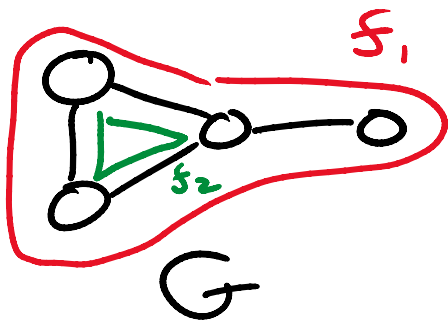


# Faces of planar graphs



$G$  has two faces

→ Length of a face is the number of edges

$$l(f_1) = 5$$

$$l(f_2) = 3$$

Note: each edge contributes +2 to the sum of face lengths

$$\sum_i l(f_i) = 2|E(G)|$$

$G$  is bipartite  $\Leftrightarrow$  all faces of  $G$  are even

$\Leftrightarrow G^*$  is Eulerian

$G$  is bipartite  $\Rightarrow$  all face are even

Note: all possible closed walks on  $G$  are even

faces on  $G$  are even

→ face length defined by a closed walk

⇒ all faces are even

all faces even ⇒  $G$  is bipartite

- Consider some cycle in  $G$

- The rest of  $G$  is internal or external to cycle  $C$



- Consider the internal partition of  $G$

→ all faces are even  
⇒  $\sum l(f_i) = \text{even}$

Note: each internal edge will be counted twice

Note x2: each edge on  $C$  will be counted once

**PARITY** ⇒ the cycle of  $C$

$\ddot{\text{P}}\ddot{\text{A}}\ddot{\text{R}}\ddot{\text{I}}\ddot{\text{T}}\ddot{\text{Y}}\ddot{\text{Y}} \Rightarrow$  the cycle of  $C$   
 must be even,  
 regardless of choice  
 of  $C \Rightarrow G$  is  
 bipartite  $\square$

All faces even  $\Leftrightarrow G^*$  is Eulerian

Note: vertex degrees in  $G^*$  are  
 the length of each face in  $G$

$\Rightarrow$  all even  $\Rightarrow$  Eulerian

( $\Leftarrow$ ) Eulerian implies even degrees  
 in  $G^* \rightarrow$  even face lengths in  $G \square$

Euler's formula

$$n - e + f = 2$$

$\rightarrow$  For connected planar graph  $G$

$\uparrow$   
 $|V(G)|$


$\uparrow$   
 $|E(G)|$

$\uparrow$   
 #faces in  
 embedding of  $G$

Let us prove this using the

# POWER

of induction on  $n$

Basis  $P(1)$ :   $n=1$   $f=1$   $1+1=2 \checkmark$

$$e=0$$



$$n=1$$

$$f=e+1$$

$$e=e$$

$$1 - e + e + 1$$

$$1+1=2 \checkmark$$

Consider our  $P(n)$  case

- Note: there exists some edge which is not a self loop
- Contract that edge to get our  $P(k)$

I.H. on  $P(k)$

$$\hookrightarrow n' - e' + f' = 2$$

Bring it on back to  $P(n)$

$$n = n' + 1$$

$$e = e' + 1$$

$f = f'$  # faces unchanged

$$e = e' + 1$$

$$f = f' \leftarrow \# \text{ faces unchanged}$$

plug n' chug

$$(n-1) - (e-1) + f = 2$$

$$n - e + f = 2 \quad \checkmark$$

Let's use this formula

If  $G$  is a simple connected planar graph with  $|V(G)| \geq 3$ , then  $e \leq 3n - 6$

$$G \text{ is simple} \rightarrow l(f_i) \geq 3$$

From our face<sup>length</sup> sum formula

$$2e = \sum l(f_i) \geq 3f \leftarrow \# \text{ faces}$$

$$2e \geq 3f$$

Consider  $n - e + f = 2$

$$e = n + f - 2$$

$$3e = 3n + 3f - 6$$

$$3e = 3n + 3f - 6$$

$$3e \leq 3n + 2e - 6$$

$$e \leq 3n - 6$$

What if  $G$  is triangle-free?

