



Class Analysis



Announcements

- HW2

- Post question on Submitty

- Setup, please do set this up as soon as possible!
- Starter code, class analysis framework and worklist algorithm
- Soot



Outline of Today's Class

- Class analysis
- Class Hierarchy Analysis (CHA)
- Rapid Type Analysis (RTA)

- HW2 class analysis framework

- XTA analysis family (next week)
- 0-CFA (next week)



Your Homework

- A bunch of flow-insensitive, context-insensitive analyses for Java
 - RTA in HW2, other in later homework
 - Simple property space
 - Simple transfer functions
 - E.g., in fact, RTA gets rid of most CFG nodes, processes just 3 kinds of statements (i.e., CFG nodes)
 - Millions of lines of code in seconds



Class Analysis

- Problem statement: What are the **classes** of objects that a (Java) **reference** variable may refer to at runtime?
- Class Hierarchy Analysis (CHA)
- Rapid Type Analysis (RTA)
- XTA family of analyses
- 0-CFA
- Points-to Analysis (PTA)

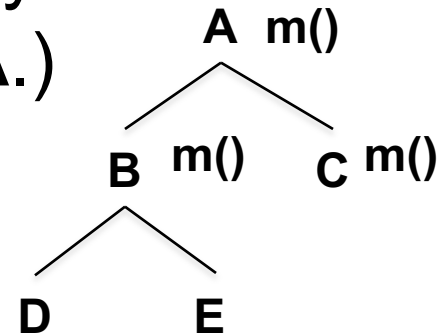
Applications of Class Analysis

■ Call graph construction

$A\ r;$
 A is the declared type of r .
Also called static type or compile-time type.

- At virtual call $r.m()$, what methods may be called? (Assuming r is of static type A .)

$r : \{D, E\}$
 $r.m() : \underline{B.m()}$

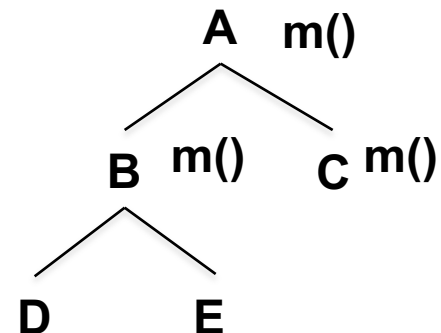


■ Call graph

- Nodes are methods
- Edges represent calling relationships
- Notion of methods reachable from **main**

Applications of Class Analysis

- **Virtual call resolution**
 - If analysis proves that a virtual call has a single target, it can replace it with a **direct call**
 - An OOPSLA'96 paper by Holzle and Driesen reports that C++ programs spend 5% of their time in dispatch code. For “all virtual”, it is 14%

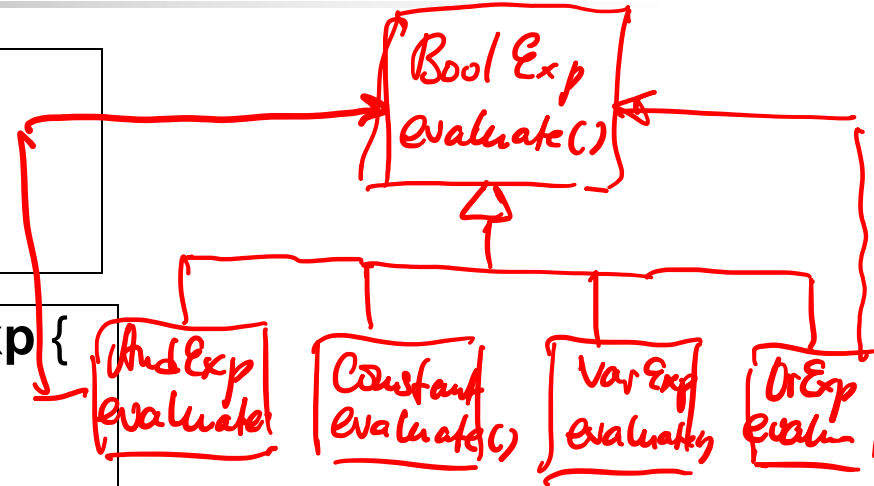


Boolean Expression Hierarchy

```
public abstract class BoolExp {  
    public boolean evaluate(Context c);  
}
```

```
public class Constant extends BoolExp {  
    private boolean constant;  
    public boolean evaluate(Context c) {  
        return constant;  
    }  
}
```

```
public class VarExp extends BoolExp {  
    private String name;  
    public boolean evaluate(Context c) {  
        return c.lookup(name);  
    }  
}
```



