## Dataflow Analysis: Dataflow Frameworks

## Outline of Today's Class

- Catch up, four classical dataflow problems
- Dataflow frameworks
- Lattices
- Transfer functions
- Worklist algorithm
- Reading:
- Dragon Book, Chapter 9.2 and 9.3


## Dataflow Analysis

Entry node:


1. Control-flow graph (CFG):

- $G=(N, E, 1)$
- Nodes are basic blocks

2. Data
3. Dataflow equations out(j) = (in(j) - kill(j)) U gen(j) (gen and kill are parameters)
4. Merge operator $V$
in(j) $=\mathrm{V}$ out( i$)$
$i$ is predecessor of $j$

Problem 1. Reaching Definitions (Reach)

- Problem statement: for each CFG node n, compute the set of definitions ( $\mathbf{x}, \mathbf{k}$ ) that reach n
- First, define data (i.e., the dataflow facts) to propagate
- Primitive dataflow facts are definitions ( $\mathbf{x}, \mathbf{k}$ )
- Reach propagates sets of definitions, e.g.,

$$
\{(i, 1),(p, 4)\}
$$

## Reaching Definitions (Reach)

- Next, define the dataflow equations (i.e., effect of code at node $j$ on incoming dataflow facts)
$j: x=y+z\}$ kill(j): all definitions of ( $x, \_$)
〕 gen(j): this definition of $\mathbf{x}, \mathbf{( x , j )}$

out(j) = (in(j) - kill(j)) U gen(j)
E.g., if in $(4)=\{(x, 1),(y, 2),(x, 3)\}$ Node 4 is: $\mathbf{x}=\mathbf{y}+\mathbf{z}$
Then out(4) $=\{(y, 2),(x, 4)\}$


## Reaching Definitions (Reach)

- Next, define the merge operator V (i.e., how to combine data from incoming paths)
- For Reach, V is the set union U



## Reaching Definitions



## Problem 2. Live Uses of

 Variables (Live)- We say that a variable $\mathbf{x}$ is "live on exit from node $j$ " if there is a live use of $\mathbf{x}$ on exit from $j$ (recall the definition of "live use of $\mathbf{x}$ on exit from j")
- Problem statement: for each node n, compute the set of variables that are live on exit from $n$

1. $x=2$; 2. $y=4$; 3. $x=1$; if $(y>x)$ then 5. $z=y$; else 6. $z=y^{*} y$; 7. $x=z$; What variables are live on exit from statement 3 ? Statement $1 ?$


$$
\operatorname{in}(1)=\{e\}
$$

$$
\begin{aligned}
& \sin (1)=\{ \} \\
& \operatorname{out}(1)=\{\xi \\
& \operatorname{in}(2)=\{ \}
\end{aligned}
$$

$$
\operatorname{in}(3)=\{y\}
$$

$$
\operatorname{in}(y)=\{y, x\}
$$

$$
\text { out }(4)=\{y\}
$$

$$
\ln (6)=\{y\}
$$



$$
\operatorname{in}(7)=\{z\}
$$

$7 . x=2$
$\operatorname{out}(7)=\{ \}$

## Live Uses of Variables (Live)

- Problem statement: for each node n, compute the set of variables that are live on exit from n



## Live Uses of Variables (Live)

- Data
- Primitive facts: variables $\mathbf{x}$
- Propagates sets: $\{\mathbf{x}, \mathbf{y}, \mathbf{z}\}$

$$
\begin{aligned}
& j: \quad x=x+y \\
& \operatorname{kil}_{L_{w}(j)}=\{x\} \\
& \operatorname{gen}=\{(j)=\{x, y\}
\end{aligned}
$$

- Dataflow equations. At j: $\mathbf{x}=\mathbf{y}+\mathbf{z}$
- kill $\mathrm{LV}(\mathrm{j}):\{\mathbf{x}\}$
- gen ${ }_{L V}(j):\{y, z\}$
- Merge operator: set union U


## Live Uses of Variables



## Available Expressions

- An expression $\mathbf{x}$ op y is available at program point $n$ if every path from entry to $n$ evaluates x op y , and there are NO subsequent assignments to x or y after evaluation and prior to reaching $n$.


