## 1 Plane and Planar Graphs

## Definition 1

A graph $G(V, E)$ is called plane if

- $V$ is a set of points in the plane;
- $E$ is a set of curves in the plane such that

1. every curve contains at most two vertices and these vertices are the ends of the curve;
2. the intersection of every two curves is either empty, or one, or two vertices of the graph.

## Definition 2

A graph is called planar, if it is isomorphic to a plane graph. The plane graph which is isomorphic to a given planar graph $G$ is said to be embedded in the plane. A plane graph isomorphic to $G$ is called its drawing.


G is a planar graph
$H$ is a plane graph isomorphic to $G$

The adjacency list of graph $F$. Is it planar?

| 1 | 4 | 5 | 6 | 8 | 9 | 11 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 2 | 9 | 7 | 6 | 10 | 3 |  |
| 3 | 7 | 11 | 8 | 2 |  |  |
| 4 | 1 | 5 | 9 | 12 |  |  |
| 5 | 1 | 12 | 4 |  |  |  |
| 6 | 1 | 2 | 8 | 10 | 12 |  |
| 7 | 2 | 3 | 9 | 11 |  |  |
| 8 | 1 | 11 | 3 | 6 | 10 |  |
| 9 | 7 | 4 | 12 | 1 | 2 |  |
| 10 | 2 | 6 | 8 |  |  |  |
| 11 | 1 | 3 | 8 | 7 |  |  |
| 12 | 9 | 5 | 4 | 6 |  |  |

What happens if we add edge $(1,12)$ ? Or edge $(7,4)$ ?

## Definition 3

A set $U$ in a plane is called open, if for every $x \in U$, all points within some distance $r$ from $x$ belong to $U$.

A region is an open set $U$ which contains polygonal $u, v$-curve for every two points $u, v \in U$.


## Definition 4

Given a plane graph $G(V, E)$, a face of $G$ is a maximal region of the plane if the vertices and the edges of $G$ are removed.

An unbounded (infinite) face of $G$ is called exterior, or outer face.
The vertices and the edges of $G$ that are incident with a face $F$ form the boundary of $F$.

## Proposition 1

In a plane graph, every cycle is the symmetric difference of the boundaries of some faces.

## Proposition 2

For every face of a given plane graph $G$, there is a drawing of $G$ for which the face is exterior.


## Dual Plane Graphs

## Definition 5

Let $G$ be a plane graph. The dual graph $G^{*}$ of $G$ is a new plane graph having a vertex for each face in $G$ and the edges that correspond to the edges of $G$ in the following manner:
if $e$ is an edge of $G$ which separates two faces $X$ and $Y$, then the corresponding dual edge $e^{*} \in E\left(G^{*}\right)$ is an edge joining the vertices $x$ and $y$ that correspond to $X$ and $Y$ respectively.

Remark. Different plane drawings (embeddings) of the same planar graph may have non-isomorphic duals.


Construct duals to these drawings.

## Definition 6

The length of a face $F$ in a plane graph $G$ is the total number of edges in the closed walks in $G$ that bound the face.

## Proposition 3

If $l\left(F_{i}\right)$ denotes the length (the number of edges in its boundary), of face $F_{i}$ in a plane graph $G$, then

$$
2 e(G)=\sum l\left(F_{i}\right) .
$$

## Definition 7

A bond of a graph $G$ is a minimal non-empty edge cut.

## Proposition 4

Edges of a plane graph $G$ form a cycle iff the corresponding edges in $G^{*}$ form a bond.

Theorem 1 (Euler):
If $G$ is a connected plane $(p, q)$-graph with $r$ faces, then

$$
p-q+r=2 .
$$

Proof. We prove it by induction on $q$.
Base. If $q=0$, then $p=1$; obviously, $r=1$, and the result follows.
Inductive Step. Assume that the Euler Theorem holds true for all connected graphs with fewer than $q(q \geq 1)$ edges, and let $G$ be a connected plane graph with $q$ edges.

If $G$ is a tree, then $p=q+1$ and $r=1$ (the only face is exterior) implying the result.

If $G$ is not a tree, then it has an enclosed face. The edges of the face form a cycle. Take any edge $e$ on the cycle and consider graph

$$
H=G-e .
$$

Since $q(H)=q-1$, by induction, $p(H)-q(H)+r(H)=2$. But $p(H)=p$ and $r(H)=r-1$. The result follows. II

## Theorem 2

If $G$ is a planar graph (no parallel edges) with $p$ vertices and $q$ edges, $(q \geq 3)$, then $q \leq 3 p-6$. If, in addition, $G$ is bipartite, then $q \leq 2 p-4$.

