

**CSci 4968 and 6270 — Computational Vision**  
**Lectures 20-22 — Energy Minimization, Segmentation, Stereo and Graph Cuts**

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## Overview

- Shift our focus to computing properties at each pixel of an image. We will view this property as a “label”.
- Examples of primary interest:
  - In foreground-background segmentation, we have two labels — you guessed it — “object” (usually represented by a 1) and “background” (usually represented by a 0).
  - In stereo matching, we represent the “disparity” between images — the amount of shift along the epipolar lines — by a discrete set of values. These values become the labels.
- As background, we will start by looking at contour-based segmentation methods.
- Then, we formulate the segmentation energy we will minimize with graph cuts algorithms.
- Mapping to a graph and the graph cut solution.
- More advanced work in graph-cuts based segmentation.
- Application to stereo matching.
- **Project suggestions:**
  - Investigate more advanced techniques and properties of graph-cuts algorithms.
  - Explore the use of graph-cuts segmentation for a wide variety of applications.

## Background Reading

- Background on segmentation and active contours may be found in Chapter 5 of Szeliski.
- The material on using graph cuts for segmentation is largely drawn from the paper, Boykov and Funka-Lea, Graph Cuts and Efficient N-D Image Segmentation, *International Journal of Computer Vision* 70:2, 109-131, 2006. If you are interested in a final project on segmentation and graph-cuts, you should start here. Sections 2 and 3 are the most helpful.
- The material on stereo is drawn from the paper, Boykov, Veksler and Zabih, Fast Approximation Energy Minimization via Graph Cuts, *IEEE Transactions on Pattern Analysis and Machine Intelligence* 23:11, 1222-1239, 2001. This paper is a bit more technical than the Boykov and Funka-Lea article.

## Snakes, Active Contours and Level Sets

- Here is a quick overview of contour-based techniques for segmentation. Most work related to this topic was completed in the period 1987-2001.
- Goal is to find a curve in an image, perhaps requiring it to be closed. This curve should follow some boundary properties of the image.
- Curve is a vector-valued parametric function  $\mathbf{C}(s)$ , giving the image coordinates of the curve points for each value of  $s$ .
- Formulate in terms of energy:

$$E(\mathbf{C}(s)) = \int_0^1 E_I(\mathbf{C}(s))ds + \int_0^1 E_S(\mathbf{C}(s))ds \quad (1)$$

where

- $E_I$  is the “image” or “data” energy, based on the contents of the images
  - $E_S$  is the “internal” or “smoothness” energy of the curve itself.
- A simple example the image energy is

$$E_I(\mathbf{C}(s)) = -|\nabla I(\mathbf{C}(s))| \quad (2)$$

which means the contour prefers area of high gradient magnitude.

- A simple example of the smoothness energy is

$$E_S(\mathbf{C}(s)) = \lambda_1 \|\mathbf{C}'(s)\|^2 + \lambda_2 \|\mathbf{C}''(s)\|^2 \quad (3)$$

These tend to shorten and straighten the curve.

- Original solutions to these were based on iterative finite-difference and finite-element methods, essentially solving differential equations on the image domain.
- Other solution methods:
  - Form contour in terms of B-splines and solve for a set of parameters
  - Fix two ends of the contour and solve using dynamic programming methods
- Ensuring a consistent topology of the contour is a difficult problem.
- An alternative approach is to embed the contour in what’s known as a *level-set*, which is a function defined across the entire image domain. The contour is the “zero level set” of this function.
- The easiest way to think about this is as a signed distance function, where
  - Each pixel location records the minimum distance from the pixel to any point on the contour.

- Points inside the region enclosed by the contour have negative distance and points outside the region have positive distance.
- Zeros of the distance function may be found to subpixel accuracy in a number of ways.
- A pde formulation is designed to allow the level set function (the distance function) to evolve, using similar terms to the active contour methods above.
- Topological problems are gone.
- Solutions to these problems are primarily taken from the domain of differential equations and numerical methods.
- In all level-set and active contour methods, initialization is very important!