## Problem 3. Available Expressions (Avail)

- Problem statement: For every node n, compute the set of expressions that are available at $n$



## Avail Enables Global Common Subexpression Elimination



# Avail Enables Global Common Subexpression Elimination 

## Can we eliminate $\mathrm{w}=\mathrm{a} * \mathrm{~b}$ ?



## Available Expressions (Avail)

- Data?
- Primitive dataflow facts are expressions, e.g., $x+y, a * b, a+2$
- Analysis propagates sets of expressions, e.g., $\{x+y, a * b\}$
- Dataflow equations at $\mathbf{j}$ : $\mathbf{x}=\mathbf{y}$ op $\mathbf{z}$ ?
- out $t_{A E}(\mathrm{j})=\left(\mathrm{in}_{\text {AE }}(\mathrm{j})-\mathrm{kill}_{\text {AE }}(\mathrm{j})\right) \mathrm{U} \operatorname{gen}_{\text {AE }}(\mathrm{j})$
- kill $_{\text {AE }}(\mathrm{j})$ : all expressions with operand $\mathbf{x}$ :
( x op _), (_ op x)
- gen $_{\text {AE }}(\mathrm{j})$ : new expression: $\left\{\left(\begin{array}{l}\mathrm{y} \text { op } \mathbf{z})\} \\ \text { \} }\end{array}\right.\right.$


## Available Expressions (Avail)

- Merge operator?
- For Avail, it is set intersection $\bigcap$



## Available Expressions (Avail)



> Forward, must dataflow problem
$\operatorname{me}(1)=\{ \}$
Example


Note on Homework


AE:

## Very Busy Expressions

- An expression x op y is very busy at node n , if along EVERY path from n to the end of the program, we come to a computation of $\mathbf{x}$ op $y$ BEFORE any redefinition of x or y .



## Problem 4. Very Busy Expressions (VeryB)

- Problem Statement: For each node n, compute the set of expressions that are very busy on exit from n


Q: What is the data?
Q: What are the equations?
Q : What is $\mathrm{gen}_{\mathrm{VB}}(\mathrm{i})$ ?
Q: What is kill ${ }_{\mathrm{VB}}(\mathrm{i})$ ?
Q: What is the merge operator?

## Very Busy Expressions (VeryB)

- Data?

$$
j: x=x+y
$$

- Primitive dataflow facts are expressions, e.g., x+y, a*b
- Analysis propagates sets of expressions, e.g., $\{x+y, a * b\}$
- Dataflow equations at $\mathbf{j}$ : $\mathbf{x}=\mathbf{y}$ op $\mathbf{z}$ ?
- in(j) = gen(j) U (out(j) - kill(j))
- kill(j): all expressions with operand $\mathbf{x}$ :
(x op _), (_ op x)
- gen(j): new expression: $\left\{\left(\begin{array}{l}\text { ( op } \\ \text { z ) \} }\end{array}\right.\right.$


## Very Busy Expressions (VeryB)

- Merge operator?
- For VeryB, it is set intersection $\bigcap$



## Very Busy Expressions



## Another Example: Taint Analysis

- A definition $i: ~ x=\ldots \quad(x, i)$ is tainted if - i: $\mathbf{x}=$ tainted_source () is designated as a taint source
- e.g., deviceId=telephony_mgr.getDeviceId();
- or $\mathbf{i}: \mathbf{x}=\mathbf{y}$ op $\mathbf{z}$ and a tainted $(y, j)$ or a tainted $(\mathbf{z}, \mathrm{k})$ reaches program point i
- Problem statement: for each node n, compute the set of tainted definitions that reach n


## Example: Taint Analysis

 (explicit flow)

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## Dataflow Problems

|  | May Problems | Must Problems |
| :--- | :--- | :--- |
| Forward <br> Problems | Reaching <br> Definitions | Available <br> Expressions |
| Backward <br> Problems | Live Uses of <br> Variables | Very Busy <br> Expressions |