Proof. Let $r$ be the number of faces of $G$ and let $m_{i}$ be the number of edges in the boundary of the $i^{\text {th }}$ face $(i=1, \ldots, r)$.

Since every face contains at least three edges,

$$
3 r \leq \sum_{i=1}^{r} m_{i} .
$$

On the other hand, since every edge can be in the boundary of at most two faces,

$$
\sum_{i=1}^{r} m_{i} \leq 2 q .
$$

Thus, $3 r \leq 2 q$ and by Euler's Theorem, $p-q+2 q / 3 \geq 2$, implying $q \leq 3 p-6$.

If $G$ is bipartite, the shortest cycle is of length at least 4 . Thus,

$$
4 r \leq \sum_{i=1}^{r} m_{i} .
$$

Together with $\sum_{i=1}^{r} m_{i} \leq 2 q$ and $p-q+r=2$, we get the second part of the Theorem.

Corollary 1 Every planar graph $G$ contains a vertex of degree at most 5 .

Proof. (HINT: assuming that all degrees are $\geq 6$, estimate the number of edges in the graph, and compare your estimate with that by Theorem 2 .)

Corollary 2 Graphs $K_{3,3}$ and $K_{5}$ are not planar.
Proof. For $K_{5}, p=5$ and $q=10$. Thus, $q>3 p-6=9$ and by Theorem 2, $K_{5}$ is not planar.
If $K_{3,3}$ were planar, then the second part of Theorem 2 would apply, leading to a contradiction, since for this graph $p=6, q=9$, and $q>2 p-4$.

Definition $8 A$ subdivision of an edge ab in a graph $G$ is an operation which replaces ab with two edges $a z$ and $z b$ where $z$ is a new vertex different from other vertices of $G$. The result of the subdivision is also called a subdivision of $G$.
A Kuratowski graph is a graph obtained by several subdivisions from either $K_{5}$ or $K_{3,3}$.

Corollary 3 No Kuratowski graph is planar.

## 2 Coloring Planar Graphs



Theorem 3 Every planar graph $G$ is 5 -colorable.

Proof. By induction on the number $n(G)$ of vertices.

Base. For all planar graphs with $n(G) \leq 5$, the statement is correct.

Inductive step. Let $G$ have more than 5 vertices. Select a vertex $v$ of degree $\leq 5$. It always exists, since else, the number of edges in the graph would exceed the upper bound of $3 p-6$. By induction, graph $G-v$ is 5 -colorable.

Consider a 5 -coloring of $G-v$. If any color, $12,3,4,5$ is not used for vertices adjacent to $v$, use it for $v$. Thus, we need to assume
that $v$ has 5 neighbors that are colored using all 5 colors. Let us call those neighbors according to their colors: $v_{1}, v_{2}, v_{3}, v_{4}, v_{5}$ (see Figure below).

Consider a bipartite graph $H$ induced by all vertices of $G-v$ whose colors are 1 or 3 , and let $C$ be the connected component of $H$ which contains vertex $v_{1}$. If $C$ does not contain vertex $v_{3}$, then we re-color $C$ : every vertex of $C$ whose color is 1 (resp. 3) gets color 3 (resp. 1). This recoloring frees color 1 for $v$, yielding a 5 -coloring of $G$.


Finally, assume that $C$ contains vertex $v_{3}$. Thus, there is a 2 -colored path connecting vertices 1 and 3 . This path together with vertex $v$ forms a cycle which makes it impossible the existence of a path colored 2 and 4 connecting vertices $v_{2}$ and $v_{4}$. Thus, recoloring the 2 -colored connected component containing vertex $v_{2}$ makes color 2 available for coloring $v$. II

## From colorings faces of a map to coloring its edges.

The coloring of the faces of an arbitrary map is reduced to that of a map for which every vertex has three incident edges. See the Figure below.


An edge coloring of a graph $G$ is an assignment of colors to edges such that any two edges incident to the same vertex have different colors.

Theorem. (Tait, 1878). A 3-regular planar graph without bridges is 4 -face colorable iff it is 3 -edge-colorable.