### Overview of Graph-Cuts for Segmentation

- Focus primarily on the region instead of the boundary: label which pixels correspond to the object and which correspond to the background. The boundary, if desired, is found by looking for neighboring pixels having different labels.
- Problem is discrete / combinatorial: consider only image pixels and consider two possible labels per pixel.
- Exact solution is computed using max-flow / min-cut algorithms.
- Our discussion:
  - Energy formulation
  - Map to  $s/t$  graph, and solve using min-cut
  - Show that the solution is correct.
  - Impose hard constraints
  - Additional techniques and applications

After these, we will examine stereo matching and the use of graph-cuts.

### Energy Formulation for Segmentation

- Think of the image as a set,  $\mathcal{P}$ , of pixel locations,  $p$ .
- A segmentation is an assignment of labels to each pixel  $p$ . In other words:

$$a(p) = \begin{cases} 1 & p \text{ is an object pixel} \\ 0 & p \text{ is a background pixel} \end{cases} \quad (4)$$

- We then form a vector  $\mathbf{a}$  of these labelings, with one entry in the vector per pixel.

- Similar to active contours, we can write the energy of the labeling:

$$E(\mathbf{a}) = \lambda \sum_{p \in \mathcal{P}} E_R(p) + \sum_{(p,q) \in \mathcal{N}} E_B(p, q). \quad (5)$$

Here we have introduced a pixel neighborhood set  $\mathcal{N}$ .

- At this point, the energy formulation is close to what's known at a Markov Random Field, with  $E_R$  and  $E_B$  being logarithms of probability terms.
- Also, in the syntax of  $E_R$  and  $E_B$  there is an implicit (unstated) dependence on  $\mathbf{a}$ .
- To formulate  $E_R(p)$  we need a statistical model of the object appearance and of the background appearance. We write these as probability functions of the intensities:
  - For the object it will be  $Pr(I(p) | a(p) = 1)$ .
  - For the background it will be  $Pr(I(p) | a(p) = 0)$ .
- These probability models can be learned, which is often the case in medical image segmentation, or they can be gathered from simple manual selection of example object and background regions.
- For  $E_B(p, q)$ , we need to write it in such a way that the energy is 0 when  $p$  and  $q$  are from the same region (both object or both background). When  $p$  and  $q$  are not from the same region, the penalty is highest when the pixel values are closest to each other. This will encourage object/background breaks at locations where the intensities differ.
- Boykov and Funka-Lea suggest

$$E_B(p, q) = \delta_{a(p), a(q)} B(p, q) \quad (6)$$

where

$$B(p, q) = \exp\left(\frac{-(I(p) - I(q))^2}{2\sigma^2}\right) \frac{1}{\text{dist}(p, q)} \quad (7)$$

and

$$\delta_{x,y} = \begin{cases} 0 & x = y \\ 1 & x \neq y \end{cases} \quad (8)$$

- We will discuss reasons for this in class; other forms are possible.

## Mapping Into A Graph

- We want to map this into s/t (source/terminal) graph for solution by a min-cut / max-flow algorithm.
- Form a graph  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ .
- Vertices: form one vertex for each pixel; add two special vertices,  $S$  and  $T$ :
  - $S$  is the “source” and corresponds to the object

- $T$  is the “terminal” and corresponds to the background
- Our cut must separate  $S$  and  $T$  — placing them into two separate connected components.

