

Lazy Evaluation:

Infinite data structures, set comprehensions
(CTM Sections 1.8 and 4.5)

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Lazy evaluation

- The functions written so far are evaluated eagerly (as soon as they are called)
- Another way is lazy evaluation where a computation is done only when the result is needed

- Calculates the infinite list:

0 | 1 | 2 | 3 | ...

```
declare  
fun lazy {Ints N}  
  N|{Ints N+1}  
end
```

Sqrt using an infinite list

```
let sqrt x = head (dropWhile (not . goodEnough) sqrtGuesses)
```

```
  where
```

```
    goodEnough guess = (abs (x - guess*guess))/x < 0.00001
```

```
    improve guess = (guess + x/guess)/2.0
```

```
    sqrtGuesses = 1:(map improve sqrtGuesses)
```

Infinite lists (`sqrtGuesses`) are enabled by lazy evaluation.

Map in Haskell

```
map' :: (a -> b) -> [a] -> [b]
```

```
map' _ [] = []
```

```
map' f (h:t) = f h:map' f t
```

Functions in Haskell are lazy by default. That is, they can act on infinite data structures by delaying evaluation until needed.

Lazy evaluation (2)

- Write a function that computes as many rows of Pascal's triangle as needed
- We do not know how many beforehand
- A function is *lazy* if it is evaluated only when its result is needed
- The function PascalList is evaluated when needed

```
fun lazy {PascalList Row}
  Row | {PascalList
        {AddList
         {ShiftLeft Row}
         {ShiftRight Row}}}}
end
```

Lazy evaluation (3)

- Lazy evaluation will avoid redoing work if you decide first you need the 10th row and later the 11th row
- The function continues where it left off

declare

```
L = {PascalList [1]}  
{Browse L}  
{Browse L.1}  
{Browse L.2.1}
```

L<Future>

```
[1]  
[1 1]
```

Lazy execution

- Without laziness, the execution order of each thread follows textual order, i.e., when a statement comes as the first in a sequence it will execute, whether or not its results are needed later
- This execution scheme is called *eager execution*, or *supply-driven* execution
- Another execution order is that a statement is executed only if its results are needed somewhere in the program
- This scheme is called *lazy evaluation*, or *demand-driven* evaluation (some languages use lazy evaluation by default, e.g., Haskell)

Example

$$B = \{F1 X\}$$

$$C = \{F2 Y\}$$

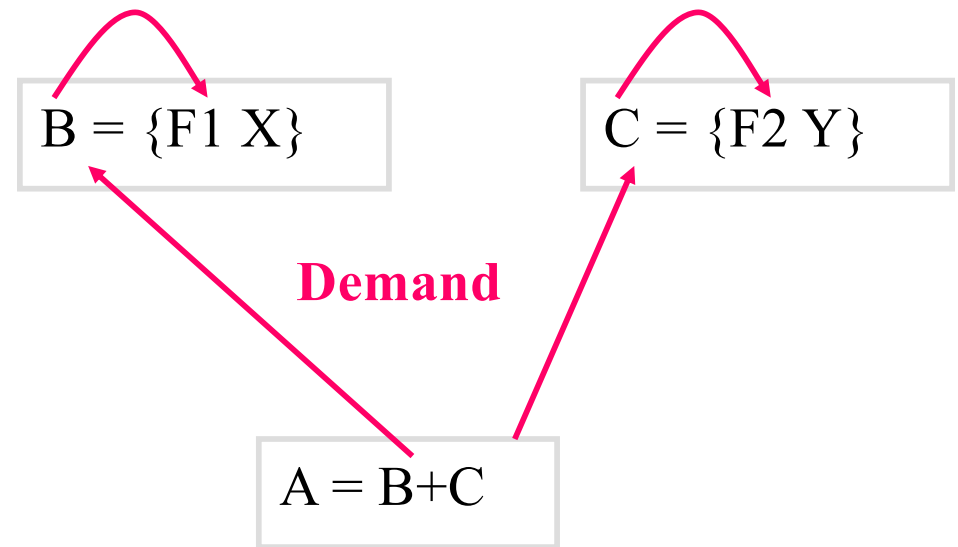
$$D = \{F3 Z\}$$

$$A = B+C$$

- Assume F1, F2 and F3 are lazy functions
- $B = \{F1 X\}$ and $C = \{F2 Y\}$ are executed only if and when their results are needed in $A = B+C$
- $D = \{F3 Z\}$ is not executed since it is not needed