$$d(v_i) \geq 4$$

$$\sum d(v_i) \geq 4f$$

plug n' chug

$$e \leq 2n - 4$$

Note: if these inequalities do not hold  $\Rightarrow G$  is not planar

However  $\Rightarrow$  these are necessary but NOT sufficient

maximal planar  $G$ : adding an edge makes  $G$  nonplanar

minimal nonplanar  $G$ : deleting an edge makes  $G$  planar

triangulation: a planar embedding where all faces are of length 3

maximal planar  $\Leftrightarrow$  triangulation

---

Necessary conditions for planarity

$e \leq 3n - 6$  in general

$e \leq 2n - 4$  if  $G$  is triangle-free

$G$  has no  $K_5$  subgraph or subdivision

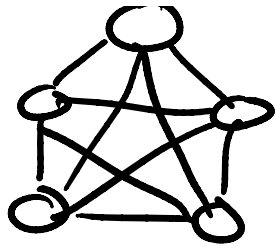
$G$  has no  $K_{3,3}$  subgraph

Note: subdivided edge

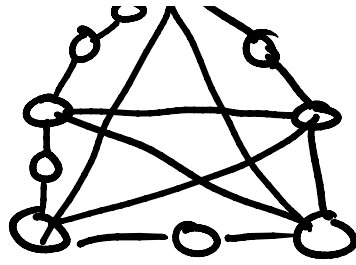


subdivision of a subgraph





$K_5$



$K_5$  subdivision

→ If  $H$  is nonplanar, then an  $H$  subdivision is nonplanar

$K_5$  and  $K_{3,3}$  subdivisions

→ Kuratowski subgraphs

## Kuratowski's Theorem

$G$  is planar iff

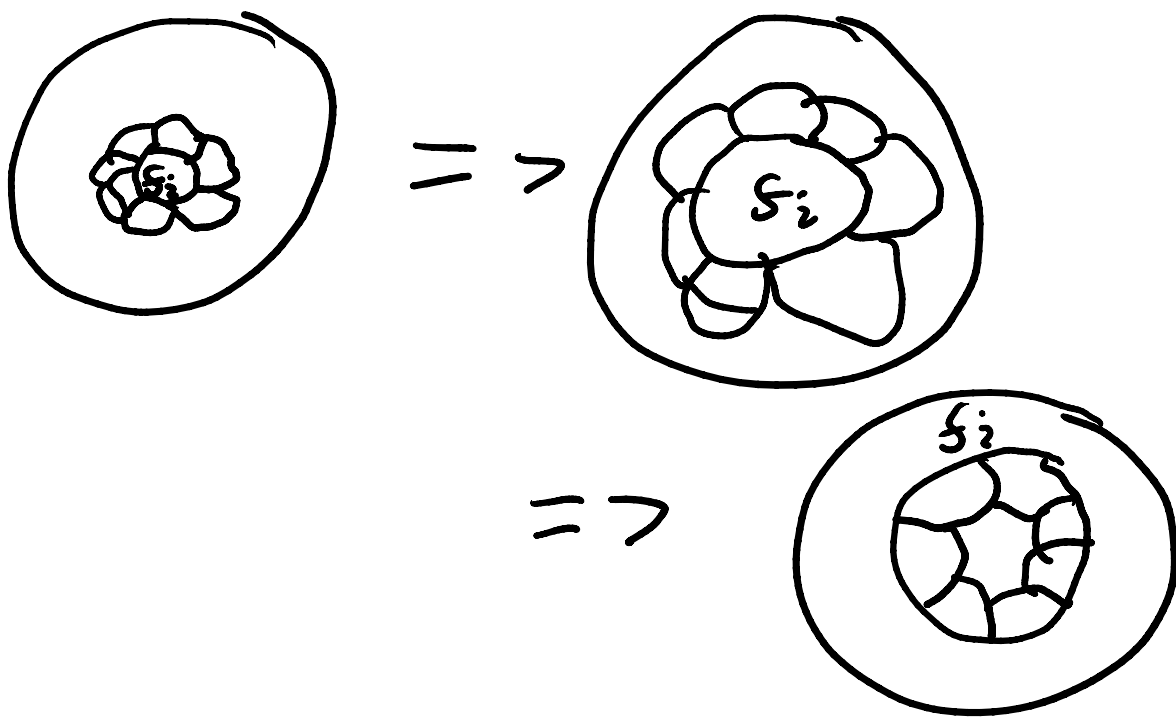
$G$  has no K.S.