Boolean Expression Hierarchy

```
public class AndExp extends BoolExp {  
    private BoolExp left;  
    private BoolExp right;
```

```
    public AndExp(BoolExp left, BoolExp right) {  
        this.left = left;  
        this.right = right;  
    }
```

```
    public boolean evaluate(Context c) {  
        return left.evaluate(c) && right.evaluate(c);
```

```
    }  
}
```

left : { Constant }
left.evaluate() : { Constant.evaluate() } } "Ground truth" given client on slide 11.

Boolean Expression Hierarchy

```
public class OrExp extends BoolExp {
    private BoolExp left;
    private BoolExp right;

    public OrExp(BoolExp left, BoolExp right) {
        this.left = left;
        this.right = right;
    }

    public boolean evaluate(Context c) {
        return left.evaluate(c) || right.evaluate(c);
    }
}
```

Handwritten notes:
left: { Var Exp }
{ Var Exp. evaluate() } } Ground Truth

A Client of the Boolean Expression Hierarchy

Boolean Expression

```
main() {
```

```
Context theContext = new ...
```

```
BoolExp x = new VarExp("X");
```

```
BoolExp y = new VarExp("Y");
```

```
BoolExp exp = new AndExp(  
    new Constant(true), new OrExp(x, y) );
```

```
theContext.assign(x, true);
```

```
theContext.assign(y, false);
```

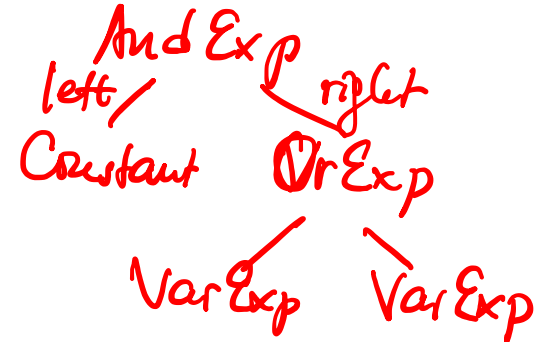
```
boolean result = exp.evaluate(theContext);
```

```
}
```