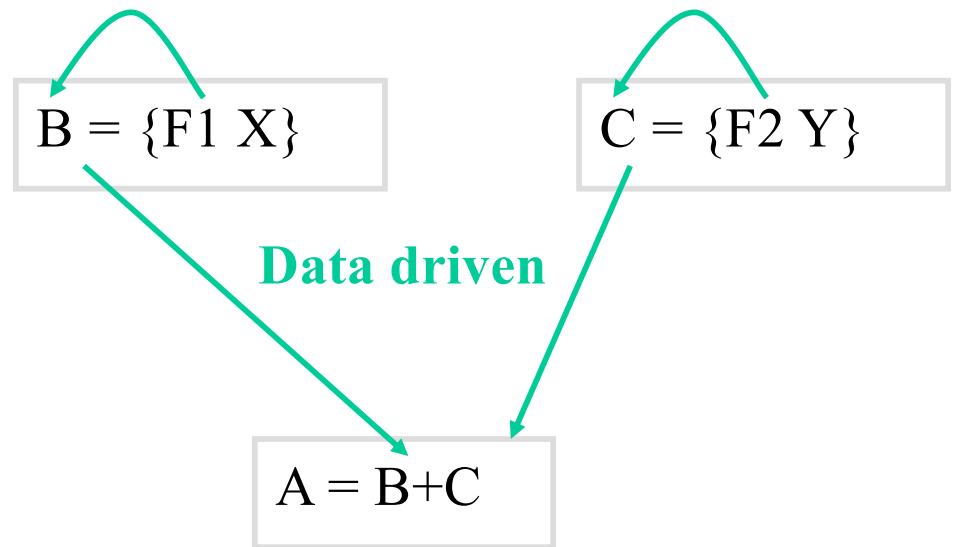
Example

- In lazy execution, an operation suspends until its result is needed
- The suspended operation is triggered when another operation needs the value for its arguments
- In general multiple suspended operations could start concurrently



Example II

- In data-driven execution, an operation suspends until the values of its arguments results are available
- In general the suspended computation could start concurrently



Using Lazy Streams

```
fun {Sum Xs A Limit}
  if Limit>0 then
    case Xs of X|Xr then
      {Sum Xr A+X Limit-1}
    end
  else A end
end
```

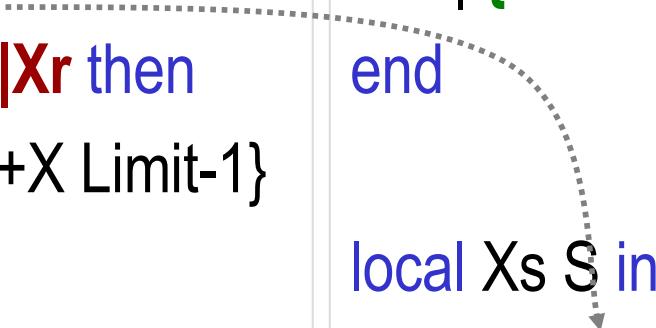
```
local Xs S in
  Xs={Ints 0}
  S={Sum Xs 0 1500}
  {Browse S}
end
```

How does it work?

```
fun {Sum Xs A Limit}
  if Limit>0 then
    case Xs of X|Xr then
      {Sum Xr A+X Limit-1}
    end
  else A end
end
```

```
fun lazy {Ints N}
  N | {Ints N+1}
end

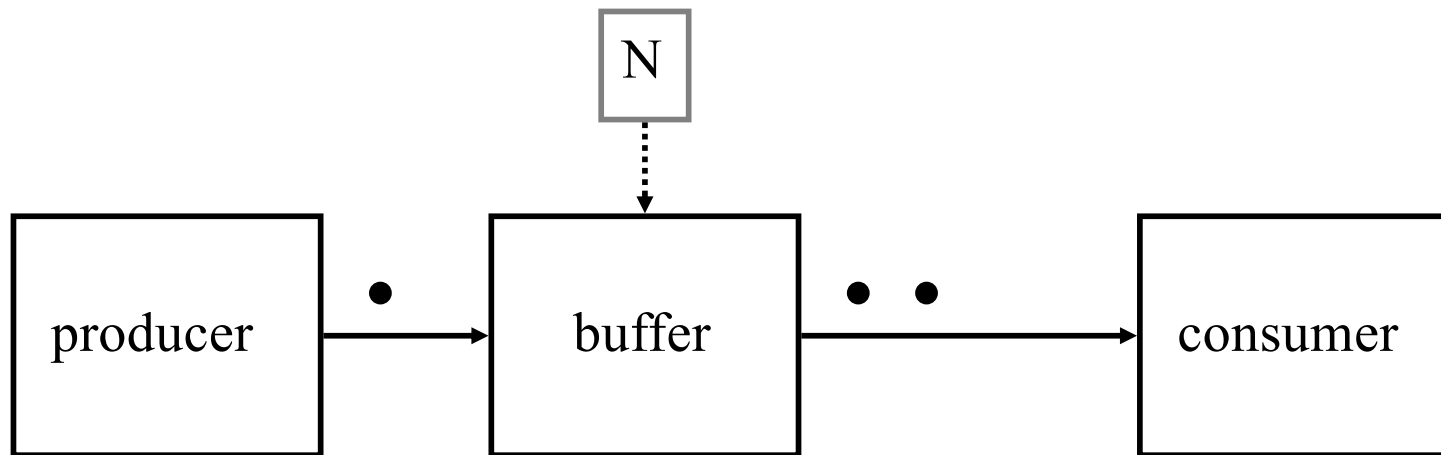
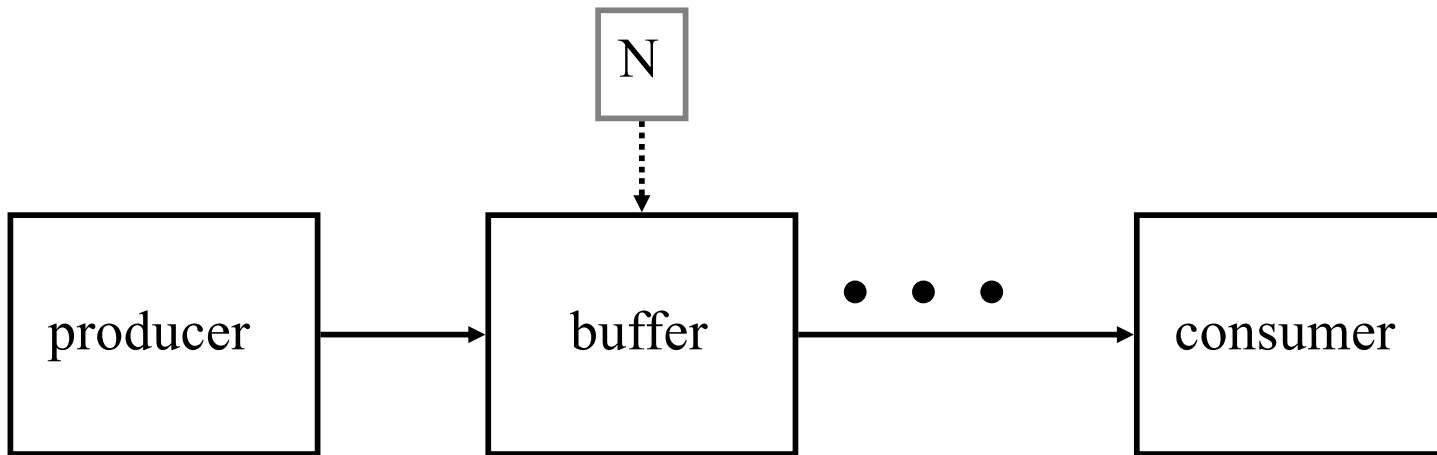
local Xs S in
  Xs = {Ints 0}
  S={Sum Xs 0 1500}
  {Browse S}
end
```