(Kuratowski subgraph)

- ① For every face  $f_i$  of a planar embedding of  $G$   $\exists$  a planar embedding where  $f_i$  is the outer face



- Consider some embedding of  $G$  on a sphere
- Consider face  $f_i$  and return a projection of the embedding bounded by  $f_i$



② Every minimal nonplanar graph  $G$  is 2-connected

-  $\forall H \leq G$ , where  $|H| < |G|$ ,  $H$  is planar

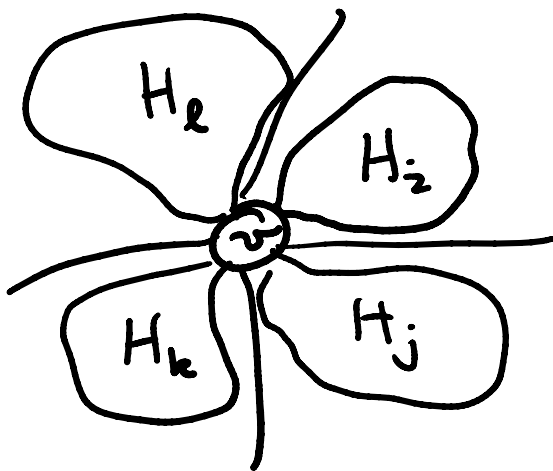
- Assume  $\exists v \in V(G)$  s.t.

$G - v$  is disconnected into

$G-v$  is disconnected into  $H_1 H_2 \dots H_k$  (all planar)

- Note: we can create an embedding of  $G$  (**contradiction**) by "squeezing" all of  $H_i$  into  $\frac{360}{k}$  degrees around  $v$

Note x2: From ①, for all  $H_i$  there exists an embedding with  $v$  on the outer face

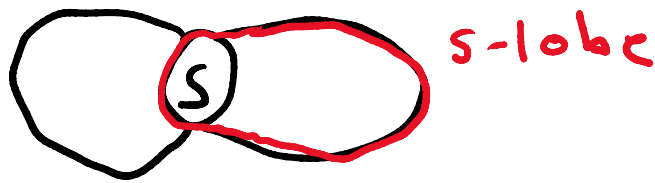


**Contradiction**

$\rightarrow G$  must be 2-connected

③ S-lobe: an induced subgraph of vertex set  $S$  and some component of  $G-S$





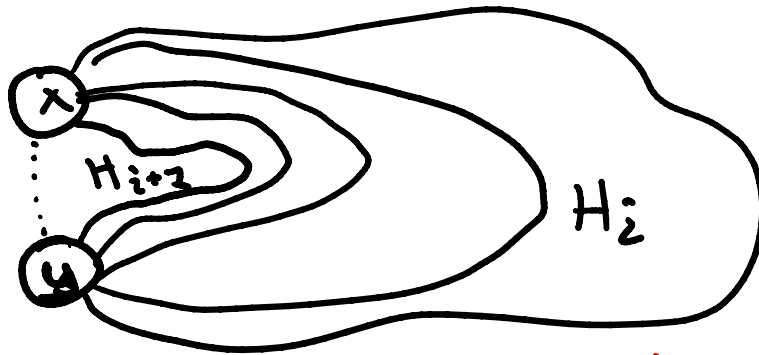
Let  $S = \{x, y\}$  be a separating set of 2-connected  $G$ . If  $G$  is nonplanar  $\Rightarrow$  adding edge  $(x, y)$  to some  $S$ -lobe of  $G$  yields a nonplanar graph.

- Let  $H_i = G_i \cup \{x, y\} \cup (x, y)$   
 $\uparrow$  component of  $G-S$        $\uparrow$  edge  
 $S$

- If  $H_i$  is planar, from ① it has an embedding where  $(x, y)$  is on the outer face

- Assume all possible  $H_i$  are planar  
 $\rightarrow$  we can iteratively embed all  $H_{i=1 \dots k}$  into the face of  $H_{i-1}$  containing  $(x, y)$

of  $H_{i-1}$  containing  $x, y$



Contradiction

$\Rightarrow$  at least one  $H_i$  is nonplanar  $\square$

④ If  $G$  is a graph with the fewest edges among all nonplanar graphs without a  $K_5$ , then  $G$  is 3-connected

Note:  $G$  doesn't exist, but if it did, it would need to be 3-connected

Why  $\rightarrow$  restrict any possible counter-example to 3-connected graphs

Note: deleting an edge cannot

1.  $\forall$  OTC - deleting an edge cannot create a K.S.