Declared type of x

runtime type of x

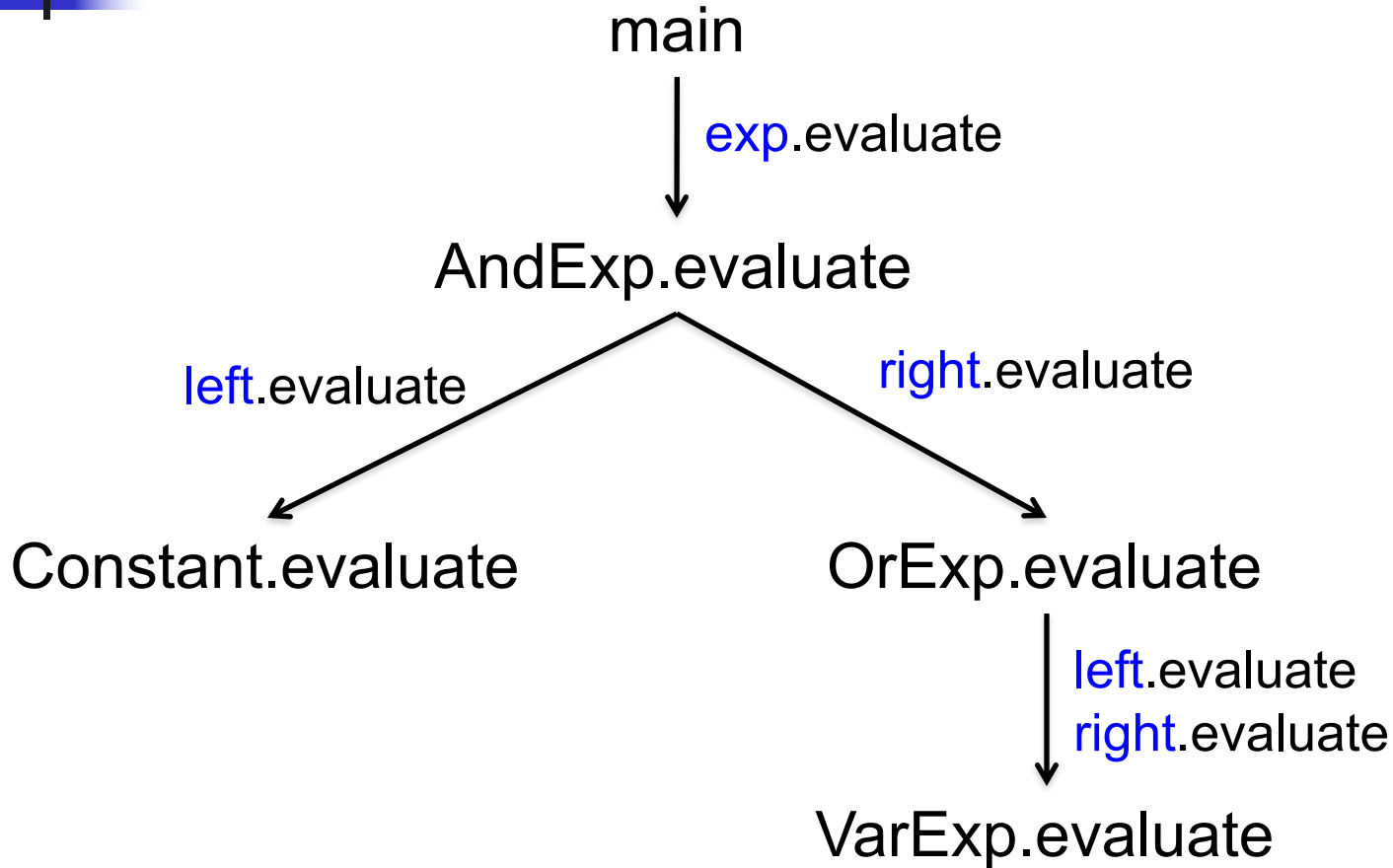
true && (x || y)



exp: {AndExp}

At runtime, `exp` can refer to an object of class `AndExp`, but it cannot refer to objects of class `OrExp`, `Constant` or `VarExp`!

Call Graph Example (Partial)





Class Hierarchy Analysis (CHA)

- Attributed to Dean, Grove and Chambers:
 - Jeff Dean, David Grove, and Craig Chambers, “Optimization of OO Programs Using Static Class Hierarchy Analysis”, ECOOP’ 95
- Simplest way of inferring information about reference variables --- just look at class hierarchy



Class Hierarchy Analysis (CHA)

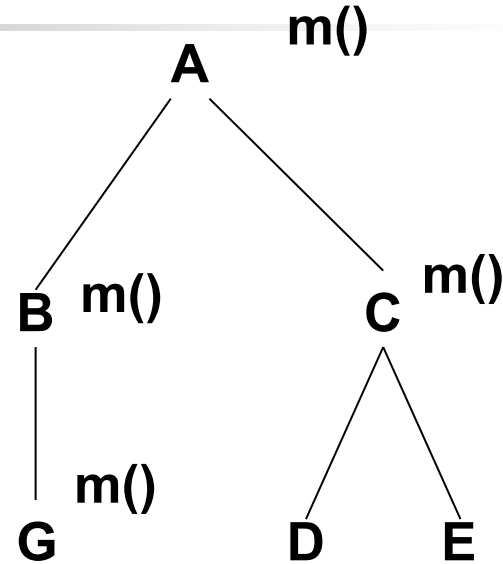
- In Java, if a reference variable r has type A , r can refer only to objects that are **concrete subclasses** of A . Denoted by **SubTypes(A)**
 - Note: refers to Java subtype, not true subtype
 - Note: **SubTypes(A)** notation due to Tip and Palsberg (OOPSLA'00)
- At virtual call site $r.m()$, we can find what methods may be called based on the hierarchy information

Example

```
public class A {  
    public static void main() {  
        A a;  
        D d = new D();  
        E e = new E();  
        if (...) a = d; else a = e;  
        a.m();  
    }  
}
```