Improving throughput

- Use a lazy buffer
- It takes a lazy input stream In and an integer N , and returns a lazy output stream Out
- When it is first called, it first fills itself with N elements by asking the producer
- The buffer now has N elements filled
- Whenever the consumer asks for an element, the buffer in turn asks the producer for another element

The buffer example



The buffer

```
fun {Buffer1 In N}
  End={List.drop In N}

  fun lazy {Loop In End}
    In.1|{Loop In.2 End.2}
  end
in
  {Loop In End}
end
```

Traversing the In stream,
forces the producer to emit N
elements

The buffer II

```
fun {Buffer2 In N}
  End = thread
    {List.drop In N}
  end
  fun lazy {Loop In End}
    In.1|{Loop In.2 End.2}
  end
in
  {Loop In End}
end
```

Traversing the In stream,
forces the producer to emit N
elements **and at the same time**
serves the consumer

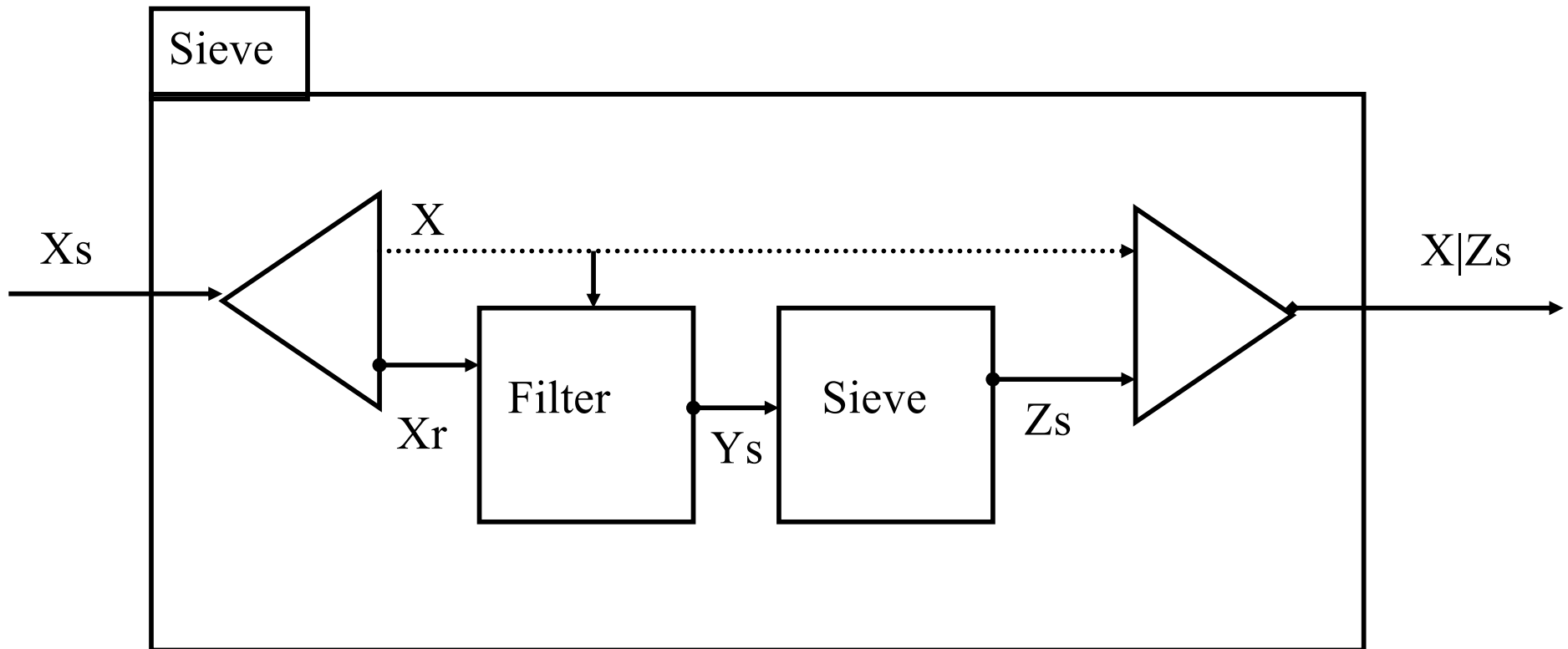
The buffer III

```
fun {Buffer3 In N}
  End = thread
    {List.drop In N}
  end
  fun lazy {Loop In End}
    E2 = thread End.2 end
    In.1|{Loop In.2 E2}
  end
in
  {Loop In End}
end
```