## Similarities

- Analyses operate over similar property spaces
- In all cases, analysis operates over a finite set D of primitive dataflow facts
- Reach: $\mathbf{D}$ is the set of all definitions in the program:

$$
\text { e.g., }\{(x, 1),(y, 2),(x, 4),(y, 5)\}
$$

- Avail and VeryB: $\mathbf{D}$ is the set of all arithmetic expressions: e.g., $\{\mathrm{a}+\mathrm{b}, \mathrm{a} * \mathrm{~b}, \mathrm{a}+1\}$
- Live: $\mathbf{D}$ is the set of all variables
e.g., $\{\mathbf{x}, \mathbf{y}, \mathbf{z}\}$
- Solution at node $n$ is a subset of $\mathbf{D}$ (e.g., a definition either reaches $n$ or it does not reach $n$ )


## Similarities

- Dataflow equations have same form (from now on, we'll focus on forward problems):
out( j$)=(\mathrm{in}(\mathrm{j})-\mathrm{kill}(\mathrm{j})) \mathrm{U} \operatorname{gen}(\mathrm{j})=$ (inf) $\cap$ pres (j)) U gen(j)
$\operatorname{in}(\mathrm{j})=\{\mathrm{V}$ out $(\mathrm{i}) \mid \mathrm{i}$ is predecessor of j$\}$

$$
\operatorname{out}(j)=f_{j}(\operatorname{in}(j))
$$


pres(j) is the complement of kill (j)

- A note: what makes the 4 classical problems special is that sets kill(j)/pres(j) and gen (j) do not depend on inf)
- Set union and set intersection can be implemented as logical OR and AND respectively


## Similarities

- Dataflow equation at node $\mathbf{j}$ is a transfer function. It takes in(j) as argument and produces out(j) as result:
- out(j) $=\mathrm{f}_{\mathrm{j}}(\mathrm{in}(\mathrm{j}))$


## Dataflow Frameworks

- We generalize and study properties of the property space
- Property space is a lattice
- Choice of lattice settles merge operator
- We generalize and study properties of the transfer function space
- Functions are monotone or distributive
- We generalize and study properties of the worklist algorithm that computes a solution


## Lattices

- Partial ordering (denoted by $\leq$ or $\subseteq$ )
- Relation between pairs of elements
- Reflexive a sa
- Anti-symmetric $\mathbf{a} \leq \mathbf{b}$ and $\mathbf{b} \leq \mathbf{a}==>\mathbf{a}=\mathbf{b}$
- Transitive $\mathbf{a} \leq \boldsymbol{b}$ and $\mathbf{b} \leq \mathbf{c}==>\mathbf{a} \leq \mathbf{c}$
- Partially ordered set (poset) (set S, $\leq$ )
- $\mathbf{0}$ element $\mathbf{0} \leq \mathbf{a}$, for every a in $S$
- 1 element $a \leq 1$, for every a in $S$

We don' t necessarily need 0 or 1 element

## Poset Example

$D=\{a, b, c\}$
The poset is $2^{\mathrm{D}}, \leq$ is set inclusion


## Lattice Theory



Greatest lower bound (gib) b,
$\mathbf{I 1}, \mathbf{I 2}$ in poses $S$, a in poses $S$ is the $\mathbf{g l b}(\mathbf{I 1 , I 2 )}$ ff 1) a $\leq \boldsymbol{I}$ and a $\leq \mathbf{I 2}$
2) for any $\mathbf{b}$ in $\mathrm{S}, \mathbf{b} \leq \mathbf{I 1}, \mathbf{b} \leq \mathbf{I 2}$ implies $\mathbf{b} \leq \mathbf{a}$

If gIb exists, it is unique. Why? Called meet (denoted by $\wedge$ or $\sqcap$ ) of $I 1$ and $\downarrow 2$.