Therefore,

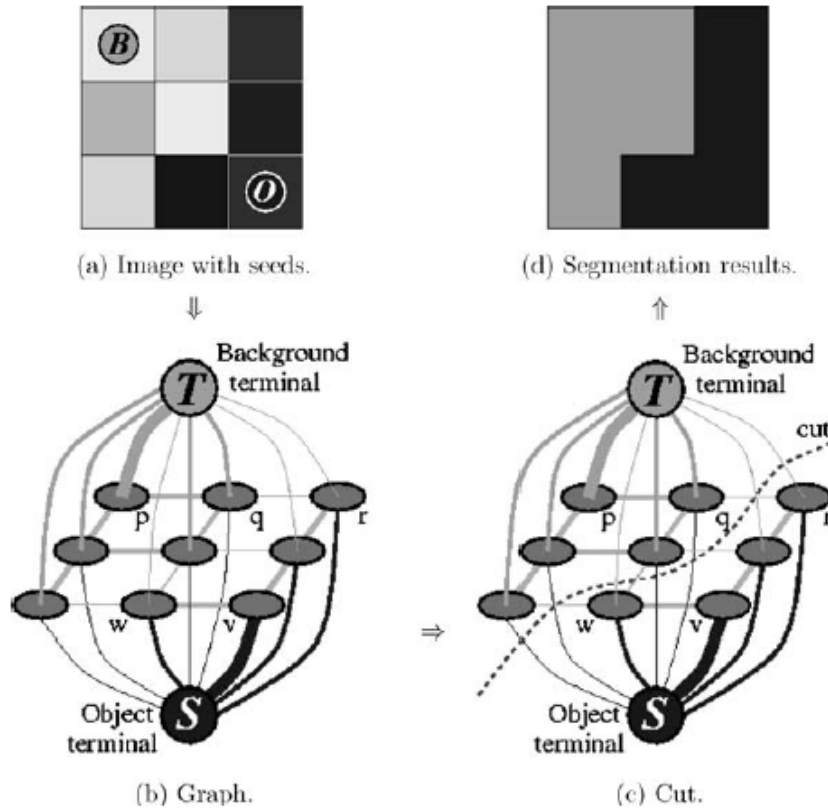
$$\mathcal{V} = \mathcal{P} \cup \{S, T\}. \quad (9)$$

- Edges in this undirected graph:
  - For each  $p \in \mathcal{P}$ , connect  $p$  to  $S$  and connect  $p$  to  $T$ . These edges are called *t-links*.
  - Each neighbor relation  $\{p, q\}$  forms an edge. These edges are called *n-links*.

Therefore,

$$\mathcal{E} = \mathcal{N} \cup \{\{p, S\}, \{p, T\}\} \quad (10)$$

- An example is shown in the following figure from the Boykov and Funka-Lea paper:



- Now, the all-important edge weights:

edge	weight (cost)	for
$\{p, q\}$	$B(p, q)$	$\{p, q\} \in \mathcal{N}$
$\{p, S\}$	$\lambda Pr(I(p) \mid a(p) = 0)$	$p \in \mathcal{P}$
$\{p, T\}$	$\lambda Pr(I(p) \mid a(p) = 1)$	$p \in \mathcal{P}$

Note the reversal here: with the cost of the connection between  $p$  and the background terminal being the cost, in the energy function, of labeling  $p$  as a foreground node.

### Max-Flow / Min-Cut to Solve the Segmentation Problem

- A cut  $\mathcal{C} \subset \mathcal{E}$  of an s/t graph is a subset of the edges such that  $S$  and  $T$  are in different connected components.

- The weight of a cut is

$$|\mathcal{C}| = \sum_{e \in \mathcal{C}} w(e) \quad (11)$$

- A cut  $\mathcal{C}$  is said to be *feasible* if
  - for each  $p \in \mathcal{P}$  exactly one t-link is in  $\mathcal{C}$ ;
  - for each  $\{p, q\} \in \mathcal{N}$ ,  $\{p, q\} \in \mathcal{C}$  if and only if  $p$  and  $q$  are t-linked to different terminals.
- Note how a feasible cut  $\mathcal{C}$  defines our segmentation quite simply:
  - if  $p$  is connected to  $T$  following the cut,  $p$  is a background pixel;
  - if  $p$  is connected to  $S$  following the cut,  $p$  is an object pixel.
- $\hat{\mathcal{C}}$  is a *min-cut* if no other cut has lower weight.
- The Ford-Fulkerson algorithm can be used to solve for the min-cut in polynomial time.
- We then need to prove:
  - $\hat{\mathcal{C}}$  is feasible, which means it determines our segmentation.
  - $|\hat{\mathcal{C}}|$  yields the minimum energy segmentation.