Traverse the In stream, forces the producer to emit N elements and at the same time serves the consumer, and requests the next element ahead

Larger Example: The Sieve of Eratosthenes

- Produces prime numbers
- It takes a stream $2\dots N$, peels off 2 from the rest of the stream
- Delivers the rest to the next sieve



Lazy Sieve

```
fun lazy {Sieve Xs}
  X|Xr = Xs in
  X | {Sieve {LFilter
    Xr
    fun {$ Y} Y mod X \= 0 end
  }}
end

fun {Primes} {Sieve {Ints 2}} end
```

Lazy Filter

For the Sieve program we need a lazy filter

```
fun lazy {LFilter Xs F}  
  case Xs  
  of nil then nil  
  [] X|Xr then  
    if {F X} then X|{LFilter Xr F} else {LFilter Xr F} end  
  end  
end
```

Primes in Haskell

```
ints :: (Num a) => a -> [a]
```

```
ints n = n : ints (n+1)
```

```
sieve :: (Integral a) => [a] -> [a]
```

```
sieve (x:xr) = x:sieve (filter (\y -> (y `mod` x /= 0)) xr)
```

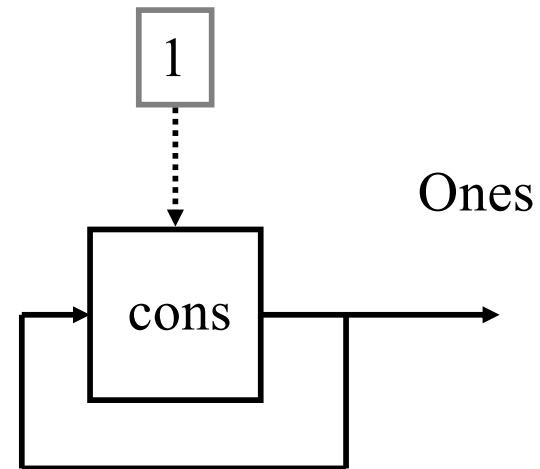
```
primes :: (Integral a) => [a]
```

```
primes = sieve (ints 2)
```

Functions in Haskell are lazy by default. You can use `take 20 primes` to get the first 20 elements of the list.

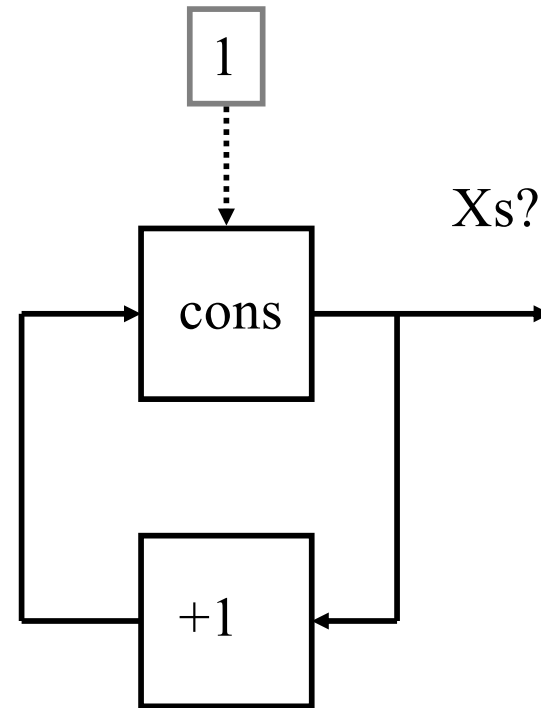
Define streams implicitly

- $\text{Ones} = 1 \mid \text{Ones}$
- Infinite stream of ones



Define streams implicitly

- $Xs = 1 | \{LMap\ Xs$
 `fun {$ X} X+1 end`
- What is Xs ?



