



Types and Type Based Analysis: Lambda Calculus, Intro to Haskell



Announcements

- Welcome back!
- HW5 is out
- Rainbow grades
- Moving on with Types and Type-based Analysis



Outline

- Pure lambda calculus, a review
 - Syntax and semantics (last time)
 - Free and bound variables (last time)
 - Substitution (last time)
 - Rules (last time)
 - Normal forms (last time)
 - Reduction strategies
- Interpreters for the Lambda calculus
- Coding them in Haskell



Syntax of Pure Lambda Calculus

- λ -calculus formulae (e.g., $\lambda x. x y$) are called **expressions** or **terms**

- $E ::= x \mid (\lambda x. E_1) \mid (E_1 E_2)$
 - A λ -expression is one of
 - Variable: x
 - Abstraction (i.e., function definition): $\lambda x. E_1$
 - Application: $E_1 E_2$

Syntactic Conventions

$(\lambda x. x x) (\lambda z. z z)$

- Parentheses may be dropped from “stand-alone” terms $(E_1 E_2)$ and $(\lambda x. E)$
 - E.g., $(f x)$ may be written as $f x$
- Function application groups from left-to-right (i.e., it is left-associative)
 - E.g., $x y z$ abbreviates $((x y) z)$
 - E.g., $E_1 E_2 E_3 E_4$ abbreviates $(((E_1 E_2) E_3) E_4)$
 - Parentheses in $x (y z)$ are necessary! Why?

$(\lambda x. x x) (\lambda y. y) (\lambda z. z z) \equiv ((\lambda x. x x) (\lambda y. y)) (\lambda z. z z)$
 $\neq (\lambda x. x x) ((\lambda y. y) (\lambda z. z z))$



Syntactic Conventions

- Application has higher precedence than abstraction
 - Another way to say this is that the scope of the dot extends as far to the right as possible
 - E.g., $\lambda x. x z = \lambda x. (x z) = (\lambda x. (x z)) = (\lambda x. (x z)) \neq ((\lambda x. x) z)$
- **WARNING:** This is the most common syntactic convention (e.g., Pierce 2002). However, some books give abstraction higher precedence; you might have seen that different convention

Rules (Axioms) of Lambda Calculus

- **α rule (α -conversion)**: renaming of parameter (choice of parameter name does not matter)
 - $\lambda \mathbf{x}. \mathbf{E} \rightarrow_{\alpha} \lambda \mathbf{z}. (\mathbf{E}[\mathbf{z}/\mathbf{x}])$ provided \mathbf{z} is not free in \mathbf{E}
 - e.g., $\lambda \mathbf{x}. \mathbf{x} \mathbf{x}$ is the same as $\lambda \mathbf{z}. \mathbf{z} \mathbf{z}$
- **β rule (β -reduction)**: function application (substitutes argument for parameter)
 - $(\lambda \mathbf{x}. \mathbf{E}) \mathbf{M} \rightarrow_{\beta} \mathbf{E}[\mathbf{M}/\mathbf{x}]$
 - Note: $\mathbf{E}[\mathbf{M}/\mathbf{x}]$ as defined in class last time
 - e.g., $(\lambda \mathbf{x}. \mathbf{x}) \mathbf{z} \rightarrow_{\beta} \mathbf{z}$

Rules of Lambda Calculus: Exercises

- Reduce

$(\lambda x. x) y \rightarrow ?$

$(\lambda x. x) (\lambda y. y) \rightarrow ?$

$(\lambda x. \lambda y. \lambda z. x z (y z)) (\lambda u. u) (\lambda v. v) \rightarrow ?$

Handwritten annotations in red:

- A bracket above the first two arguments $(\lambda x. \lambda y. \lambda z. x z (y z))$ and $(\lambda u. u)$ points to the λz in the body.
- A bracket above the last two arguments $(\lambda v. v)$ and $(\lambda u. u)$ points to the λz in the body.
- Below the first argument, $\lambda z. z z$ is written.
- Below the second argument, $(\lambda y. \lambda z. z$ is written.
- Below the third argument, $\lambda z. z z$ is written.
- Below the fourth argument, $(y z)$ is written.
- Below the fifth argument, $(\lambda v. v)$ is written.