### Adding Hard Constraints

- In an interactive scenario, we can identify a set of pixels  $\mathcal{O}$  that are definitely inside the segmented object and a set of pixels  $\mathcal{B}$  that are definitely outside the segmented object.
- These can be used to establish object and background intensity statistics.
- These pixels are easily added to the s/t graph:
  - For  $p \in \mathcal{B}$ ,  $w(\{p, T\}) = \infty$  and  $w(\{p, S\}) = 0$ .
  - For  $p \in \mathcal{O}$ ,  $w(\{p, T\}) = 0$  and  $w(\{p, S\}) = \infty$ .

Note again that the weight of the edge to the terminal that  $p$  must remain connected to gets infinite weight.

- It is easily shown that there must be a closed “contour” of n-links cut that separate the vertices in  $\mathcal{B}$  from the vertices in  $\mathcal{O}$ . This is similar to the zero level set of level set methods. This connection has been explored extensively in recent papers.

## Applications, Additional Techniques and Limitations

- Interactive segmentation for photo editing and medical image segmentation.
- Add directed edges. These can be used to enforce different types of weights based on intensity properties.
- Fast editing, where the entire max-flow / min-cut need not be re-estimated.
- Multi-way segmentation. This uses techniques originally developed for the stereo matching problem, which we will discuss next...

## The Stereo Matching Problem

- Given: two images taken (nearly) simultaneously of a static scene by either two different cameras or one camera with a small translational motion between the two viewpoints. This motion is usually perpendicular to the line of sight of the camera.
- Problem: compute the depth of each pixel in each image
- Our discussion:
  - Reconsider camera geometry, returning to the simplified model of perspective projection that we started with.
  - Depth estimation as a matching problem — the “correspondence problem”
  - An energy formulation for the correspondence problem
  - Multi-way labeling
  - The  $\alpha$ -expansion algorithm
  - Finding each  $\alpha$ -expansion using a graph-cut formulation.

## Stereo Camera Geometry and Image Disparity

- Our model for the problem is two cameras with a horizontal translation, perpendicular to the line of sight of the camera.
- As we will show in class, this implies that if  $(u, v)$  is the image coordinate of a point in the left image, then its matching point will be along the same row in the right image.
- The coordinates of this right image point are  $(u - d, v)$  where  $d > 0$  is what's known as the *disparity*.
- It is easy to show that disparity and depth ( $z$ ) are inversely proportional to each other.
- In practice this simple camera geometry is difficult to achieve solely through construction of a stereo camera set-up. Instead we need either calibration or computation of the fundamental matrix, followed by a (hopefully slight) re-mapping of the two images, a process known as *rectification*.

## The Correspondence Problem: Dense Matching and Constraints

- Our goal is to compute disparity at each pixel in an image:
  - Contrast this with the output of structure-from-motion algorithms where 3d point locations are only known for keypoints.
- The estimation of disparity is based on two fundamental assumptions:
  - The intensities of corresponding pixel locations are the same
  - Disparity (inverse depth) varies slowly throughout the images except at discontinuities.
- When does this fail:
  - Cameras with different response functions and gain controls
  - Specular (actually, non-Lambertian) surfaces
  - At occlusion boundaries there are pixels that are seen in only one of the two images.
  - Scenes with large numbers of discontinuities (branches of trees)
- For implementing and testing stereo algorithms — as opposed to building complete robotic systems — we will also assume that the range of depths, and therefore of image disparities, is can be established manually as a parameter.
  - The disparity range will be denoted  $d_{\min}$  to  $d_{\max}$ .
- In short the function we want to compute is  $d(u, v)$  for each pixel  $(u, v)$  in the (say) left image.