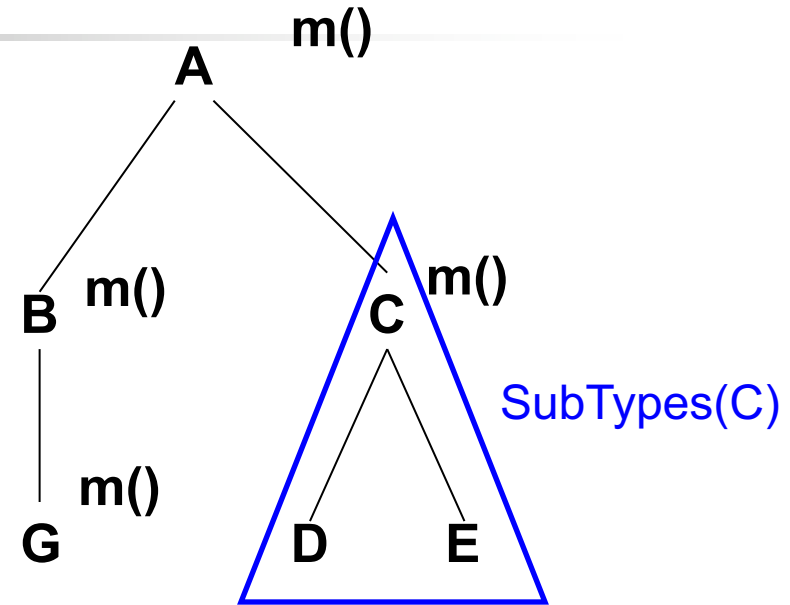
a: {D, E}
a.m(): {C.m()} } "Ground truth"

```
public class B extends A {  
    public void foo() {  
        G g = new G();  
    }  
} ... // no other creation sites or calls in the program
```



Example

```
public class A {  
    public static void main() {  
        A a;  
        D d = new D();  
        E e = new E();  
        if (...) a = d; else a = e;  
        a.m();  
    }  
}  
  
public class B extends A {  
    public void foo() {  
        G g = new G();  
    }  
} ...
```



SubTypes(A) = { A, B, C, D, E, G }

SubTypes(B) = { B, G }

Example

```

public class A {
    public static void main() {
        A a;
        D d = new D();
        E e = new E();
        if (...) a = d; else a = e;
        a.m();
    }
}

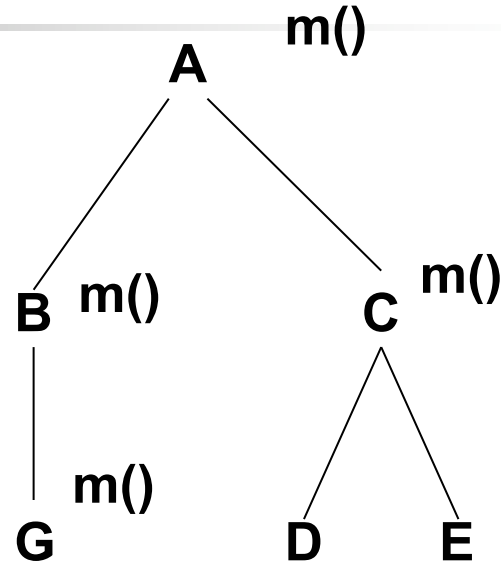
```

a.m(): {A.m(), B.m(), G.m(), C.m()}

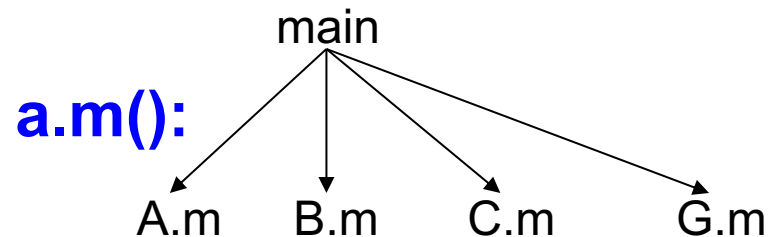
```

public class B extends A {
    public void foo() {
        G g = new G();
    }
} ...