Reductions

- An expression $(\lambda x.E) M$ is called a **redex** (for reducible expression)
- An expression is in **normal form** if it cannot be **β -reduced**
- The normal form is the **meaning** of the term, the “answer”

Definitions of Normal Form

- Normal form (NF): a term without redexes
- Head normal form (HNF)

- x is in HNF
- $(\lambda x. E)$ is in HNF if E is in HNF
- $(x E_1 E_2 \dots E_n)$ is in HNF

x $(\lambda y. y) (\lambda z. z)$
 E_1 E_2

- Weak head normal form (WHNF)
- x is in WHNF
 - $(\lambda x. E)$ is in WHNF
 - $(x E_1 E_2 \dots E_n)$ is in WHNF

Questions

$(\lambda x.(x y)) ((\lambda x.x) y)$

- $\lambda z. z z$ is in NF, HNF, or WHNF? *NF \Rightarrow HNF \Rightarrow WHNF*
- $(\lambda z. z z) (\lambda x. x)$ is in? *Neither*
- $\lambda x. \lambda y. \lambda z. x z (y (\lambda u. u))$ is in? *NF*
- $(\lambda x. \lambda y. x) z ((\lambda x. z x) (\lambda x. z x))$ is in? *Neither*
E₁ E₂ E₃
- $z ((\lambda x. z x) (\lambda x. z x))$ is in? *HNF and WHNF*
- $(\lambda z. (\lambda x. \lambda y. x) z ((\lambda x. z x) (\lambda x. z x)))$ is in? *WHNF*



Simple Reduction Exercise

- $C = \lambda x. \lambda y. \lambda f. f x y$

- $H = \lambda f. f (\lambda x. \lambda y. x)$

$$T = \lambda f. f (\lambda x. \lambda y. y)$$

- What is $H (C a b)$?

- $(\lambda f. f (\lambda x. \lambda y. x)) (C a b)$

- $(C a b) (\lambda x. \lambda y. x)$

- $((\lambda x. \lambda y. \lambda f. f x y) a b) (\lambda x. \lambda y. x)$

- $(\lambda f. f a b) (\lambda x. \lambda y. x)$

- $(\lambda x. \lambda y. x) a b$

Exercise

An expression with no free variables is called **combinator**.
S, I, C, H, T are combinators.

- $S = \lambda x. \lambda y. \lambda z. x z (y z)$

- $I = \lambda x. x$

- What is $S I I I$?

$$(\lambda x. \lambda y. \lambda z. x z (y z)) I I I$$

$$\rightarrow (\lambda y. \lambda z. I z (y z)) I I$$

$$\rightarrow (\lambda z. I z (I z)) I$$

$$\rightarrow I I (I I) = (\lambda x. x) I (I I)$$

$$\rightarrow I (I I) = (\lambda x. x) (I I)$$

$$\rightarrow I I = (\lambda x. x) I \rightarrow I$$

Reducible expression is underlined at each step.

Aside: Trace Semantics

- Models a trace of program execution
- In the imperative world $(\ell_1, \sigma_1) \rightarrow (\ell_2, \sigma_2) \rightarrow \dots \rightarrow (\text{EXIT}, \sigma_{\text{EXIT}})$
 - Basic operation: assignment statement
 - Execution (transition system) is a sequence of state transitions
- Assignment: $\ell_j : \mathbf{x} = E; \ell_i : \dots$
 $(\ell_j, \sigma) \rightarrow (\ell_i, \sigma[\mathbf{x} \leftarrow \llbracket E \rrbracket(\sigma)])$
- Assignment: $\ell_j : \mathbf{x} = E_1 \text{ Op } E_2; \ell_i : \dots$
 $(\ell_j, \sigma) \rightarrow (\ell_i, \sigma[\mathbf{x} \leftarrow \llbracket E_1 \rrbracket(\sigma) \text{ Op } \llbracket E_2 \rrbracket(\sigma)])$

Aside: Trace Semantics

$$E \rightarrow E_1 \rightarrow E_2 \rightarrow \dots \rightarrow \underline{\underline{NF}}$$

- In the functional world
 - Basic operation is function application
 - Execution (transition system) is a sequence of β -reductions

$(\lambda x. \lambda y. \lambda z. x z (y z)) \mid \mid \mid$

\rightarrow $(\lambda y. \lambda z. \mid z (y z)) \mid \mid$

\rightarrow $(\lambda z. \mid z (\mid z)) \mid$

...