## A Stereo Matching Energy Equation

- Once again we will use  $\mathcal{P}$  as a set of pixel locations and refer to a particular pixel as  $p \in \mathcal{P}$ . In terms of our immediately-preceding discussion of stereo, we have  $p = (u, v)$ .
- We will also have a set of neighborhood relations  $\mathcal{N}$  and write  $\{p, q\} \in \mathcal{N}$  for pixel locations that are neighbors.
- Instead of using  $d$  for the disparities we want to compute, we will use  $f$  and write  $f_p$  for the disparity at pixel  $p$ .
  - This is consistent with the notation in the Boykov, Veksler and Zabih paper and makes it clear that the  $\alpha$ -expansion algorithm we are going to discuss is more general than the stereo disparity problem.
- The energy equation is

$$E(f) = \sum_{\{p,q\} \in \mathcal{N}} V_{p,q}(f_p, f_q) + \sum_{p \in \mathcal{P}} D_p(f_p) \quad (12)$$

where  $D_p$  is a data term and  $V_{p,q}$  is a smoothness term.

- We will consider each of these terms in succession.
- We will assume that  $f_p$ , for each  $p \in \mathcal{P}$ , is taken from a discrete set of possible disparities values, e.g.  $f_p \in \mathcal{L}$ , where  $\mathcal{L} = \{d_{\min}, \dots, d_{\max}\}$ .

## The Data Term

- We will write the images as  $I_L$  and  $I_R$ .
- The data term could be as simple as the difference in intensities, so that

$$D_p(f_p) = \min((I_L(p) - I_R(p - f_p))^2, \text{const}) \quad (13)$$

where const is an unspecified constant (for our discussion, at least) that caps the error penalty for differences in intensity.

- A more sophisticated error penalty term, proposed by Birchfield and Tomasi in a 1998 paper in *IEEE Trans. on Pattern Analysis and Machine Intelligence*, does a better job accounting for the discrete nature of the image pixel locations:

$$C_f(p, f_p) = \min_{f_p-1/2 \leq x \leq f_p+1/2} |I_L(p) - I_R(p - x)| \quad (14)$$

$$C_b(p, f_p) = \min_{p-1/2 \leq x \leq p+1/2} |I_L(x) - I_R(p - f_p)| \quad (15)$$

$$D_p(f_p) = [\min\{C_f(p, f_p), C_b(p, f_p), \text{const}\}]^2. \quad (16)$$

We can think of the sub-pixel values of  $I_L$  and  $I_R$  being computed through linear interpolation. The actual computation of the minimum can be achieved using a constant time algorithm.

- Importantly, since we have a discrete set of labels, we will assume that  $D_p(f_p)$  can be pre-computed and stored for all  $p \in \mathcal{P}$  and all  $f \in \mathcal{L}$ .

## The Smoothness Term

- Many forms for  $V_{p,q}(f_p, f_q)$  are possible.
- If the labels are linearly ordered, we can have

$$V_{p,q}(f_p, f_q) = \min((f_p - f_q)^2, \text{const}) \quad (17)$$

- In stereo, the constant is usually pretty small because after a even a relatively small change in disparity, we know the points must be from different surfaces and at that point we don't care how big the change in disparity is.
- This leads to the idea of using the Potts model:

$$u(f_p, f_q) = \begin{cases} 0 & f_p = f_q \\ 1 & f_p \neq f_q \end{cases} \quad (18)$$

and a resulting

$$V_{p,q}(f_p, f_q) = K \cdot u(f_p, f_q) \quad (19)$$

- We can augment this with a term that encourages breaks in disparity to occur near intensity discontinuities. This could be as simple as

$$V_{p,q}(f_p, f_q) = u(f_p, f_q) \cdot v(I_p, I_q) \quad (20)$$

where

$$v(I_p, I_q) = \begin{cases} 2K & |I_p - I_q| \leq 2\sigma \\ K & |I_p - I_q| > 2\sigma \end{cases} \quad (21)$$

where  $\sigma$  is an estimate of the noise in the image intensities.