The Hamming problem

- Generate the first N elements of stream of integers of the form: $2^a 3^b 5^c$ with $a, b, c \geq 0$ (in ascending order)

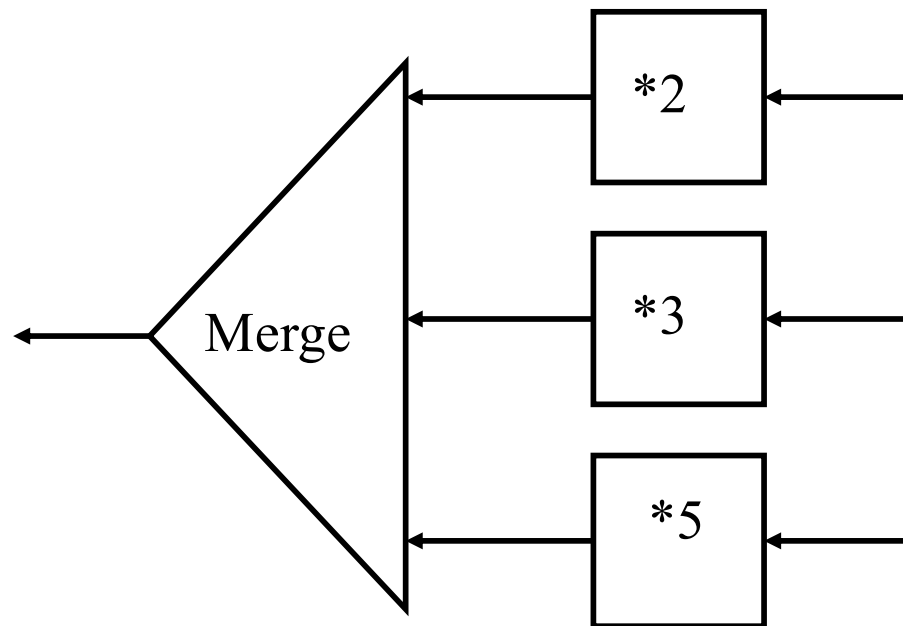
*2

*3

*5

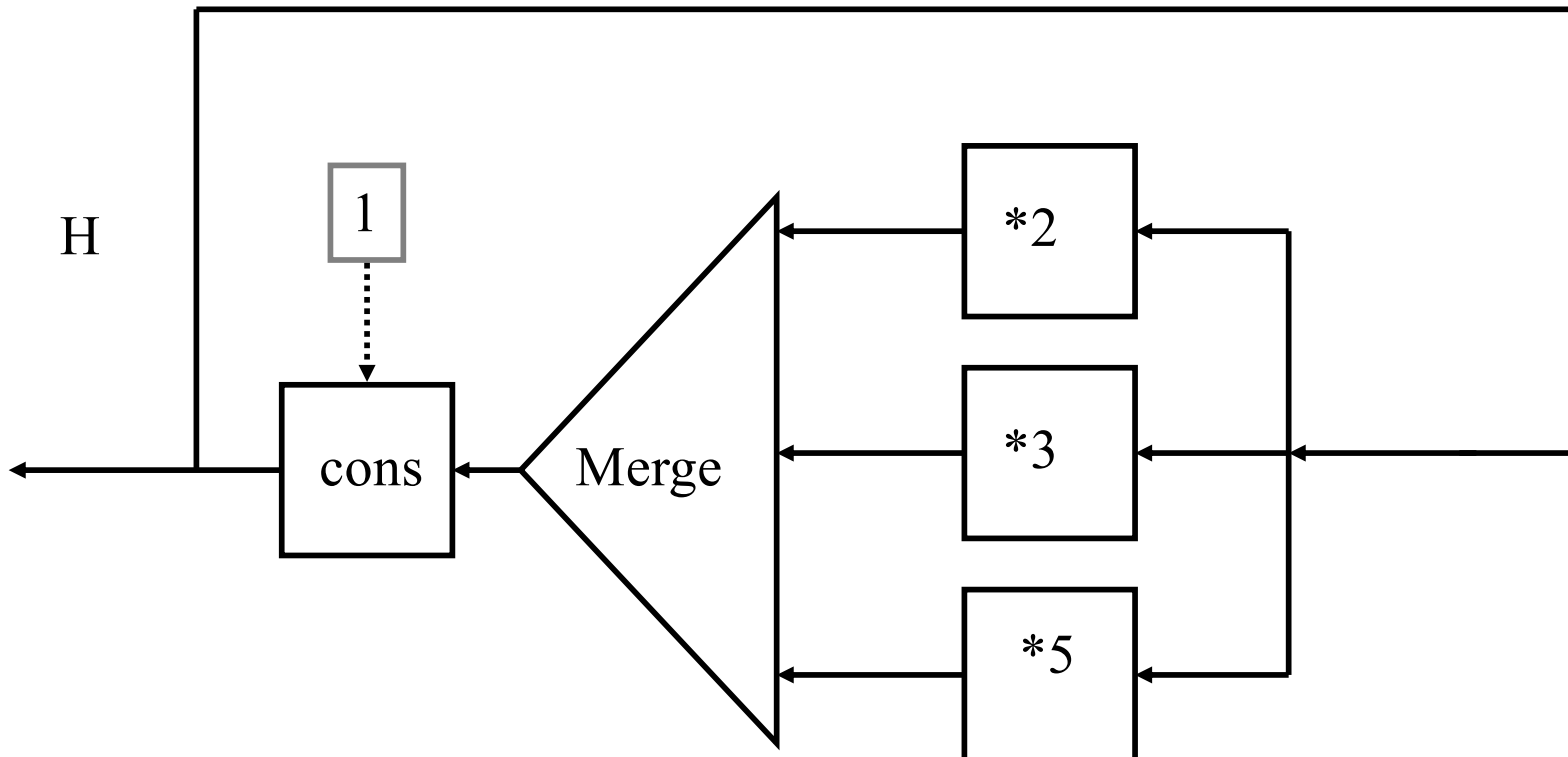
The Hamming problem

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The Hamming problem

- Generate the first N elements of stream of integers of the form: $2^a 3^b 5^c$ with $a, b, c \geq 0$ (in ascending order)