Proof. Suppose $G$ satisfies the conditions of the theorem and it is 4-face-colorable. Let the colors be $c_{0}=00, c_{1}=01, c_{2}=10, c_{3}=11$. Because $G$ is bridge-less, every edge bounds two distinct faces. Given an edge between faces colored $c_{i}$ and $c_{j}$, assign this edge the color obtained by adding $c_{i}$ and $c_{j}$ coordinate-wise modulo 2 . For example: $c_{0}+c_{1}=c_{1} ; \quad c_{1}+c_{3}=c_{2} ; \quad c_{2}+c_{3}=c_{1}$.


The reverse. Suppose now that $G$ has a proper 3-edge-coloring, and let the colors be 1,2 , and 3 . Since $G$ is 3 -regular, every color appears at every vertex. Let $E_{1}, E_{2}$, and $E_{3}$ be the edge-sets colored 1,2 , and 3 , respectively. The union of any two of them is the union of disjoint cycles. Let $H_{1}=E_{1} \cup E_{2}$ and $H_{2}=E_{1} \cup E_{3}$.

The faces of graph $H_{1}$ can be 2 -colored with two colors $\alpha$ and $\beta$.
The faces of graph $H_{2}$ can be 2-colored with two colors $\gamma$ and $\delta$.
Then each face of $G$ is a subset of a face in $H_{1}$ and a subset of a face in $H_{2}$. Assign to each face in $G$ a pair of colors $(x, y)$ where $x \in\{\alpha, \beta\}$ and $y \in\{\gamma, \delta\}$.

It is easy to prove that any two adjacent faces of $G$ get pairs off colors
that are differ in at least one coordinate. Thus the assignment is a 4 -coloring of the faces of $G$.


Tutte's conjecture: every bridge-less 3-regular graph $G$ which is not three-edge colorable has the Petersen graph as its minor, that is the Petersen graph can be obtained from $G$ by contracting and removing edges.

Problem 1 Use the fact that every cycle of the Petersen graph is of length 5 or more to prove that it is non-planar.

Problem 2 Show that the Heawood graph below is non-planar.


## Heawood graph

Problem 3 Prove that a plane graph $G$ is bipartite iff its dual $G^{*}$ is Eulerian.

## Definition 9

A subdivision of an edge $e=(x y)$ is an operation which removes $e$ from the graph, adds a new vertex $z$ along with two new edges: $(x z)$ and $(z y)$. A subdivision of a graph $G$ is a graph that can be obtained from $G$ by a sequence of edge subdivisions.

## Definition 10

Two graphs $G$ and $H$ are called homeomorphic if either $G \approx H$, or there is a graph $F$ such that both $G$ and $H$ can be obtained from $F$ by two separate subdivisions of $F$.


Definition 11 The operation of contraction of an edge $e=x y$ of a graph $G$ removes from the graph $e, x$, and $y$, and adds a new vertex $z$, which is adjacent to any old vertex $u$ iff $u$ is adjacent to at least one of $x$ or $y$. The resulting graph is denoted $G /(x, y)$.


Problem 4 If $G$ is non-planar, then every subdivision of $G$ is non-planar.

Problem 5 If $G$ is planar, then every contraction of $G$ is planar.

Problem 6 If the contraction $G /(x, y)$ has a subgraph homeomorphic to $K_{5}$ or $K_{3,3}$, then $G$ has a subgraph homeomorphic to either $K_{5}$ or $K_{3,3}$.

Definition 12 A maximal planar graph is a simple planar graph which is not a spanning subgraph of another planar graph. A triangulation is a simple plane graph where every face is a 3-cycle.

Problem 7 For a simple plane graph $G$ with $n$ vertices, the following are equivalent

1. $G$ has $3 n-6$ edges;
2. $G$ is a triangulation; and
3. $G$ is a maximal planar graph.

Problem 8 Give two examples of planar graphs with no vertex of degree less than five.

Problem 9 Show that every planar graph of order $\geq 4$ has at least four vertices of degree less than or equal to 5 .

Problem 10 Show that $K_{5}-e$ is planar for any edge $e \in E\left(K_{5}\right)$. Show that $K_{3,3}-e$ is planar for any edge $e \in E\left(K_{3,3}\right)$.

Problem 11 A graph is called outerplanar, if it can be embedded into a plane so that every vertex of the graph lies on the boundary of then exterior face. Show that a planar $G$ is outerplanar if and only if $G+K_{1}$ is planar.