- Finally, for what follows, it will be important that  $V_{p,q}(f_p, f_q)$  is a *metric*, which means it must satisfy the following properties:
  1.  $V_{p,q}(f_p, f_q) \geq 0$ , with equality if and only if  $f_p = f_q$ .
  2.  $V_{p,q}(f_p, f_q) = V_{p,q}(f_q, f_p)$
  3.  $V_{p,q}(f_p, f_q) < V_{p,r}(f_p, f_r) + V_{r,q}(f_r, f_q)$

The last of these is the *triangle inequality*.

## The Expansion-Move Algorithm

- The segmentation problem we solved with graph-cuts only had two labels, object and background. The labeling problem for stereo has many more possible labels.
- Therefore, the graph-cuts algorithm can not be used immediately.
- Instead, we will include the graph-cuts solution as a step in the many-labels labeling algorithm.
- Boykov, Veksler and Zabih present two techniques, the  $\alpha - \beta$  swap and the  $\alpha$  expansion (also called the expansion move). We will only consider the  $\alpha$  expansion.
- Given a labeling,  $f$ , an “expansion move” for a given label is a new labeling  $f'$ , such that
  - All pixels currently labeled  $f_p = \alpha$  remain unchanged.
  - 0 or more pixels labeled  $f_p \neq \alpha$ , become assigned  $f'_p = \alpha$ .

This is called an  $\alpha$ -expansion

- Here is the algorithm:
  1. Assign an initial labeling (initial set of disparities) to the image, perhaps just randomly;
  2. `expanded = false`;
  3. for each label  $\alpha \in \mathcal{L}$ 
    - (a) Find the  $\alpha$ -expansion  $f'$  producing the greatest reduction in energy.
    - (b) If  $E(f') < E(f)$  then set  $f = f'$  and set `expanded = true`
  4. If `expanded`, go to step 2.

5. Return the labeling  $f$ .

- Given this outline, obviously, the primary step to consider is to find the optimal  $\alpha$ -expansion for any label.
- Typically, the overall algorithm converges in a relatively small number of iterations through the outer loop.

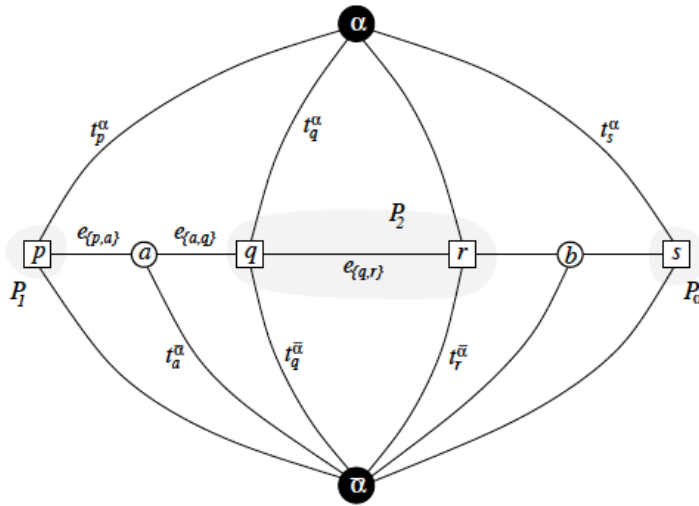
### Solving For Each Expansion-Move Optimally Using Graph-Cuts

- We will structure the graph. In forming the vertices and edges it will become clear how the cut will solve for the desired  $\alpha$ -expansion.
- For the label  $\alpha \in \mathcal{L}$  currently being considered, let  $\alpha$  be the set of pixels that currently have label  $\alpha$ , i.e.

$$\mathcal{P}_\alpha = \{p \mid p \in \mathcal{P} \text{ and } f_p = \alpha\} \quad (22)$$

These pixels will not change labels during this expansion move.