$\lambda x. x$



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Reduction Strategy

■ Let us look at $(\lambda x. \lambda y. \lambda z. x z (y z)) (\lambda u. u) (\lambda v. v)$

■ Actually, there are (at least) two “reduction paths”:

Path 1: $(\lambda x. \lambda y. \lambda z. x z (y z)) (\lambda u. u) (\lambda v. v)$ \rightarrow_{β}

\rightarrow_{β} $(\lambda y. \lambda z. (\lambda u. u) z (y z)) (\lambda v. v)$ \rightarrow_{β}

$(\lambda z. (\lambda u. u) z ((\lambda v. v) z))$ \rightarrow_{β} $(\lambda z. z ((\lambda v. v) z))$ \rightarrow_{β}

$\lambda z. z z$

Path 2: $(\lambda x. \lambda y. \lambda z. x z (y z)) (\lambda u. u) (\lambda v. v)$ \rightarrow_{β}

\rightarrow_{β} $(\lambda y. \lambda z. (\lambda u. u) z (y z)) (\lambda v. v)$ \rightarrow_{β}

$(\lambda y. \lambda z. z (y z)) (\lambda v. v)$ \rightarrow_{β} $(\lambda z. z ((\lambda v. v) z))$ \rightarrow_{β}

$\lambda z. z z$



Reduction Strategy

- A reduction strategy (also called **evaluation order**) is a strategy for choosing redexes
 - How do we arrive at the normal form (answer)?
- **Applicative order reduction** chooses the leftmost-innermost redex in an expression
 - Also referred to as **call-by-value reduction**
- **Normal order reduction** chooses the leftmost-outermost redex in an expression
 - Also referred to as **call-by-name reduction**

Reduction Strategy: Examples

- Evaluate $(\lambda x. x x) ((\lambda y. y) (\lambda z. z))$

- Using applicative order reduction:

$$(\lambda x. x x) (\lambda z. z) \rightarrow$$

$$(\lambda z. z) (\lambda z. z) \rightarrow \lambda z. z$$

- Using normal order reduction

$$(\lambda y. y) (\lambda z. z) ((\lambda y. y) (\lambda z. z))$$

$$(\lambda z. z) (\lambda y. y) (\lambda z. z) \rightarrow$$

$$(\lambda y. y) (\lambda z. z) \rightarrow \lambda z. z$$

Applicative:

$$x : \lambda x. \boxed{NF}$$

$$\lambda x. E : \lambda x. AP(E)$$

$$E_1 E_2 : E_1' \leftarrow AP(E_1)$$

$$E_2' \leftarrow AP(E_2)$$

if E_1' is $\lambda x. E_1''$
 $AP(E_1'' [E_2'/x])$

else $E_1' E_2' \boxed{NF}$

Normal Order:

HW



Reduction Strategy

- In our examples, both strategies produced the same result. This is not always the case
 - First, look at expression $(\lambda x. x x) (\lambda x. x x)$. What happens when we apply β -reduction to this expression?
 - Then look at $(\lambda z. y) ((\lambda x. x x) (\lambda x. x x))$
 - Applicative order reduction – what happens?
 - Normal order reduction – what happens?



Church-Rosser Theorem

- Normal form implies that there are no more reductions possible
- Church-Rosser Theorem, informally
 - If normal form exists, then it is unique (i.e., result of computation does not depend on the order that reductions are applied; i.e., no expression can have two distinct normal forms)
 - If normal form exists, then normal order will find it



Reduction Strategy

- Intuitively:
- Applicative order (**call-by-value**) is an **eager** evaluation strategy. Also known as **strict**
- Normal order (**call-by-name**) is a **lazy** evaluation strategy
- What order of evaluation do most PLs use?



Exercises

- Evaluate $(\lambda x. \lambda y. x y) ((\lambda z. z) w)$
- Using applicative order reduction

- Using normal order reduction

Interpreters

- An interpreter for the lambda calculus is a program that reduces lambda expressions to “answers”
- We must specify
 - The definition of “answer”. Which normal form? $(NF, WHNF, HNF)$
 - The reduction strategy. How do we choose redexes in an expression? (AP) or $NORM$

Haskell syntax:

```
let ... in  
case f of  
->
```

An Interpreter

- Definition by cases on $E ::= x \mid \lambda x. E_1 \mid E_1 E_2$

$\text{interpret}(x) = x$

$\text{interpret}(\lambda x. E_1) = \lambda x. \text{interpret}(E_1)$ *WHNF*

$\text{interpret}(E_1 E_2) = \text{let } f = \text{interpret}(E_1)$

in case f of

NORMAL ORDER:

$\lambda x. E_3 \rightarrow \text{interpret}(E_3[\text{interpret}(E_2)/x])$

$\quad \rightarrow \text{interpret}(f \text{ interpret}(E_2))$

- What normal form: Weak head normal form
- What strategy: Normal order

Another Interpreter

- Definition by cases on $E ::= x \mid \lambda x. E_1 \mid E_1 E_2$

$\text{interpret}(x) = x$

$\text{interpret}(\lambda x. E_1) = \lambda x. \underline{E_1}$ *WHNF*

$\text{interpret}(E_1 E_2) = \text{let } f = \text{interpret}(E_1)$
 $\quad a = \underline{\text{interpret}(E_2)}$

in case f of

APPLICATIVE:

$\lambda x. E_3 \rightarrow \text{interpret}(E_3[a/x])$

$\cdot \rightarrow f a$

- What normal form: Weak head normal form
- What strategy: Applicative order



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- Lambda calculus interpreters
- **Coding them in Haskell**



Coding them in Haskell

- In HW5 you will code an interpreter in Haskell
- Haskell
 - A functional programming language
- Key ideas
 - Lazy evaluation
 - Static typing and polymorphic type inference
 - Algebraic data types and pattern matching
 - Monads ... and more

Lazy Evaluation

- Unlike Scheme (and most programming languages) Haskell does **lazy evaluation**, i.e., **normal order reduction**

- It won't evaluate an argument expr. until it is needed

> **f x = []** // f takes **x** and returns the empty list

> **f (repeat 1)** // returns? *map (\x. (show x) ++ "-") [1..]*

> **[]** *[2..]*

> **head (tail [1..])** // returns?

> **2** // **[1..]** is infinite list of integers

- Lazy evaluation allows us to work with infinite structures!

Static Typing and Type Inference

- Unlike Scheme, which is dynamically typed, Haskell is **statically typed**!
- Unlike Java/C++ we don't always have to write type annotations. Haskell **infers** types!
 - A lot more on type inference later!

> **f x = head x** // f returns the head of list x

> **f True** // returns? $\text{:type } f \Rightarrow [a] \rightarrow a$

- Couldn't match expected type 'a' with actual type 'Bool'
- In the first argument of 'f', namely 'True'

In the expression: f True ...

Algebraic Data Types

- Algebraic data types are **tagged unions** (aka sums) of **products** (aka records)

```
data Shape = Line Point Point
           | Triangle Point Point Point
           | Quad Point Point Point Point
```

union

Haskell keyword

the new type

new constructors (a.k.a. **tags**, disjuncts, summands)
Line is a binary constructor, Triangle is a ternary ...



Algebraic Data Types in HW5

- Constructors create new values
- Defining a lambda expression

```
type Name = String
```

```
data Expr = Var Name
```

```
        | Lambda Name Expr
```

```
        | App Expr Expr
```

```
> e1 = Var "x" // Lambda term x
```

```
> e2 = Lambda "x" e1 // Lambda term  $\lambda x.x$ 
```


Examples of Algebraic Data

Types

Polymorphic types.
a is a type parameter!

```
data Bool = True | False
```

```
data Day = Mon | Tue | Wed | Thu | Fri | Sat | Sun
```

```
data List a = Nil | Cons a (List a)
```

```
data Tree a = Leaf a | Node (Tree a) (Tree a)
```

```
[ data Maybe a = Nothing | Just a ] Optional [int]
```

Maybe type denotes that result of computation can be **a** or **Nothing**. Maybe is a **monad**.

Data Constructors vs Type Constructors

- Data constructor constructs a “program object”
 - E.g., **Var**, **Lambda**, and **App** are data constructs
- Type constructor constructs a “type object”
 - E.g., **Maybe** is a unary type constructor

Maybe Expr

List Bool

Pattern Matching

Type signature of anchorPnt: takes a Shape and returns a Pnt.

- Examine values of an algebraic data type

```
anchorPnt :: Shape → Pnt
```

```
anchorPnt s = case s of
```

```
    Line    p1 p2 → p1
```

```
    Triangle p3 p4 p5 → p3
```

```
    Quad    p6 p7 p8 p9 → p6
```

- Two points
 - Test: does the given value match this pattern?
 - Binding: if value matches, bind corresponding values of **s** and pattern

Pattern Matching in HW5

→ RIGHT ASSOCIATIVE

$\text{isFree} :: \text{Name} \rightarrow (\text{Expr} \rightarrow \text{Bool}) \rightarrow \text{Bool}$
 $(\text{isFree } v) \rightarrow f$
 $(f \ e) \rightarrow \text{Bool}$

$\text{isFree } v \ e =$

case e of

Var n → if (n == v) then True else False

Lambda ...

Type signature of **isFree**. In Haskell, all functions are **curried**, i.e., they take just one argument. **isFree** takes a variable name, and returns a function that takes an expression and returns a boolean.

Of course, we can interpret **isFree** as a function that takes a variable name **name** and an expression **E**, and returns true if variable **name** is free in **E**.



Haskell Resources

- <http://www.seas.upenn.edu/~cis194/spring13/>
- <https://www.haskell.org/>