$\rightarrow G - e$  is planar and does not have a K.S.

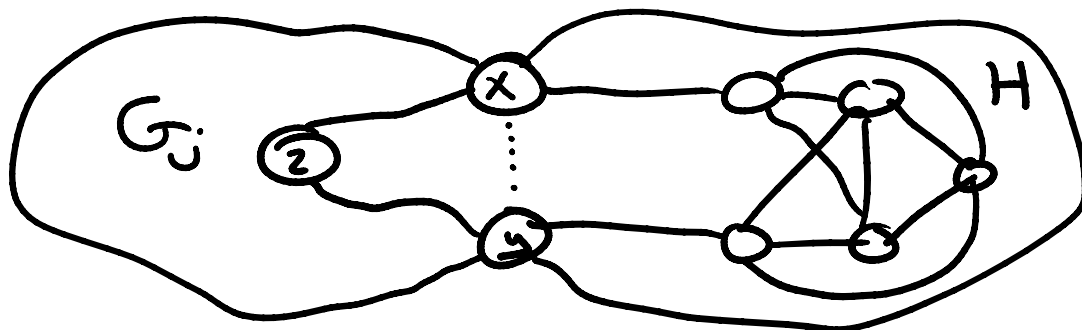
From ②,  $G$  is 2-connected

- Assume  $\exists S = \{x, y\}$ , then some  $S$ -lobe of  $G_2 + S$  is nonplanar from ③

- define  $H$  as that  $S$ -lobe +  $(x, y)$

- From our minimality condition,  $H$  must have a K.S.

- consider our current configuration



However, note that since  $G_2$  is 2-connected  $\exists z \in G_j$  where we have disjoint  $x, z$  and  $y, z$  paths

$\Rightarrow$  we still have a K.S. when  
we consider these paths

x            x  
Contradiction<sup>x</sup>  
x                    x

$\Rightarrow$  Any counter-example must  
be 3-connected

Counter-example: a nonplanar graph  
with no K.S.

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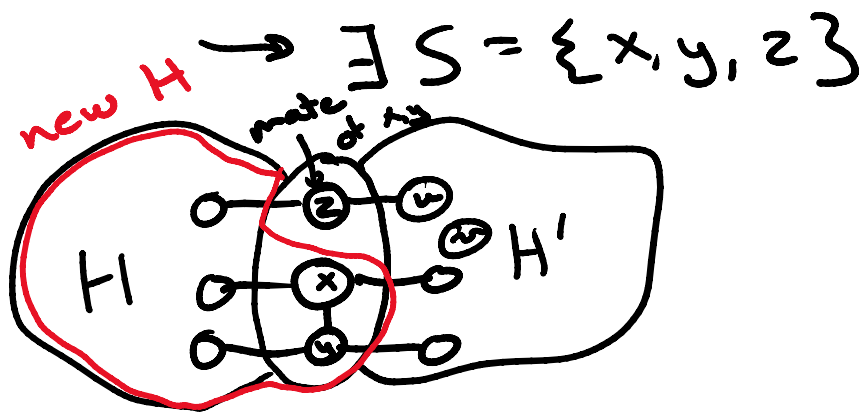
Next up: show all  
3-connected graphs w/o a  
K.S. are planar

---

⑤ If  $G$  is 3-connected and  
 $|V(G)| \geq 5$ ,  $\exists e \in E(G)$  s.t.  
 $G \cdot e$  is 3-connected

Consider  $e = (xy) \in E(G)$  s.t.  
 $G \cdot e$  is not 3-connected

$G \cdot e$  is not 3-connected



- Assume  $\exists$  no such edge s.t.

$G \cdot e$  is 3-connected

$\rightarrow$  all edges are within a separator with same 'mate' vertex

Choose  $S = \{x, y, z\}$  s.t.