- The terminal  $T$  — remember this was the background terminal — is now called  $\bar{\alpha}$ .
- The terminal  $S$  — formerly called the object terminal — is now called  $\alpha$ .
- As before, there is one vertex for each  $p \in \mathcal{P}$  and these vertices are each connected to  $\alpha$  and  $\bar{\alpha}$ .
- For each pair  $\{p, q\} \in \mathcal{N}$  such that  $f_p \neq f_q$ , we add a special vertex  $a_{p,q}$  and connect it via an edge to  $p$ , to  $q$  and to  $\bar{\alpha}$ .
- An example from the Boykov, et al paper is shown below



- Before explaining the figure, it is very important to note that the edges in an cut,  $\mathcal{C}$ , now specify the connections that are actually part of the energy formulation. One implication of this is that if the connection from  $p$  to  $\alpha$  is cut, then  $p$  will have label  $\alpha$  in the expansion move.
- Getting a bit more formal, the set of vertices is

$$\mathcal{V}_\alpha = \left\{ \mathcal{P} \cup \{\alpha, \bar{\alpha}\} \cup \{a_{p,q} \mid \{p,q\} \in \mathcal{N} \text{ and } f_p \neq f_q\} \right\} \quad (23)$$

- Now for the edges and their weights. First, for  $\{p \in \mathcal{P}_\alpha\}$  — the pixels currently having the label  $\alpha$ :

Name	Edge	Weight
$t_p^\alpha$	$\{p, \alpha\}$	$D_p(\alpha)$
$t_p^{\bar{\alpha}}$	$\{p, \bar{\alpha}\}$	$\infty$

Note that this means the connection  $t_p^\alpha$  will always be severed for pixels that are currently labeled  $f_p = \alpha$ .

- Next, for  $\{p \in \mathcal{P} - \mathcal{P}_\alpha\}$  — the pixels that currently do not have the label  $\alpha$ :

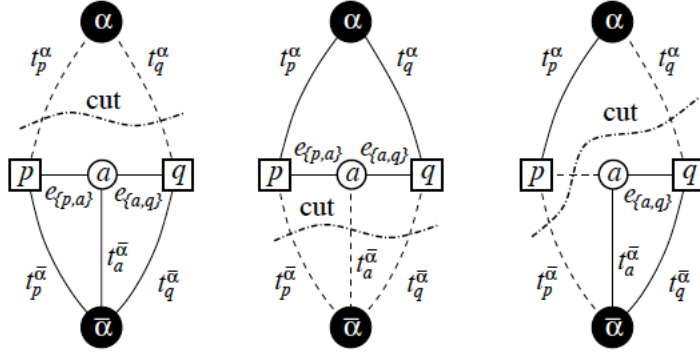
Name	Edge	Weight
$t_p^\alpha$	$\{p, \alpha\}$	$D_p(\alpha)$
$t_p^{\bar{\alpha}}$	$\{p, \bar{\alpha}\}$	$D_p(f_p)$

where  $f_p$  is the pixel's current label (which is not  $\alpha$ ).

- For pixel pairs  $\{p, q\} \in \mathcal{N}$ , where currently  $f_p = f_q$ , add an edge  $e_{p,q}$  with weight  $V_{p,q}(f_p, \alpha)$ .
  - Each of these edge links that is severed implies that a cost of  $V_{p,q}(f_p, \alpha)$  is added to the cut/energy.
  - Note that this is where property 2 of  $V$  is required.
- Finally, consider the three edges from  $a_{p,q}$ , the auxiliary vertex added between two neighboring pixels/vertices currently having different labels:

Name	Edge	Weight
$t_a^{\bar{\alpha}}$	$\{a, \bar{\alpha}\}$	$V_{p,q}(f_p, f_q)$
$e_{p,a}$	$\{p, a\}$	$V_{p,q}(f_p, \alpha)$
$e_{a,q}$	$\{a, q\}$	$V_{p,q}(\alpha, f_q)$

Using the following figure and recalling the triangle inequality (property 3 above), we can show that at most one of these edges can be severed by a cut.