Lazy File Reading

```
fun {ToList FO}
  fun lazy {LRead} L T in
    if {File.readBlock FO L T} then
      T = {LRead}
    else T = nil {File.close FO} end
  L
end
{LRead}
end
```

- This avoids reading the whole file in memory

List Comprehensions

- Abstraction provided in lazy functional languages that allows writing higher level set-like expressions
- In our context we produce lazy lists instead of sets
- The mathematical set expression
 - $\{x*y \mid 1 \leq x \leq 10, 1 \leq y \leq x\}$
- Equivalent List comprehension expression is
 - $[X*Y \mid X = 1..10 ; Y = 1..X]$
- Example:
 - $[1*1 \ 2*1 \ 2*2 \ 3*1 \ 3*2 \ 3*3 \ \dots \ 10*10]$

List Comprehensions

- The general form is
- $[f(x,y, \dots,z) \mid x \leftarrow \text{gen}(a_1, \dots, a_n) ; \text{guard}(x, \dots)$
 $y \leftarrow \text{gen}(x, a_1, \dots, a_n) ; \text{guard}(y, x, \dots)$

]
- No linguistic support in Mozart/Oz, but can be easily expressed

Example 1

- $z = [x\#x \mid x \leftarrow \text{from}(1,10)]$
- $Z = \{\text{LMap } \{\text{LFrom } 1 \ 10\} \text{ fun } \{\$ X\} X\#X \text{ end}\}$
- $z = [x\#y \mid x \leftarrow \text{from}(1,10), y \leftarrow \text{from}(1,x)]$
- $Z = \{\text{LFlatten}$
 $\{\text{LMap } \{\text{LFrom } 1 \ 10\}$
 $\text{fun } \{\$ X\} \{\text{LMap } \{\text{LFrom } 1 \ X\}$
 $\text{fun } \{\$ Y\} X\#Y \text{ end}$
 $\}$
 end
 $\}$
 $\}$

Example 2

- $z = [x\#y \mid \mathbf{x} \leftarrow \text{from}(1,10), \mathbf{y} \leftarrow \text{from}(1,\mathbf{x}), \mathbf{x}+\mathbf{y} \leq 10]$
- $Z = \{\mathbf{LFilter}$
 $\{\mathbf{LFlatten}$
 $\{\mathbf{LMap} \{\mathbf{LFrom} \ 1 \ 10\}$
 $\mathbf{fun} \{\$ \mathbf{X}\} \{\mathbf{LMap} \{\mathbf{LFrom} \ 1 \ \mathbf{X}\}$
 $\mathbf{fun} \{\$ \mathbf{Y}\} \mathbf{X}\#\mathbf{Y} \ \mathbf{end}$
 $\}$
 \mathbf{end}
 $\}$
 $\}$
 $\mathbf{fun} \{\$ \mathbf{X}\#\mathbf{Y}\} \mathbf{X}+\mathbf{Y}=\leq 10 \ \mathbf{end}\} \}$

List Comprehensions in Haskell

```
lc1 = [(x,y) | x <- [1..10], y <- [1..x]]
```

```
lc2 = filter (\(x,y)->(x+y<=10)) lc1
```

```
lc3 = [(x,y) | x <- [1..10], y <- [1..x], x+y<= 10]
```

Haskell provides syntactic support for list comprehensions. List comprehensions are implemented using a built-in list monad.

Quicksort using list comprehensions

```
quicksort :: (Ord a) => [a] -> [a]
```

```
quicksort [] = []
```

```
quicksort (h:t) = quicksort [x | x <- t, x < h] ++  
                  [h] ++  
                  quicksort [x | x <- t, x >= h]
```

Higher-order programming

- **Higher-order programming** = the set of programming techniques that are possible with procedure values (lexically-scoped closures)
- Basic operations
 - **Procedural abstraction**: creating procedure values with lexical scoping
 - **Genericity**: procedure values as arguments
 - **Instantiation**: procedure values as return values
 - **Embedding**: procedure values in data structures
- Higher-order programming is the foundation of component-based programming and object-oriented programming

Embedding

- Embedding is when procedure values are put in data structures
- Embedding has many uses:
 - **Modules**: a module is a record that groups together a set of related operations
 - **Software components**: a software component is a generic function that takes a set of modules as its arguments and returns a new module. It can be seen as **specifying** a module in terms of the modules it needs.
 - **Delayed evaluation** (also called **explicit lazy evaluation**): build just a small part of a data structure, with functions at the extremities that can be called to build more. The consumer can control explicitly how much of the data structure is built.