```



a: $\text{SubTypes}(\text{StaticType}(a)) = \text{SubTypes}(A)$
 $= \{A, B, C, D, E, G\}$





CHA as Reachability Analysis

R denotes the set of **reachable methods**

1. $\{ \text{main} \} \subseteq \mathbf{R}$ // Algo: initialize **R** with **main**
2. for each method $\mathbf{m} \in \mathbf{R}$,
each **virtual call** $\mathbf{y.n(z)}$ in \mathbf{m} ,
each class **C** in **SubTypes(StaticType(y))** and
 $\mathbf{n'}$, where $\mathbf{n' = resolve(C,n)}$
 $\{ \mathbf{n'} \} \subseteq \mathbf{R}$ // Algo: add $\mathbf{n'}$ to **R**
(Practical concerns: must consider direct calls too!)



Rapid Type Analysis (RTA)

- Due to Bacon and Sweeney
 - David Bacon and Peter Sweeney, “Fast Static Analysis of C++ Virtual Function Calls”, OOPSLA '96
- Improves on CHA
- Expands calls only if it has seen an **instantiated object** of the appropriate type!

Example

```

public class A {
    public static void main() {
        A a;
        D d = new D();
        E e = new E();
        if (...) a = d; else a = e;
        a.m();
    }
}

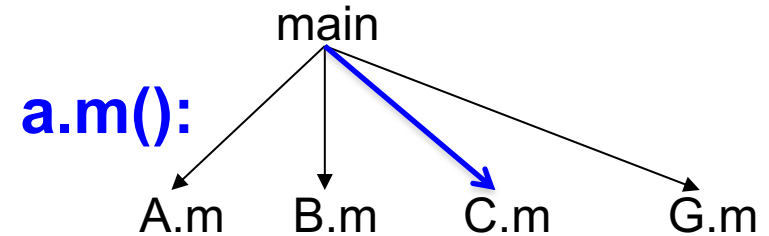
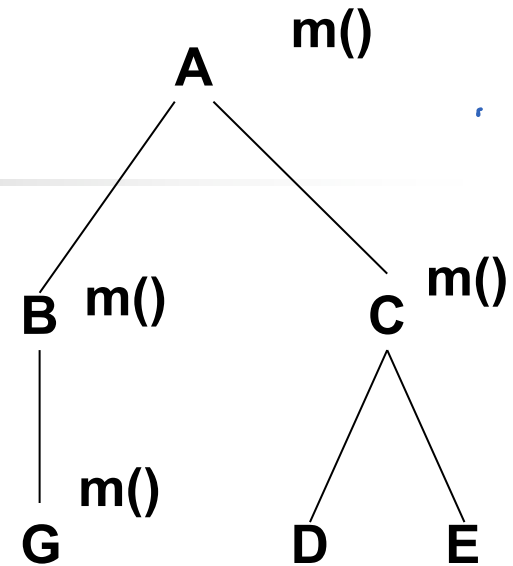
```

Handwritten notes:
 $I = \{D, E\}$
 $R = \{main, C.m\}$
 RTA: a: {D, E} a.m(): {C.m}
 CHA: a: {A, B, C, D, E, G}

```

public class B extends A {
    public void foo() {
        G g = new G();
    }
}

```



RTA starts at **main**.
 Records that **D** and **E** are instantiated.
 At call **a.m()** looks at all CHA targets.
 Expands only into target **C.m()**!
 Never reaches **B.foo()**, never records **G** as being instantiated.



RTA

R is the set of **reachable methods**

I is the set of **instantiated types**

1. $\{ \text{main} \} \subseteq \mathbf{R}$ // Algo: initialize **R** with **main**

2. for each method $m \in \mathbf{R}$ and
each **new site new C** in **m**

$\{ \mathbf{C} \} \subseteq \mathbf{I}$ // Algo: add **C** to **I**; schedule
// “successor” constraints



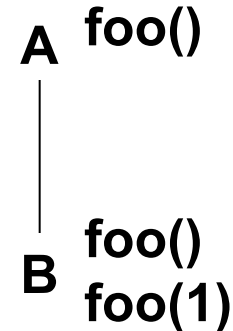
RTA

3. for each method $m \in R$,
each **virtual call** $y.n(z)$ in m ,
each class C in $\text{SubTypes}(\text{StaticType}(y)) \cap I$,
and n' , where $n' = \text{resolve}(C, n)$
 $\{ n' \} \sqsubseteq R$ // Algo: add target n' to R , if not already
// there. Schedule “successors”