In particular, there are four cases:

1. If  $p$  and  $q$  both have their connections severed from  $\alpha$ , meaning that both are labeled  $f'_p = f'_q = \alpha$  in the new labeling, then none of the edges connected to  $a_{p,q}$  are cut and the neighborhood cost  $V_{p,q}(f'_p, f'_q) = V_{p,q}(\alpha, \alpha) = 0$  in the new energy. This is illustrated on the left side of the above figure.
2. If  $p$  and  $q$  both have their connections to  $\bar{\alpha}$  cut then, because of the triangle inequality on  $V$ , the t-link  $t_a^{\bar{\alpha}}$  must also be cut. The cost of this cut is the  $V_{p,q}(f_p, f_q)$  value for the current labeling. This is illustrated in the center of the above figure.
3. If  $p$  has its connection to  $\bar{\alpha}$  cut and  $q$  has its connection to  $\alpha$  cut, then  $f_p$  remains unchanged in the new labeling (i.e.  $f'_p = f_p$ ) and  $f'_q = \alpha$  in the new labeling. This means that the neighbor energy of  $\{p, q\}$  must be  $V_{p,q}(f_p, \alpha)$ , corresponding to cutting  $e_{p,a}$ . The other two edges,  $e_{q,a}$  and  $t_a^{\bar{\alpha}}$ , can not be cut because of the triangle inequality. This is illustrated on the right side of the above figure.
4. If  $p$  has its connection to  $\alpha$  cut and  $q$  has its connection to  $\bar{\alpha}$  cut, then  $f'_p = \alpha$  and  $f'_q = f_q$  in the new labeling. This means that the neighbor energy of  $\{p, q\}$  must be  $V_{p,q}(\alpha, f_q)$ , corresponding to cutting  $e_{a,q}$ . The other two edges,  $e_{p,a}$  and  $t_a^{\bar{\alpha}}$  can not be cut because of the triangle inequality. While not shown in the above figure, this case is the mirror image of the diagram on the right.

- This completes the construction of the graph.
- Intuitively, it is fairly clear from the construction that the minimum cut of this graph gives the optimal  $\alpha$ -expansion move. We will not give a formal proof here.
- See paper and the Middlebury website <http://vision.middlebury.edu/stereo/> for example results.

## Properties

- Each  $\alpha$ -expansion by itself is optimal
- Overall expansion move converges to a “strong local minimum” of the energy.
- Finding the global minimum is NP-Hard

- In practice, when ground-truth disparities are available, the energy of the labeling actually found is less than the energy of the ground-truth labeling! This means that improvements can be obtained more through improving the energy formulation than improving the search algorithm. We are restricted here, however, by requiring that  $V_{p,q}$  be a metric.

### Enhancements and Alternatives

- Main alternative approach is “loopy belief propagation” algorithm.
- Many, many variations on the basic energy formulation have been presented. Ideas include:
  - Include explicit labeling of occluded pixels
  - Left/right symmetric matching.
  - More sophisticated, statistically-derived smoothness terms.
  - Layering and initial disparity plane fitting followed by graph-cuts within each plane.
- Many of these, together with experimental results, are gathered at the Middlebury stereo website <http://vision.middlebury.edu/stereo/>

### Applications

- View interpolation and image-based rendering. Here the occluded pixel problem is quite important. How well do current algorithms do?
- Robot navigation.

### Summary of Our Stereo Discussion

- Assumptions about stereo camera geometry lead to a one-dimensional search for the disparity at each pixel.
- Disparity computation problem formulated as an energy minimization problem with a data term and a smoothness term.
- This is treated in turn as a labeling problem with a discrete set of labels to represent the possible disparities.
- The Expansion Move algorithm, or *alpha*-expansion algorithm,
- For each possible label, the optimal expansion move is found in polynomial time using graph-cuts.
- While the overall solution is NP-Hard, the actual solutions found in practice have energy as low or lower than those of ground-truth disparities.
- Graph-based algorithms have seen substantially-increased use in computer vision over the past decade.