional

Quantified Sentences

A quantified sentence is of the form $(Q\ \ x{:}S\ \ .\ \ p)$ where Q is a quantifier, $x{:}S$ is a variable of sort S, and p is a sentence.

Quantifier	Prefix	Infix	Interpretation
`forall`	`(forall` $x{:}S$ p`)`	`(forall` $x{:}S$ `.` p`)`	p holds for every $x{:}S$
`exists`	`(exists` $x{:}S$ p`)`	`(exists` $x{:}S$ `.` p`)`	p holds for some $x{:}S$

Examples are:

```
(forall ?x . ?x =/= father ?x)
(forall ?S1 ?S2 . ?S1 = ?S2 <==>
                    ?S1 subset ?S2 & ?S2 subset ?S1)
```

Definitions

Definitions let us give a name to a value and then subsequently refer to the value by that name.

Top-level directive `define`'s syntax form is:

$$\texttt{define}\ I\ \texttt{:=}\ F$$

where I is any identifier and F is a phrase denoting the value that we want to define.

```
> define p := (forall ?s . ?s subset ?s)
Sentence p defined.

> (p & p)
Sentence: (and (forall ?s:Set
                 (subset ?s:Set ?s:Set))
               (forall ?s:Set
                 (subset ?s:Set ?s:Set)))
```

Assumption bases

- At all times Athena maintains a global set of sentences called the *assumption base*.
- We can think of the elements of the assumption base as our premises—sentences that we regard (at least provisionally) as true.
- Initially the system starts with a small assumption base.
- Every time an axiom is postulated or a theorem is proved at the top level, the corresponding sentence is inserted into the assumption base.

Datatypes

- A `datatype` is a special kind of domain.
- It is special in that it is *inductively generated*, i.e., every element of the domain can be built up in a finite number of steps by applying *constructors* of the datatype.
- A datatype D is specified by giving its name, possibly followed by some sort parameters, and then a nonempty sequence of constructor profiles separated by the symbol `|`.
- A constructor profile without selectors is of the form

$$(c\ \ S_1 \cdots S_n),$$

consisting of the name of the constructor, c, along with n sorts $S_1 \cdots S_n$, where S_i is the sort of the ith argument of c.

Datatype examples

`Boolean` is a pre-defined datatype that has two constant constructors, `true` and `false`.

```
datatype Boolean := true | false
```

The intended effect of this datatype definition could be approximated in terms of mechanisms with which we are already familiar as follows:

```
domain Boolean

declare true, false: Boolean

assert (true =/= false)

assert (forall ?b:Boolean . ?b = true | ?b = false)
```

These are *free-generation* axioms: the first is known as *no-confusion*, and the second is known as *no-junk* in universal algebra.