Comparison

Bacon-Sweeny, OOPSLA' 96

```
class A {
public :
    virtual int foo() { return 1; };
};
class B: public A {
public :
    virtual int foo() { return 2; };
    virtual int foo(int i) { return i+1; };
};
void main() {
    B* p = new B;
    int result1 = p->foo(1);
    int result2 = p->foo();
    A* q = p;
    int result3 = q->foo();
}
```



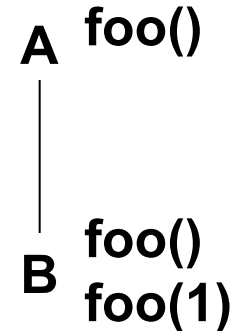
CHA resolves **result2** to **B.foo()**;
however, it does not resolve **result3**.

RTA resolves **result3** to **B.foo()**
because only **B** has been
instantiated.

Caveat

Bacon-Sweeny, OOPSLA' 96

```
class A {
public :
    virtual int foo() { return 1; };
};
class B: public A {
public :
    virtual int foo() { return 2; };
    virtual int foo(int i) { return i+1; };
};
void main() {
    void* x = (void*) new A;
    B* q = (B*) x;
    int result3 = q->foo();
}
```



RTA Example with Boolean Expression Hierarchy

```
main() {  
    Context theContext = new ...  
    BoolExp x = new VarExp("X");  
    BoolExp y = new VarExp("Y");  
    BoolExp exp = new AndExp(  
        new Constant(true), new OrExp(x, y) );  
    theContext.assign(x, true);  
    theContext.assign(y, false);  
    boolean result = exp.evaluate(theContext);  
}
```

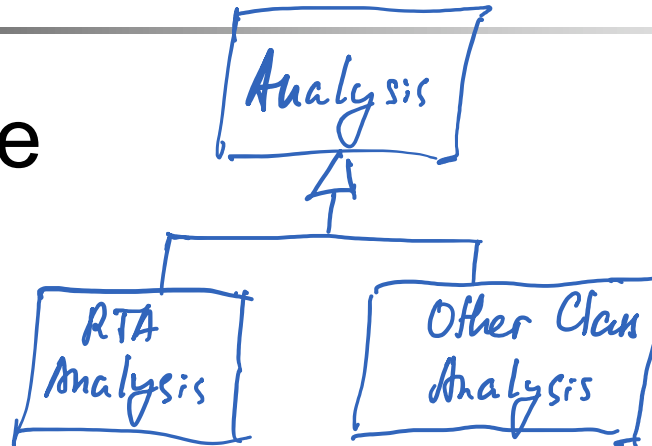
I = All of them
R =

exp: {VarExpr, Constant, OrExp, AndExp}

*exp.evaluate() : { And.evaluate(), Or.evaluate(),
Constant.evaluate(), Var.evaluate() }*

HW2 Class Analysis Framework

- Big picture



- Override hook methods to collect necessary transfer function info
 - You need hooks for Allocation ($x = \text{new } A;$), Virtual Call ($x = y.m(z)$) and direct call (e.g. $\text{sum}()$).
- Define classes to represent transfer functions (i.e. Constraints)

So+ Constraints map :

main:	A.m:	Sum:
<div style="border: 1px solid black; padding: 5px; display: inline-block;">Alloc 1 Alloc VCall</div>	<div style="border: 1px solid black; padding: 5px; display: inline-block;">Alloc VCall</div>	<div style="border: 1px solid black; padding: 5px; display: inline-block;">DCall Alloc 1 Alloc 2</div>

HW2 Class Analysis Framework

- Let's take a moment (or two, or more) to go over HW2 class analysis framework
 - Hooks
 - E.g., void allocStmt(SootMethod enclMethod, int allocSiteId, Node lhs, Node alloc)
creates and registers a transfer function (i.e. constraint) for Allocation statement.
 - Transfer functions, i.e., Constraints
 - Add Constraint classes for certain statements
 - E.g., class Alloc implements Constraint { ... }
 - sootConstraints map
 - resolve function
Captures transfer function for Allocation statement