Explicit lazy evaluation

- Supply-driven evaluation. (e.g. The list is completely calculated independent of whether the elements are needed or not.)
- Demand-driven execution.(e.g. The consumer of the list structure asks for new list elements when they are needed.)
- Technique: a programmed trigger.
- How to do it with higher-order programming? The consumer has a function that it calls when it needs a new list element. The function call returns a pair: the list element and a new function. The new function is the new trigger: calling it returns the next data item and another new function. And so forth.

Explicit lazy functions



```
fun lazy {From N}  
  N | {From N+1}  
end
```



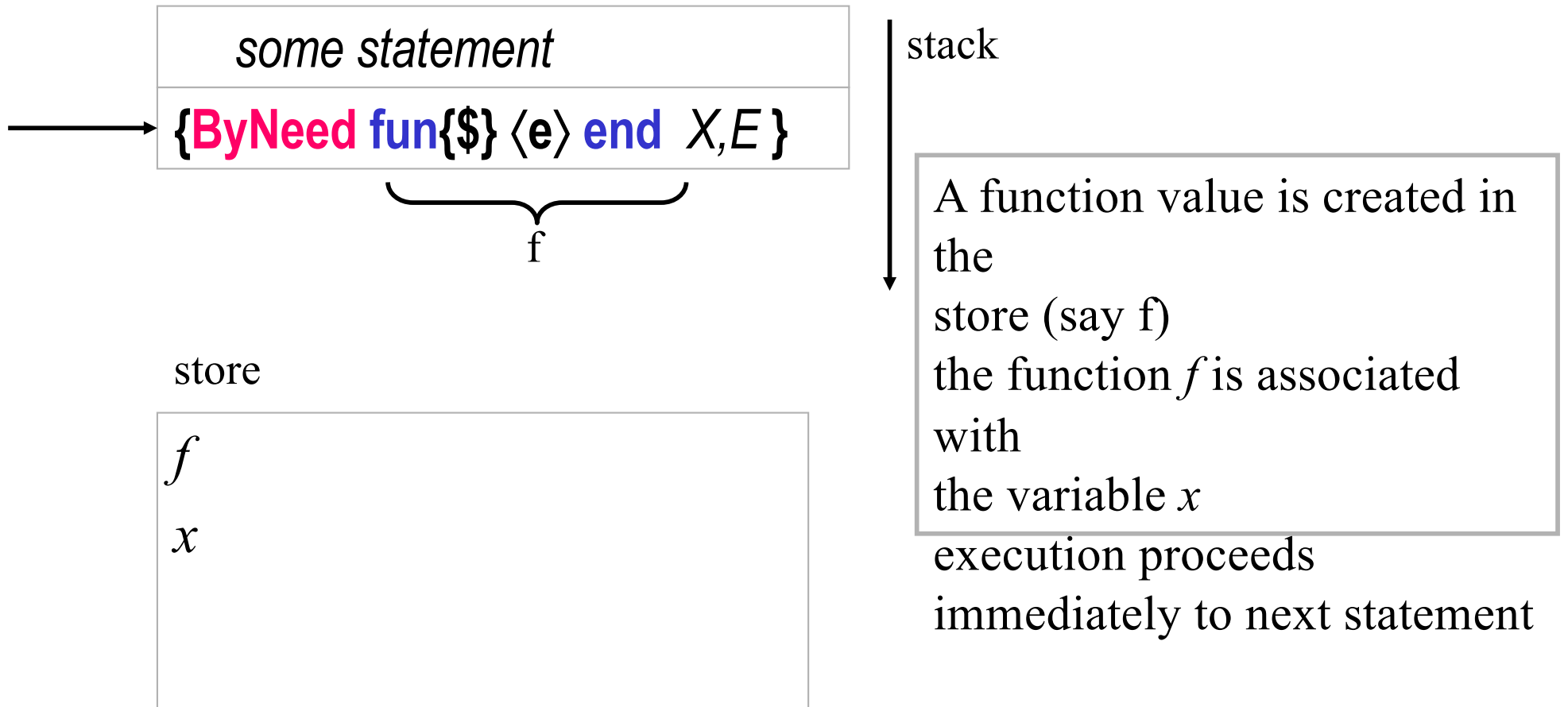
```
fun {From N}  
  fun {S} N | {From N+1} end  
end
```