$|V(H)|$  is maximum

★ Extremal argument ★

Each of  $x, y$ , and  $z$  have a neighbor in each of  $H$  and  $H'$

- consider  $u \in N(z)$ ,  $u \in V(H')$

- consider  $v$ , the mate of  $(u, z)$

$\dots \rightarrow u - v - z$  is disconnected

Note:  $G - \{z, u, v\}$  is disconnected  
 $V(H) \cup \{x, y\}$  is connected  
and within only one component  
of  $G - \{z, u, v\}$

$$|V(H) \cup \{x, y\}| > |V(H)|$$

<sup>x</sup> contradiction <sup>x</sup>  
x x

of our selection of  $H$

$\Rightarrow \exists e \in E(G)$  s.t.

$G \cdot e$  is 3-connected  $\square$

⑥ If  $G$  has no K.S.  $\Rightarrow G \cdot e$  has

no K.S.  
contrapositive

$G \cdot e$  has K.S.  $\Rightarrow G$  has K.S.



- define  $H$  as  $K_5 - e$

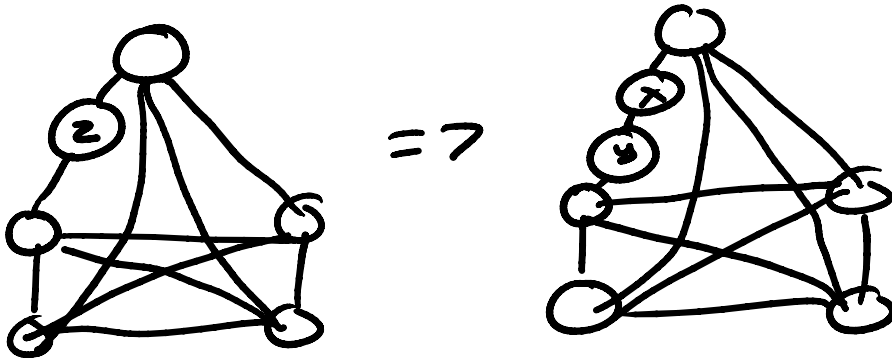
- define  $z \in V(G - e)$ ,  $z \leftarrow e = (x, y)$  <sup>← contracted edge</sup>

Case 1:  $z \notin H$

trivially holds

Case 2:  $d(z) < 3$  (degree in  $H$ )

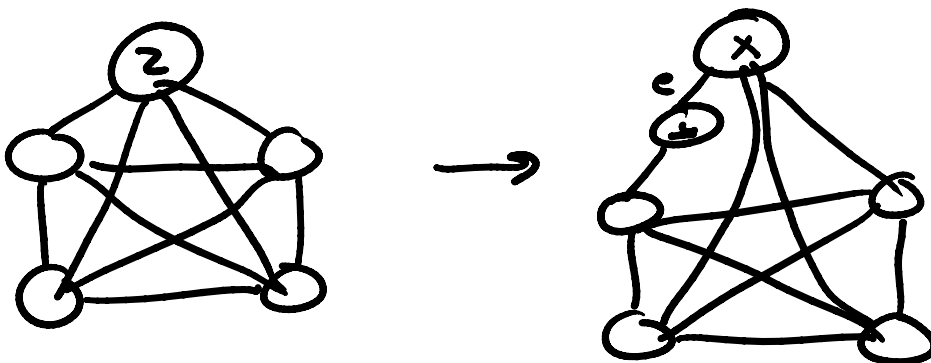
$\rightarrow z$  is along a subdivided edge



Case 3:  $d(z) \geq 3$

$d(x) \leq 2$  or  $d(y) \leq 2$

$\rightarrow$  same thing,  $e$  is along a subdivided edge

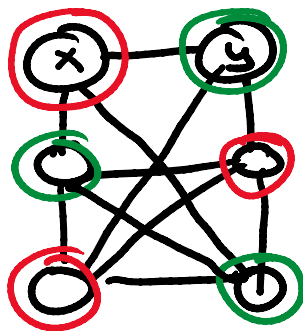
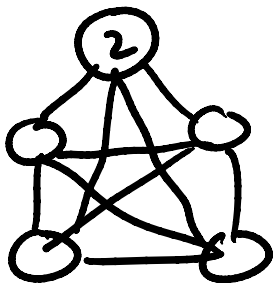


U -



Case 4:  $d(z) \geq 3$   $d(x) \geq 3$   
 $d(y) \geq 3$

$K_5 \rightarrow K_{3,3}$  is the only way



we have  
 $K_{3,3}$  embedded