- Least upper bound (lab)
$\mathbf{I 1}, \mathbf{I 2}$ in poses $S, \mathbf{c}$ in poses $S$ is the $\mathbf{l u b}(11,12)$ if $=\left(\operatorname{luth}_{(1,12)}\right.$

1) $\mathbf{c} \geq \mathbf{I}$ and $\mathbf{c} \geq \mathbf{I} \mathbf{2}$
2) for any $\mathbf{d}$ in $S, \mathbf{d} \geq \mathbf{I 1}, \mathbf{d} \geq \mathbf{I 2}$ implies $\mathbf{d} \geq \mathbf{c}$

If lube exists, it is unique. Called join (denoted by V or $\sqcup$ ) of I 1 and I 2 .

## Definition of a Lattice (L, $\boldsymbol{\Lambda}, \mathbf{V}$ )

- A lattice $L$ is a poset under $\leq$, such that every pair of elements has a glb (meet) and lub (join)
- A lattice need not contain a 0 or 1 element
- A finite lattice must contain 0 and 1 elements
- Not every poset is a lattice
- If there is element a such that $\mathbf{a} \leq \mathbf{x}$ for every $\mathbf{x}$ in $\mathbf{L}$, then $\mathbf{a}$ is the 0 element of $\mathbf{L}$
- If there is a such that $\mathbf{x} \leq \mathbf{a}$ for every $\mathbf{x}$ in $\mathbf{L}$, then $\mathbf{a}$ is the 1 element of $\mathbf{L}$


## A Poset but Not a Lattice



$$
\begin{aligned}
& e_{1} \leqslant e 3, e_{1} \leq e 4 \\
& e_{2} \leqslant e_{3}, e_{2} \leqslant e 4
\end{aligned}
$$

There is no lub(e3,e4) in this poset so it is not a lattice.
Suppose we add the lub(e3,e4), is it a lattice?

## Is This Poset a Lattice

$$
D=\{a, b, c\}
$$

The poset is $2^{D}, \leq$ is set inclusion
$g l b\left(l_{1}, l_{2}\right)=l_{1} \cap l_{2}$ $\operatorname{lub}\left(l_{1}, l_{2}\right)=l_{1} \cup l_{2} \leq$


## Examples of Lattices

- $\mathrm{H}=\left(2^{\mathrm{D}}, \cap, \mathrm{U}\right)$ where D is a finite set
- glb(s1,s2) denoted $\mathbf{s} \mathbf{1}$ ^s2, is set intersection s1กs2
- lub(s1,s2) denoted s1Vs2, is set union s1Us2
- J = ( $\mathrm{N}_{1}$, gcd, lcm)
- Partial order is integer divide on $\mathrm{N}_{1}$
- lub(n1,n2) denoted $\mathbf{n 1 V n 2}$ is Icm(n1,n2)
- $\mathbf{g l b}(\mathrm{n} 1, \mathrm{n} 2)$ denoted $\mathbf{n 1} \mathbf{\Lambda n 2}$ is $\operatorname{gcd}(\mathbf{n} 1, \mathrm{n} 2)$
( $\mathrm{N}_{1}$ denotes natural numbers starting at 1 )


## Chain

- A poset C where for every pair of elements c1, c2 in C, either c1 $\leq \mathrm{c} 2$ or $\mathbf{c 2} \leq \mathrm{c} 1$.
- E.g., $\} \leq\{a\} \leq\{a, b\} \leq\{a, b, c\}$
- E.g., from the lattice $J$ as shown here,

30
$1 \leq 2 \leq 6 \leq 30$
$1 \leq 3 \leq 15 \leq 30$

- A lattice s.t. every ascending chain is finite, is said to satisfy the Ascending Chain Condition



## Lattices in Dataflow Analysis

- Lattices define property space
- Lattice properties lead to certain properties of the worklist algorithm (standard way of solving dataflow problems)


## Dataflow Lattices: Reach

$\mathrm{D}=$ all definitions: $\{(\mathrm{x}, 1),(\mathrm{x}, 4),(\mathrm{a}, 3)\} \quad\{(\mathrm{x}, 1),(\mathrm{x}, 4),(\mathrm{a}, 3)\}$ Poset is $2^{\mathrm{D}}, \leq$ is the subset relation $ㄷ$