Implementation of lazy execution

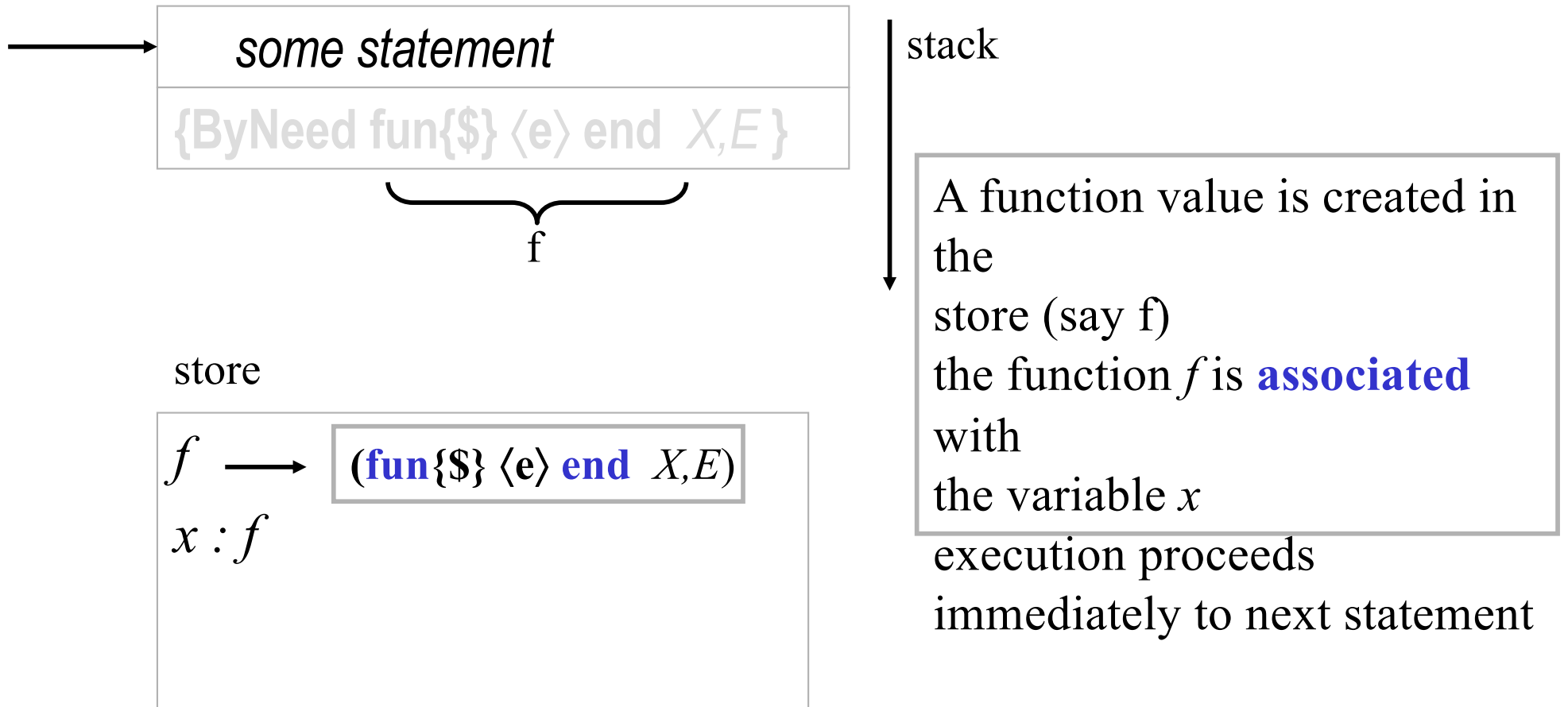
The following defines the syntax of a statement, $\langle s \rangle$ denotes a statement

$\langle s \rangle ::=$	skip	<i>empty statement</i>
	...	
	thread $\langle s_1 \rangle$ end	<i>thread creation</i>
	{ByNeed fun $\{ \$ \}$ $\langle e \rangle$ end	$\langle x \rangle$ <i>by need statement</i>
		
	zero arity function	variable

Implementation



Implementation



Accessing the ByNeed variable

- $X = \{\text{ByNeed } \text{fun}\{\$\} \ 111*111 \ \text{end}\}$ (by thread T0)
- Access by some thread T1
 - if $X > 1000$ then $\{\text{Browse hello}\#X\}$ end

or

- $\{\text{Wait } X\}$
- Causes X to be bound to 12321 (i.e. $111*111$)

Implementation

Thread T1

1. X is needed
2. start a thread T2 to execute F (the function)
3. only T2 is allowed to bind X

Thread T2

1. Evaluate $Y = \{F\}$
2. Bind X the value Y
3. Terminate T2

4. Allow access on X

Lazy functions

```
fun lazy {Ints N}  
  N | {Ints N+1}  
end
```



```
fun {Ints N}  
  fun {F} N | {Ints N+1} end  
in {ByNeed F}  
end
```

Lazy Map

```
fun lazy {LMap Xs F}
  case Xs
  of nil then nil
  [] X|Xr then
    {F X}|{LMap Xr F}
  end
end
```

Sqrt using an infinite list in Oz

```
fun {Sqrt X}
  fun {GoodEnough G}
    {Abs X - G*G}/X < 0.000001
  end
```

```
end
```

```
fun {Improve G}
  (G + X/G)/2.0
end
```

```
end
```

```
SqrtGuesses = 1.0 | {LMap SqrtGuesses Improve}
```

```
in
```

```
{List.dropWhile SqrtGuesses {Compose Bool.'not' GoodEnough}}.1
```

```
end
```

Infinite lists
(SqrtGuesses) are
enabled by lazy
evaluation.

Exercises

26. Write a lazy append list operation `LazyAppend`. Can you also write `LazyFoldL`? Why or why not?
27. CTM Exercise 4.11.10 (pg 341)
28. CTM Exercise 4.11.13 (pg 342)
29. CTM Exercise 4.11.17 (pg 342)
30. Solve exercise 29 (Hamming problem) in Haskell.