## Dataflow Lattices: Avail

$D=$ all expressions: $\left\{a^{*} b, a+1, y^{*} z\right\}$
Poset is $2^{\mathrm{D}}, \leq$ is the superset relation $\supseteq$


## Property Space

- Property space must be:

1. A lattice L, $\leq$
2. L satisfies the Ascending Chain Condition Requires that all ascending chains are finite

## Property Space

- Merge operator V must be the join of $\mathbf{L}$
- In dataflow, $L$ is often the lattice of the subsets over a finite set of dataflow facts $\mathbf{D}$
- Choose universal set D (e.g., all definitions)
- Choose ordering operation $\leq$. Since the merge operator must be the join of $\mathbf{L}$, a may problem sets
$\leq$ to subset and a must problem sets $\leq$ to superset


## Example: Reach Lattice

- Property space is the lattice of the subsets
- $\mathbf{D}$ is the set of all definitions in program
- $\leq$ is the subset operation
- Thus, join is set union, as needed for Reach, which is a may problem
- Lattice has a $\mathbf{0}$ being $\}$, and a $\mathbf{1}$ being $\mathbf{D}$
- Lattice satisfies the Ascending Chain Condition


## Example: Avail Lattice

- Property space is the lattice of the subsets
- $\mathbf{D}$ is the set of all expressions in the program
- $\leq$ is superset
- Thus, join is set intersection, as needed for Avail, which is a must problem
- Lattice has a 0 being $D$, and a 1 being \{\}
- Lattice satisfies Ascending Chain Condition


## (Monotone) Dataflow Framework

- A problem fits into the dataflow framework if
- its property space is a lattice $L, \leq$ that satisfies the Ascending Chain Condition
- its merge operator V is the join of L and
- its transfer function space $F$ : $L \rightarrow L$ is monotone
- Thus, we can make use of a generic solution procedure, known as the worklist algorithm (also the maximal fixpoint algorithm or the fixpoint iteration algorithm)


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## Transfer Functions

- The transfer functions: f: $L \rightarrow L$. Formally, function space $F$ is such that

1. $\mathbf{F}$ contains all $\boldsymbol{f}_{\mathrm{j}}$
2. $\mathbf{F}$ contains the identity function $\mathrm{id}(\mathbf{x})=\mathbf{x}$
3. $\mathbf{F}$ is closed under composition
4. Each $\mathbf{f}$ must be monotone

## Monotonicity Property

- $F: L \rightarrow L$ is monotone if and only if: (1) $\mathbf{a}, \mathbf{b}$ in $L, f$ in $F$ then $\mathbf{a} \leq \boldsymbol{b} \Longrightarrow f(a) \leq f(b)$ or (equivalently):
(2) $\mathbf{x}, \mathbf{y}$ in $\mathbf{L}, \mathbf{f}$ in $\mathbf{F}$ then $f(\mathbf{x}) \mathbf{V} \mathbf{f}(\mathbf{y}) \leq f(\mathbf{x} \mathbf{V} \mathbf{y})$
- Theorem: Definitions (1) and (2) are equivalent.
- Show that (1) implies (2)
- Show that (2) implies (1)


## Monotonicity Property

## - Show that (1) implies (2)

## Distributivity Property

- $F: L \rightarrow L$ is distributive if and only if $\mathbf{x}, \mathbf{y}$ in $L, f$ in $F$ then $f(x) \vee f(y)=f(x \vee y)$
- A distributive function is also monotone but not the other way around
- Distributivity is a very nice property!


## Monotonicity and Distributivity

- Is classical Reach distributive?
- Yes
- To show distributivity:

For each j : ( $\left(\mathrm{X}_{1} \mathbf{U} \mathrm{X}_{2}\right) \cap$ pres $\left.(\mathrm{j})\right) \mathrm{U}$ gen $(\mathbf{j})=$ $\left(\left(\mathrm{X}_{1} \cap \mathrm{pres}(\mathrm{j})\right) \mathrm{U}\right.$ gen $\left.(\mathrm{j})\right) \mathrm{U}\left(\left(\mathrm{X}_{2} \cap_{\text {pres }}(\mathrm{j})\right) \mathrm{U}\right.$ gen $\left.(\mathrm{j})\right)$
$\left(\left(X_{1} \cup X_{2}\right) \cap \operatorname{pres}(j)\right) \cup$ gen( $(\mathrm{j})=$
$\left(\left(X_{1} \cap \operatorname{pres}(j)\right) U\left(X_{2} \cap \operatorname{pres}(j)\right)\right) U$ gen( $(\mathrm{j})=$ $\left(\left(X_{1} \cap \operatorname{pres}(j)\right) U \operatorname{gen}(j)\right) U\left(\left(X_{2} \cap \operatorname{pres}(j)\right) U \operatorname{~gen}(j)\right)$

## Monotone Dataflow Framework

- A problem fits into the dataflow framework if
- its property space is a lattice $\mathbf{L}, \leq$ that satisfies the Ascending Chain Condition
- its merge operator V is the join of L and
- its transfer function space $F$ : $L \rightarrow L$ is monotone
- Thus, we can make use of a generic solution procedure, known as the worklist algorithm.


## Worklist Algorithm for Forward Dataflow Problems

/* Initialize to initial values; 1 is entry node of CFG */
in(1) = InitialValue; out(1) = $\mathrm{f}_{1}($ (in(1))
for $\mathrm{m}=2$ to n do $\mathrm{in}(\mathrm{m})=\mathbf{0}$; out $(\mathrm{m})=\mathrm{f}_{\mathrm{m}}(\mathbf{0})$
$\mathrm{W}=\{2, \ldots, \mathrm{n}\} /^{*}$ put every node but 1 on the worklist */
while $\mathrm{W} \neq \varnothing$ do \{
remove j from W
in $(\mathrm{j})=\mathbf{V}\{$ out $(\mathrm{i}) \mid \mathrm{i}$ is predecessor of j$\}$
out(j) $=\mathrm{f}_{\mathrm{j}}(\mathrm{in}(\mathrm{j}))$
if out(j) changed then

$$
W=W U\{k \mid k \text { is successor of } j\}
$$

## Worklist Algorithm on Reach

D = all definitions:\{(x,1),(x,4),(a,3)\}
Poset is $2^{\mathrm{D}}, \leq$ is the subset relation $\sqsubseteq$


## Termination Argument

- Why does the algorithm terminate?
- Sketch of argument:

A node j is placed on the worklist only if the out(i) of a predecessor $i$ changes. Monotonicity of $f$ ensures that in ${ }^{k}(i) \leq$ in $^{k+1}(i)$ and out ${ }^{k}(i) \leq$ out $^{k+1}(i)$. in(i) and out(j) sets and in $\mathbf{L}$ and $\mathbf{L}$ satisfies the Ascending Chain Condition; therefore, there is only a finite number of times each out(i) changes

## Correctness Argument

- Theorem: Worklist algorithm computes a solution that satisfies the dataflow equations
- Why?
- Sketch of argument:

Suppose either (1) Vout(i) =/= in(j) or (2) out(j) =/= $\mathrm{f}_{\mathrm{j}}(\mathrm{in}(\mathrm{j}))$
For (1) to hold we must have "grown" out(i) and not added successor j to worklist or otherwise in(j) would have been recomputed to account for new out(i); This is impossible.

## Precision Argument

- Theorem: Worklist algorithm computes the least solution of the dataflow equations.
- Historically though, this solution is called the maximal fixpoint solution (MFP)
- For every node $\mathbf{j}$, worklist algorithm computes a solution MFP(j) = \{in(j),out(j)\}, such that for every solution \{in'( $($ ),out' $(j)\}$ of the dataflow equations we have in(j) $\leq i n^{\prime}(j)$ and out(j) $\leq$ out $^{\prime}(\mathrm{j})$


## Example



Solution2 $\varnothing$

Equivalent to: $\mathrm{in}_{\text {Avai }}(2)=\{x+y\} \quad \mathbf{V} \mathrm{in}_{\text {Avail }}(2)$ and recall that $\mathbf{V}$ is $\cap$ (i.e., set intersection).

